

# Cellular and Molecular Life Sciences

## Curse of the devil: Molecular insights into the emergence of transmissible cancers in the Tasmanian devil (*Sarcophilus harrisii*) --Manuscript Draft--

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<b>Funding Information:</b>	<table> <tr> <td>Australian Research Council (DP180100520)</td><td>Professor Gregory M. Woods</td></tr> <tr> <td>University of Tasmania Foundation (funds raised by the Save the Tasmanian Devil Appeal.)</td><td>Professor Gregory M. Woods</td></tr> <tr> <td>Australian Research Council (DE180100484)</td><td>Dr Andrew S. Flies</td></tr> <tr> <td>Australian Research Council (DP130100715)</td><td>Professor Gregory M. Woods</td></tr> </table>		Australian Research Council (DP180100520)	Professor Gregory M. Woods	University of Tasmania Foundation (funds raised by the Save the Tasmanian Devil Appeal.)	Professor Gregory M. Woods	Australian Research Council (DE180100484)	Dr Andrew S. Flies	Australian Research Council (DP130100715)	Professor Gregory M. Woods
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<b>Abstract:</b>	<p>The Tasmanian devil ( <i>Sarcophilus harrisii</i> ) is the only mammalian species known to be affected by multiple transmissible cancers. Devil facial tumour 1 and 2 (DFT1 and DFT2) are independent neoplastic cell lineages that produce large, disfiguring cancers known as devil facial tumour disease (DFTD). The long-term persistence of wild Tasmanian devils is threatened due to the ability of DFTD cells to propagate as contagious allografts and the high mortality rate of DFTD. Recent studies have demonstrated that both DFT1 and DFT2 cancers originated from founder cells of the Schwann cell lineage, an uncommon origin of malignant cancer in humans. This unprecedented finding has revealed a potential predisposition of Tasmanian devils to transmissible cancers of the Schwann cell lineage. In this review, we compare the molecular nature of human Schwann cells and nerve sheath tumours with DFT1 and DFT2 to gain insights into the emergence of transmissible cancers in the Tasmanian devil. We discuss a potential mechanism whereby Schwann cell plasticity and frequent wounding in Tasmanian devils combine with an inherent cancer predisposition and low genetic diversity to give rise to transmissible Schwann cell cancers in devils on rare occasions.</p>									
<b>Response to Reviewers:</b>	We appreciate the suggestions and have incorporated all into the revised version. Thank you.									

Reviewer comments

Minor comments:

#1 Page 2: "Transmissible cancers emerge when key enabling factors, such as a mechanism for ongoing transmission ... from one individual to another." This phrase should be reformulated, it is an attempt to have an enumeration of factors that in combination lead to transmissibility. However, the phrase is vague and the only element in the enumeration is the route of transmission. This phrase could be a sum-up of the elements nicely described in the "Factors predisposing devils to transmissible Schwann cell cancer" section.

Response:

Thank you for the suggestion. Our strategy was to highlight that multiple factors are required, without providing detail, as this is covered later. But agree that our submitted version was vague.

We modified-

"Transmissible cancers emerge when key enabling factors, such as a mechanism for ongoing transmission (e.g. biting, coitus), combine to overcome these barriers and permit cancer transfer from one individual to another."

To

"Transmissible cancers emerge when key enabling factors combine to overcome these barriers and permit cancer transfer from one individual to another. Key enabling factors include mechanisms for ongoing transmission (e.g. biting, coitus), strategies to overcome allogeneic barriers (e.g. immune suppression) and a host environment receptive for the growth and expansion of the transmitted cancer cells. Prior to the mid-1990s, transmissible cancers were known to have emerged naturally only in Canine Transmissible Venereal Tumour (CTVT), an ancient venereal sarcoma transmitted between dogs during coitus [3]. Eight transmissible cancers have since been described; two nerve sheath tumours in Tasmanian devils [12,13] and six haemic neoplasias in marine mollusc species [4,8,9]. These discoveries suggest that cancer transmission could occur more readily in nature than previously thought."

#2 The references 11 and 12 should be inter-changed as chronologically the discovery of DFTD1 and the Science paper 2010 should come first.

Response:

We have interchanged references 11 (now [12]) and 12 (now [13]) throughout the paper to reflect the chronology of the discovery of DFT1 and DFT2.

