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Author

Richard Cottrell, Kirsty Nash, Halpern, BS, Tomas Remenyi, Stuart Corney, Aysha Fleming, Elizabeth Fulton, Hornborg, S, Alexandra Johne, Reginald Watson, Julia Blanchard

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1	Food production shocks across land and sea
2	Richard S. Cottrell ^{1,2} *, Kirsty L. Nash ^{1,2} , Benjamin S. Halpern ^{3,4,5} , Tomas A. Remenyi ⁶ ,
3	Stuart P. Corney ² , Aysha Fleming ^{1,7} , Elizabeth A. Fulton ^{1,8} , Sara Hornborg ^{1,2,8,9} , Alexandra
4	Johne ² , Reg A. Watson ^{1,2} , Julia L. Blanchard ^{1,2}
5	
6	*Corresponding author: richardstuart.cottrell@utas.edu.au
7	
8	Affiliations
9	¹ Centre for Marine Socioecology, Universit y of Tasmania, Hobart, Australia, 7004
10	² Institute for Marine and Antarctic Studies, University of Tasmania, Hobart, Australia, 7004
11 12	³ National Centre for Ecological Analysis and Synthesis, University of California, 735 State St. Santa Barbara, CA 93101-5504, USA
13 14	⁴ Bren School of Environmental Science & Management, University of California, Santa Barbara, CA, 93106, USA
15	⁵ Imperial College London, Silwood Park Campus, Burkhurst Rd., Ascot, SL57PY, UK
16 17	⁶ Antarctic Climate and Ecosystems Cooperative Research Centre, University of Tasmania, Hobart, Australia, 7004
18	⁷ CSIRO Land and Water, Castray Esplanade, Hobart, Australia 7004
19	⁸ CSIRO Oceans and Atmosphere, Castray Esplanade, Hobart, Australia 7004
20 21	⁹ RISE – Research Institutes of Sweden, Agrifood and Bioscience, Sustainable Food Production, Gothenburg, Sweden

22 Abstract

23 Sudden losses to food production -shocks- and their consequences across land and sea pose 24 cumulative threats to global sustainability. We conduct an integrated assessment of global 25 production data from crop, livestock, aquaculture, and fisheries sectors over 53 years to 26 understand how shocks occurring in one food sector can create diverse and linked challenges 27 among others. We show that some regions are shock hotspots, exposed frequently to shocks 28 across multiple sectors. Critically, shock frequency has increased through time on land and 29 sea at a global scale. Geopolitical and extreme-weather events were the main shock drivers 30 identified, although with considerable differences across sectors. We illustrate how social-31 ecological drivers, influenced by dynamics of the food system, can spillover multiple food 32 sectors and create synchronous challenges or trade-offs among terrestrial and aquatic systems. 33 In a more shock-prone and interconnected world, bold food policy and social protection 34 mechanisms that help people anticipate, cope and recover from losses will be central to 35 sustainability.

36 Main

37 Food production shocks pose significant challenges for the UN Sustainable Development Goals (SDGs)¹ because of their potential to disrupt food supply and security, livelihoods, and 38 human well-being^{2–7}. A wide range of social-ecological pressures on food systems can drive 39 40 shocks through direct or indirect mechanisms. For example, droughts or floods can rapidly 41 increase mortality of crops, livestock, or farmed fish; whereas sudden outbreaks of violent conflict may prevent farmers or fishers accessing their production systems^{7,8}. Prolonged 42 43 overfishing can also produce unexpected, sudden losses in catch as exploited fish populations 44 are pushed toward ecological tipping points, after which stock collapse occurs⁹. People's 45 vulnerability to shock events rests on their capacity to adapt, the scale and frequency of

shocks, and their dependence on the affected sector¹⁰. Given millions of people worldwide simultaneously depend on agricultural and seafood sectors for food and livelihoods^{11,12}, understanding national vulnerabilities to shocks requires a complete picture of exposure across sectors on land and sea. Yet studies on food production shocks to date largely deal with agricultural and seafood commodities in isolation^{2,7,13}. Integrated understanding is required to assess cumulative risks to sustainability across all food sectors in the face of environmental change and human population growth.

53 We investigate historical global trends in exposure to and drivers of food production shocks 54 across crop, livestock, fisheries, and aquaculture sectors from 1961 – 2013. We use an 55 established, standardised approach to identify shocks and their drivers in national production 56 data taken from the UN Food and Agricultural Organization (FAO) and other published 57 sources. Using local regression models, we identify shocks through breaks in the 58 autocorrelation structure of a time-series, and couple detection with a literature review of in-59 country events at the shock point. We map global shock frequency and co-occurrence and 60 highlight the different ways shocks can permeate multiple food production sectors or drive 61 trade-offs across them.

62 Global trends in food production shocks

From 741 available food production time-series (crops = 187, livestock = 190, fisheries = 202,
aquaculture = 162), we detected 226 shocks across 134 nations. When pooled, we found
agricultural sectors (crop and livestock) slightly more shock prone than aquatic sectors
(fisheries and aquaculture) over the 53-year period (0.31 vs 0.29 shocks country⁻¹
respectively). Shock frequencies were regionally distinct within sectors, with some areas
experiencing shocks far more frequently than others (Figure 1). Shock frequencies were
highest in South Asia for crops (Figure 1a), the Caribbean for livestock (Figure 1b), Eastern

Europe for fisheries (Figure 1c), and South America for aquaculture sectors (Figure 1d).
Importantly, some regions experienced high frequency in more than one sector. For example,
South Asia experienced one of the highest shock frequencies to livestock as well as to crops,
and the Caribbean experienced high frequency of fisheries shocks alongside livestock
systems. Therefore, while there is varying exposure to production shocks within sectors, in
several regions patterns of high shock frequency overlap and create areas of high cumulative
exposure to production shocks across multiple fronts.

77 The frequency of shocks has increased across all sectors at a global scale. In our results, 78 annual shock frequencies fluctuated considerably over time, yet decadal averages, minima 79 and maxima increased steadily from the 1960s and 70s (Figure 1e-h). We did not detect any 80 shocks to aquaculture production until the early 1980s likely due to its nascence, but decadal 81 shock rates have risen faster and to a level higher than in any other sector since (Figure 1h). 82 Increasing shock frequency is a food security concern in itself. Conflict-related shocks across 83 Sub-Saharan Africa and the Middle East since 2010 are responsible, combined with adverse climate conditions, for the first uptick in global hunger in recent times⁴. While the human 84 85 impact of shocks depends on the degree to which livelihoods in a region or country depend 86 on food production and the variation in vulnerability among households⁴, increased frequency 87 reduces time for recovery between events. Smaller windows for recovery hinder coping 88 strategies such as the accumulation of assets that can be sold during times of hardship, and 89 can ultimately negatively influence the resilience of producers and communities to shocks⁴.

90

Drivers of production shocks across land and sea

Extreme weather events and geopolitical crises were the dominant drivers of shocks in our
analysis, but the relative importance of drivers varied across sectors (Figure 2). Over half of
all shocks to crop production systems were a result of extreme weather events (Figure 2),

94 largely drought, reinforcing the concern about vulnerability of arable systems to climatic and 95 meteorological volatility across the globe¹⁴. We also found extreme weather to be a major 96 driver of shocks to livestock (23%), particularly where reductions to feed occurred. For 97 instance, severe summertime droughts in Mongolia in 2001 and 2010 reduced fodder and 98 feed availability, compromised livestock condition, and led to mass mortality events during cold winter extremes¹⁵. Diseases such as foot and mouth also contributed to 10% of livestock 99 100 shocks. Geopolitical crises, however, such as economic decentralisation in Europe or conflict 101 in Sub-Saharan Africa, accounted for the greatest proportion (41%) of the livestock shocks in 102 our analysis (Figure 2).

103 In contrast, drivers of seafood production shocks were more diverse than for terrestrial 104 systems (Figure 2). For fisheries, overfishing was responsible, at least in part, for 45% of 105 shocks detected in landings data. However, geopolitical crises contributed to 23% of fisheries 106 shocks, climate/weather events to 13% and policy changes to 11%. Shocks driven by policy 107 changes can reflect positive interventions, but may also be a response to declining resources. 108 In the aquaculture sector, while disease (included in 'Other' category) was the most common 109 individual driver, responsible for 16% of shocks overall, a spectrum of geopolitical stressors 110 were behind a third of aquaculture shocks, from state dissolution, to violent conflict, and 111 declining competitiveness in export markets.

Patterns of driver influence differed across regions (Supplementary Figure 1). For example, in South Asia, where agricultural shocks were most frequent, nearly all crop and livestock losses were driven by flood or drought. Whereas in Sub-Saharan Africa, where the greatest burden of hunger still persists⁴, geopolitical or economic crises were the leading drivers of agricultural shocks (Supplementary Figure 1). In seafood sectors, regional diversity of driver types was more consistent. In wild systems, overfishing and geopolitical drivers contributed to numerous shocks across Europe, Sub-Saharan Africa and East Asia. For aquaculture, disease was the primary driver in Europe and Latin America, but geopolitical conditions were
more significant for both East Asia or the Middle East and North Africa (Supplementary
Figure 1). Therefore, while we highlight dominant shock drivers for each sector at a global
scale, we reiterate that challenges for increasing food production will vary greatly from place
to place.

124 The reason for the increase in shock frequency through time across sectors is not clear, in part 125 because many potential factors (including quality of reporting) have changed and increased 126 over the time period. However, crop production shocks driven by extreme weather became 127 more frequent in our results over time (Supplementary Figure 2). In livestock, fisheries and 128 aquaculture sectors particularly, the diversity of drivers increased from the 1970s 129 (Supplementary Figure 2). As food systems become increasingly globalised and 130 interdependent, a greater diversity of exogenous shocks may influence them over time¹⁶. For 131 instance, livestock disease is increasing globally, driven largely by a rapid rise in demand for 132 meat, the incursion of livestock in natural systems, intense farming practices and the mass 133 movement of animals and people¹⁷. The nature of interdependencies among sectors are also changing¹⁸. Demands for feed now tightly couple aquaculture to both capture fisheries and 134 135 crop systems¹⁹, and the production challenges each of these encounter. Furthermore, financial 136 institutions motivated by socioeconomic drivers disconnected from their geographies of 137 influence, increasingly sway producer investments and decisions with complex or unknown consequences for production stability or sustainability²⁰. 138

139

Co-occurrence and spillover across terrestrial and aquatic sectors

140 Climate events, violent conflict or other social-ecological stressors can create complex

141 synchronous, or lagged effects across different systems⁴. Therefore, a single stressor could

142 elicit numerous shocks across different food sectors but not always at the same time. So,

143 while we would not necessarily expect shocks from the same stressor to coincide at the exact 144 shock point (year), we would assume to see clumping of shocks within broader time-periods. 145 Co-occurrence appeared in our data from the early 1990s and more frequently in the latter 146 half our time-series (Figure 3a). Of the 134 nations affected by shocks in our analysis, 22 of 147 these experienced shocks in multiple sectors during the same five-year period (Figure 3b). 148 We recognise these trends are influenced by the length of time intervals used in Figure 3 and 149 further do not reflect changes in other sectors not detected as a shock (although they may be a 150 response or a driver of shocks detected here). Overlapping shock occurrence in this way 151 allows us to identify and further examine the more detailed conditions underpinning 152 occurrence of multi-sectoral shocks. 153 Shocks spanning multiple sectors were often driven by geopolitical events. For example, loss 154 of Soviet-linked subsidies, and reduced export markets in Albania during the fall of communism resulted in large declines in crop, fisheries, and aquaculture production $^{21-23}$. 155 156 North Korea experienced lagged impacts from economic fall-out from USSR dissolution by 157 the mid-1990s, and extreme flooding exacerbated the scale of production losses on land. The resulting famine led to the deaths over 200,000 people^{24,25}. In Mali, internal conflict from 158 159 2011 onwards displaced farmers and fishermen alike by limiting access to rivers and farms 160 directly, or through disruption to supply chains²⁶. Nonetheless, the geography of the shock, 161 the magnitude of the driver, the importance of the affected systems for national production, 162 and the adaptive (e.g. coping strategies), absorptive (e.g. reserves, assets, capital), or transformative capacities (e.g. governance mechanisms)⁴ of affected communities will all 163 164 influence how a shock manifests across different food systems. Taking further examples from

165 Figure 3, we illustrate how the social-ecological dynamics of both the country and the shock

166 can yield variable responses across sectors (Figure 4).

167 Drivers of shocks can create similar or opposing responses in production across multiple 168 sectors, revealing links between terrestrial and aquatic systems. In both Kuwait (Figure 4a) 169 and Afghanistan (Figure 4b), different shock drivers at different scales created similar 170 national-level responses spanning terrestrial and aquatic production. The invasion of Kuwait 171 by Iraq in late 1990 and the subsequent conflict with the US and allies was a huge nationwide 172 disturbance, caused widespread devastation to agricultural land and the removal of the majority of Kuwaiti fishing vessels ceased commercial fishing²⁷. Rapid declines in crop, 173 174 livestock and fisheries production occurred from 1990, with shocks detected in both livestock 175 and fisheries time-series (Figure 4a). In Afghanistan, a severe drought from 2000 – 2002 176 decimated cereal production particularly in the country's north. Large increases in animal diseases and reduced fodder severely affected production for pastoralists²⁸ and we detected a 177 178 shock to fisheries landings at the same point (Figure 4b). The similar declines across sectors 179 disguise the differences in vulnerability however. Disturbances at the scale of the Gulf War 180 are rare events, whereas droughts are frequent across Western Asia. In Afghanistan, its 181 landlockedness and the absence of marine fisheries leaves national food production more 182 vulnerable to drought.

183 In contrast, divergent responses to extreme weather in Dominica illustrate the potential for 184 land-sea trade-offs when human adaptation measures shift resource use across sectors. 185 Repeated damage to farmland from tropical storms during the 1970s pushed more of the nation's farmers into fishing for a primary income source²⁹. After Hurricane David decimated 186 187 the banana crop in 1979, fisheries landings increased dramatically from 1980, followed by a 188 rapid decline in 1983 (Figure 4c), likely driven by overfishing leading to stock collapse in 189 nearshore waters²⁹. Shifts between land and sea following a shock were rare in our analysis of 190 national time series. It is possible Dominica's small size, and high dependence on a single 191 crop for livelihoods of the rural poor (who have few absorptive strategies for coping with

crises)³⁰, contributed to this response. However, it is likely these switches occur much more
widely at smaller scales given the prevalence of joint dependence on fisheries and agriculture
worldwide¹¹ and because small-scale fisheries are often used to buffer the effects of extreme
events³¹.

196 In Ecuador, shocks occurred at similar points in both crop and aquaculture systems with 197 seemingly unrelated proximate drivers if investigated solely from single sector perspectives 198 (Figure 4d). The strong El-Niño Southern Oscillation (ENSO) event of 1998 led to widespread flood damage to croplands across Ecuador³² detected as a shock in our time-199 200 series, and at the same time, a large reduction in coastal fisheries landings occurred (Figure 201 4d), although not detected as shock due to the variable nature of the Humboldt system². 202 While there were reports of flood damages to shrimp farms in 1998, two years later we 203 detected a shock to aquaculture production because of dramatic declines in the shrimp 204 industry. These declines are consistent with the reports of a white-spot syndrome outbreak, which severely affected the industry in 2000³³. We could find no documented link of the El-205 206 Niño event and the disease outbreak; however, abnormally warm coastal waters on the 207 Pacific South American coast are associated with both El-Niño events and the rapid spread of the White-spot Syndrome virus³⁴. Irrespective of whether these shocks are connected or not, 208 209 an increased co-occurrence because of linked or independent drivers becomes problematic for 210 communities with a reduced capacity to deal with these dual impacts.

211

Challenges and potential for sustainable development in a shock-prone world

Shocks across multiple sectors pose significant threats to improving global food security as well as other sustainability targets. For example, one target within SDG 2 of zero hunger, is to strengthen adaptive capacity in the face of climate change and extreme events¹. For many people, livelihood diversification between agriculture and fisheries is a key strategy in

alleviating the impacts of production shortfalls^{11,35,36} yet shocks across multiple sectors 216 217 compromise these options. A lack of viable alternatives can drive people to derive food or 218 income from other sources with unpredictable sustainability consequences. The declines in 219 large mammal populations in West Africa during times of low fish supply or after the collapse of agricultural systems in the Soviet Union are clear examples^{37,38}. Trade-offs across 220 221 sectors like this including the example from Dominica (Figure 4c) present significant 222 challenges for achieving other sustainability targets. Unpredictable shifts among sectors 223 create interactions among the goals for life on land, life below water or responsible 224 production and consumption¹ for instance. Further, as shock rates increase across all sectors 225 the capacity for shocks to co-occur increases simultaneously. 226 On a global scale, increased shock frequency may pose a threat to the resilience of the global 227 food system through impacts on trade. Nearly a quarter of food, agricultural land, and 228 freshwater resources are accessed through trade⁶ and a number of countries are dependent on 229 imports to meet the food demands of their population³⁹. Trade dependency is also becoming 230 more regionally specialised, with some major breadbaskets the sole suppliers of commodities 231 to other nations. For example, Thailand currently provides over 96% of rice imports to a number of West African countries⁴⁰. The high dependence on just a handful of producers for 232 233 some countries highlights future vulnerability. Producing countries often reduce or ban 234 exports during production crises to protect domestic supply, endangering import-dependent trade partners^{5,6,39,40}. If shock frequencies continue to increase and major producing nations 235 236 are affected, a shift to a state of reduced exports is plausible at a global level. Increased 237 commodity prices linked to global scarcity would favor higher paying nations⁴⁰, leaving low-238 income, trade-dependent countries in jeopardy. In the case that a higher frequency of shocks 239 is influencing the stability of trade, we might expect to see increased temporal variability in

either trade or price data. Whether or not these signals are present in the available datawarrants further investigation.

242 Country-level differences in vulnerability to external or domestic production shocks mean 243 challenges posed by them are uneven across regions and commodities. For example, frequent 244 shocks in small Caribbean livestock sectors will have variable consequences across the 245 different regional economies, yet a shock in major producers such as Argentina may 246 influence supply for multiple trade-partners around the world⁴¹. Comparing across 247 commodities, frequent or severe crop shocks in major breadbaskets such as South Asia can have far reaching consequences for global food availability and access⁵ but relatively small 248 249 shocks to fish landings in small-island developing states may have equally negative effects on nutrition^{12,42}. The diverse sources of threat across land and sea from domestic or foreign 250 251 sources highlights a pressing need to improve resilience to shocks in both agricultural and 252 seafood sectors.

253 Building resilience at a global level will require more proactive national food and trade 254 policies. Investing in climate-smart food systems that exploit ecosystem services to mitigate extreme-events will be increasingly important⁴³. For instance, increasing diversity of plant 255 256 and animal breeds/varieties can minimise vulnerability to disease; integrating agroforestry 257 into farm systems and enhancing soil quality can improve recovery times after drought and floods^{3,43}. Concerted efforts should be made in import-dependent countries to build domestic 258 259 food reserves to buffer the effects of supply losses when trade partners reduce exports during 260 production shocks⁶. Moreover, international trade policies should aim to disincentivise 261 behaviours that exacerbate the impacts of production shocks such as commodity hoarding and 262 export bans. Such policy is especially important for major food producers such as the USA, 263 India, or China, whose trade networks have greater global influence on food supply⁶. 264 Maintaining fair and open trade should be made a priority in addressing global hunger.

265 In shock-prone areas, a number of social protection mechanisms will be key. These 266 mechanisms may help nations, communities and households prevent and anticipate shocks, 267 cope with them and recover⁴. For example, conflict-related shocks remain the biggest barrier to food security in the world's most food insecure regions^{4,7}. Greater understanding of the 268 269 causes of conflict in different areas is central to prevention⁴. New early-warning systems for violence are already underway⁴⁴. During times of crisis, timely food and cash transfers, and 270 271 food or cash for work programmes show promise throughout Sub-Saharan Africa⁴⁵. For 272 those displaced, to speed up recovery and close yield gaps, participatory planning and postconflict support such as tools, seeds or skills training is crucial ^{4,46}. Weather-indexed 273 274 insurance is another innovative tool to protect producers against loss of income or food 275 access during adverse conditions⁴⁷, and will be particularly important if extreme events become more frequent⁴⁸. 276

277 Increased investment in food systems research to improve resilience to shocks is urgently 278 required under climate change. Continued development of drought and pest-related resistance 279 in key crops is crucial⁴⁹ but understanding and addressing barriers to uptake in food-insecure countries is equally important⁵⁰. The same applies where fish-farming could increase 280 resilience to external shocks in vulnerable nations⁴² but barriers that limit industry growth 281 282 must be overcome. In commercial-scale aquaculture systems, improvements in open data and 283 new sequencing technologies can help us understand the microbial conditions surrounding disease emergence, which is fundamental to meeting increasing global seafood demands⁵¹. 284 285 Without learning to mitigate and adapt to the effects of increased volatility in food systems, 286 global goals to end hunger and protect our natural ecosystems may be out of reach.

Trends discussed here almost certainly underrepresent the frequency of production shocks.
Aggregation of production data to country level smooths out sudden production losses that
are locally isolated or restricted to a single food type. This is particularly true in large

countries such as the United States of America or Australia where food is grown over large
and diverse landscapes. Small-scale, unreported food systems (e.g. some inland and marine
fisheries or aquaculture, backyard farm systems and wild meat sources) are also not included
in the data used in this analysis. Although this is a recognised weakness, the data used here
represents the best source of production data with global coverage across multiple sectors.
Nevertheless, localised shocks or shocks to small-scale systems are still of concern for the
livelihoods and food security of communities dependent on them.

297 Achieving the SDGs by 2030 will require addressing drivers of food production shocks and 298 derived threats. With shock frequency increasing across sectors, the likelihood of shock co-299 occurrence increases, particularly in hotspots of shock exposure. Production challenges will 300 be hardest felt by those with lower capacity to adapt to or absorb shocks. With extreme 301 weather events predicted to increase into the future, potentially interacting with civil unrest, 302 achieving food security in regions most exposed to shocks may hinge on successful social 303 protection mechanisms to help people cope and recover. Fundamental shifts toward shock-304 resilient food systems will require considerable but achievable change to how we grow and 305 trade food. Integrating and understanding links between land and sea will be critical for 306 programmes and research aiming to affect progress towards food security and sustainable 307 development.

308 Methods

To identify and compare shock occurrence among fundamentally different systems
(agriculture and seafood), we adopt the paired statistical and qualitative approach of Gephart
et al². This method identifies shocks through breaks in the autocorrelation structure of a timeseries and combines this with a literature search for likely driver of the shock. Alternative
studies have used pre-published data sets on extreme events to understand responses in

production data³¹, however this skews focus toward drivers with plentiful data – often
terrestrial and biophysical events such as floods, droughts, or cold fronts. Others have also
used the trade in virtual water to study shocks in agricultural systems¹³, but this largely
eliminates the marine component of our food system. Reliance on statistical detection in
production data avoids specificity making it a standardised approach applicable across crop,
livestock, fisheries, and aquaculture sectors.

320 Data Sources

321 We use a range of food production data from the UN's Food and Agricultural Organization 322 (FAO) combined with published production datasets for our analysis. We used crop and 323 livestock data from FAOSTAT production quantity dataset 1961 – 2014 dataset (http://www.fao.org/faostat/en/)⁵². Crop types included cereals, coarse grains, fruits, roots and 324 325 tubers, pulses, tree nuts and vegetables; while livestock included total meat, milk, and egg 326 production from bovine, poultry, swine, mutton and goat sources. We used the FAO FishStat database⁵³ for inland and marine aquaculture production, and inland fisheries landings data 327 328 (1950 – 2015 Global Production dataset, www.fao.org/fishery/topic/166235/en.). We used marine fish landings data from Watson⁵⁴ to account for estimates of large-scale, small-scale 329 330 and illegal, unregulated, and unreported (IUU) landings. Fisheries data included all landed 331 finfish, crustaceans, and molluscs. Aquaculture data included all farmed finfish, crustaceans, 332 molluscs and algae. While we recognise that underreporting of small-scale production across 333 all sectors is a limitation of FAO data, it provides global coverage of production across 334 multiple sectors, and the detection of shocks relies on overall trends in data rather than 335 absolute production values. We obtained country shapefiles used for mapping global patterns 336 from Natural Earth (https://www.naturalearthdata.com/) and adapted EEZ shapefiles from Marine Regions (http://www.marineregions.org/)⁵⁵. We performed all data analyses using R 337 statistical software⁵⁶. 338

339 Detecting shocks and identifying drivers

340 For all countries we aggregated production to total annual values from 1961 – 2013 across all 341 commodity types described above for crop, livestock, fisheries and aquaculture sectors. We 342 fitted local polynomial regression (LOESS) models with a span of 0.6 to aggregated annual 343 production data for all countries and sectors. We regressed model residuals against lag-1 344 residuals, and any outliers in this regression (quantified as data points with a Cook's 345 distance > 0.3), we deemed shocks (Supplementary Figure 4). Given only production losses 346 are of concern for food security, we only considered shock points associated with a loss in 347 production relative to a previous 7-year median production baseline. Consistent with the approach by Gephart et al.², for each shock detected we calculated the 348 349 size of a shock and its recovery time for comparisons across sectors and regions 350 (Supplementary Figure 1). Shock size equals the loss in production (in tonnes) relative to the 351 previous 7-year median baseline. Recovery time for the shock is calculated as the number of 352 years taken to increase back up to at least 95% of this baseline. Some shocks did not recover 353 by the end of the time series and we highlight the individual shocks in Supplementary Table 1. 354 We calculated shock frequencies for each geographical region, by dividing the number of 355 shocks detected from 1961 - 2013 by the number of time-series used for detection. For 356 annual shock frequencies, for every sector we divided the number of shocks detected for a 357 given year by the number of countries producing in that year. This approach compensates for 358 different numbers of countries within each region, and the increasing number of countries 359 producing through time.

Adopting a qualitative approach to identifying the drivers of production shocks helps account
for and recognise the multiple and complex social-ecological factors contributing to an event.
For a detected shock, we searched peer-reviewed and grey literature (e.g. NGO reports, news)

363 articles etc.) for the likely causes, or drivers, of each individual shock. Each shock was 364 assessed independently disaggregating production data into individual commodities to 365 identify the species affected and check our analysis, which allowed greater specificity to our 366 search. We only attributed a driver to a shock when our search returned a documented event 367 or set of conditions where a negative effect on agricultural or seafood sectors (dependent on 368 the sector affected) was explicitly mentioned at or just before the shock point (i.e. 369 documentation stipulated the link rather than us establishing purely correlative trends). The 370 combination of quantitative and qualitative methods adopted by Gephart et al.² provide 371 complimentary approaches where purely data driven methods may highlight correlative 372 relationships with drivers without causation. Likewise, purely qualitative analyses may be 373 limited in their capacity to detect shocks because of differences in reporting across regions. 374 We caution that this approach is not meant to provide a comprehensive list of contributing 375 factors for a given shock within the data, but instead highlights potential drivers of change 376 from the literature we identify. It is plausible that other unidentified factors contribute to the 377 changes seen in the data.

378 In our analysis, we classify drivers of shocks into five main categories. *Climate/weather* 379 events include anomalies such as storms, droughts, ENSO events, or climate-driven 380 ecosystem change. Geopolitical/economic events covers disturbances from conflict, state 381 dissolution or financial crises. Mismanagement includes multiple categories such as 382 overfishing in the ocean, or deforestation and erosion of soils on land. *Policy change* can 383 refer to, for example, closure of a fishery or abolition of agricultural subsidies. The 'Other' 384 category includes a wide range of pressures from production diseases to geological events 385 such as tsunamis or volcanic eruptions. Due to the complex nature of social-ecological 386 stressors on food systems, we combined many of these categories to explain the drivers of 387 production shocks and highlight these sub-categories. The Unknown category contains

shocks for which we could not find a documented reason. It is possible that our statistical
approach to detection means we identify changes to national reporting methods as a shock.
This highlights the importance of the complimentary quantitative and qualitative approaches
used here to identify if a statistical anomaly in production data is reflected by conditions or
events reported in reality².

393 We do however acknowledge that some production losses detected may not be completely 394 unanticipated. Some production losses driven by economic recession or policy changes may 395 be expected by producers. However, to what extent the production losses detected here were 396 anticipated is unclear because of data scarcity. Policy responses to dwindling resources can 397 certainly produce shocks to food supply and livelihoods, as exemplified in the closure and subsequent anger surrounding the North-West Atlantic cod fishery in 1993⁵⁷. But even if an 398 399 event is anticipated, the scale of disruption may be unknown (the uncertainty surrounding the 400 economic impacts of the United Kingdom leaving the European Union is a contemporary 401 example). While the uncertainty surrounding whether a statistical shock in production data 402 equates to a shock in reality is a limitation, this method does allow non-biased detection of 403 shocks caused by drivers for which there is scant data (e.g. sudden declines from fish stock 404 collapse). Although sensitivity analyses of Cook's distance, LOESS span or production 405 baseline parameters provided confidence intervals, we may not have detected all shocks 406 (Supplementary Figure 3). Further, the shock detection method described here is less 407 sensitive to production changes in highly variable systems where large fluctuations are common within the time series². 408

- 409 Data availability
- 410 Crop and livestock production data were accessed through FAOSTAT
- 411 <u>http://www.fao.org/faostat/en/</u>. For marine fisheries production we used the published dataset

- 412 by Watson⁵⁴ at <u>https://www.nature.com/articles/sdata201739</u>. Aquaculture and inland
- 413 fisheries data were extracted from global production datasets using FishStat software
- 414 (www.fao.org/fishery/topic/166235/en). All code and data products used for analyses in this
- 415 study are publicly available through a Github repository (<u>https://github.com/cottrellr/shocks</u>).
- 416 All data that support this study are available from the corresponding author on request

417 Corresponding author

- 418 All correspondence relating to this article should be directed to Richard Cottrell
- 419 (richardstuart.cottrell@utas.edu.au)

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424 Author contributions

- 425 RSC, JLB, KLN, and BSH designed the study, and RSC conducted the analysis and wrote the
- 426 paper. TAR assisted with figures and AJ assisted with qualitative analysis of shock drivers.
- 427 All authors contributed to development of the paper through methodological advice,
- 428 comments and edits of the text and figures.

429 **Competing interests**

430 The authors declare no competing interests.

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Figure 1 – Spatial (a-d) and temporal (e-g) trends in food production shock frequency in crop, livestock, fisheries, and aquaculture sectors from 1961-2013. Regions include North America, Central America, Caribbean, South America, Northern Europe, Western Europe, Southern Europe, Eastern Europe, North Africa, West Africa, Central Africa, Southern Africa, East Africa, Western Asia, South Asia, East Asia, South-east Asia, Melanesian, Micronesia, Australia and New Zealand, and Polynesia. The red line in the time series indicates the annual shock frequency from the shocks identified in this study. Light grey confidence interval describes the plausible range of frequencies under different combinations of LOESS model span (0.2-0.8), production baseline durations (3,5,7, or 9 years) and average types used for baseline (mean or median). Dashed black line is the decadal mean of the red line and the dark grey band is the decadal minima and maxima of the confidence interval.

Figure 2 – Drivers of food production shocks for crop, livestock, fisheries and aquaculture sectors.

Figure 3 – **Heat map of shock co-occurrence across terrestrial and aquatic food sectors through time.** a) Global extent of co-occurrence in all countries affected by shocks in our analysis grouped by subregion b) Isolated countries where shocks occurred across multiple sectors during the same five-year period.

Figure 4 – **Case studies of shock spillover, trade-offs, and co-occurrence across terrestrial and aquatic sectors.** a) Invasion of Kuwait during the Gulf War b) Severe drought in Afghanistan c) Land-sea switches following Hurricane David in Dominica d) Elnino driven floods on land followed by an outbreak of white-spot disease in shrimp farms, Ecuador.





Driver of shock

- Climate/weather events
- Climate/weather events & mismanagement
- Climate/weather & geopolitical/economic events
- Geopolitical/economic events
- Mismanagement & geopolitical/economic events
- Mismanagement
- Mismanagement & policy change
- Policy change
- Other
- Unknown



No. of sectors w/ shocks