#3 The geographic map of Tasmania is a very helpful visual aid to situate and follow the spreading of the DFTDs. What is usually missing from these maps are geographic barriers (i.e. high mountain chains) that could influence the spreading.

We thank the reviewer for this suggestion. We have altered this figure to include a topographical map of Tasmania to demonstrate the geographical barriers to DFT spread.

New figure:

Legend changed from

Fig. 1. Topographical map demonstrating distribution and spread of DFTD. DFT1 was first observed in Mount William National Park (wukalina) in 1996 and has spread from

east to west across the majority of the state. Only the far north-west and south-west of Tasmania are believed to be DFT1-free. DFT2 was first observed in the D'Entrecasteaux Peninsula region in 2014. DFT2 currently remains localised to this region.  
To

Fig. 1. Topographical map demonstrating distribution and spread of DFTD. DFT1 was first observed at Waterhouse Point in Mount William National Park (wukalina) in 1996 and has spread from east to west across the majority of the state. Initially DFT1 spread south and west to almost half the of the known devil habitat. Geographical barriers such as mountains (shaded brown) and rivers then affected the spread. Consequently only the far north-west and south-west of Tasmania are believed to be DFT1-free. However, DFT1 has caused approximately an 80% devil population decline [22]. Ultimately DFT1 will reach the far north-west. Despite evidence for pockets of devil populations it is unlikely that DFT1 will reach the south-west of Tasmania as this area is rugged and unsuitable devil habitat. DFT2 was first observed in the D'Entrecasteaux Peninsula region in 2014. DFT2 currently remains localised to this region [7] as the area is surrounded by mountain ranges and the sea. Heat map represents topography in meters and distance scale is in kilometres. The topographical map was sourced from Wikimedia Commons ([https://upload.wikimedia.org/wikipedia/commons/2/21/Topography\\_of\\_Tasmania.jpg](https://upload.wikimedia.org/wikipedia/commons/2/21/Topography_of_Tasmania.jpg)) and author (AP) overlaid the distribution and spread of DFT1 and DFT2.

#4 Page 4: "A genome wide CRISPR/Cas9 screen proposed that the epigenetic silencing of the MHC-I processing ..." This phrase is confusing as the study is mainly focused on mouse and human cancer studies which should be mentioned. The study mentions that the PRC2 link to MHC-I epigenetic silencing could also explain DFTD1 observations, based on chemical inhibition of H3K27me3 in the DFTD1 cell line. Tuning down and putting this discovery in its context would improve the accuracy.

Response:

In order to be brief we overlooked the potential to simplify and inadvertently overstate the results. The reviewer correctly highlighted the confusion that resulted (human/mouse versus devil).

We modified-

"A genome wide CRISPR/Cas9 screen proposed that the epigenetic silencing of the MHC-I processing pathway in DFT1 is related to the polycomb repressive complex-2 (PRC2) [33]. Currently, MHC-I loss provides the basis for understanding the transmission of DFT1 across genetically-diverse devil populations."

To

"To characterize mechanisms that lead to MHC-I silencing in human cancers a genome wide CRISPR/Cas9 screen of the MHC-I negative human erythroleukaemic cell line, K562, was undertaken [34]. The polycomb repressive complex 2 (PRC2) was shown to cause transcriptional silencing of the MHC-I antigen processing pathway. Reversal of PRC2 inhibition was also found to induce expression of multiple MHC-I genes in DFT1 cells. This led the authors to suggest that epigenetic silencing of the MHC-I processing pathway in DFT1 is related to the PRC2. Currently, MHC-I loss provides the basis for understanding the transmission of DFT1 across genetically-diverse devil populations."

#5 The authors should mention that the isolation and study of Schwann cells in general is very challenging and that even more so for the Tasmanian Devil, where the perfect control for DFTD, isolated Schwann cells or cell line, is not available yet.

Response:

Thank you for the suggestion. It is valuable to reinforce some of the obstacles to

research.

We added-

“The origin of both DFT1 and DFT2 from Schwann cells indicates a predisposition of devils to transmissible cancers of this specific cell lineage. However, investigation of this predisposition in devils has been hampered by difficulties in developing stable Schwann cell lines. This difficulty is further exacerbated because the source of the primary material (neonatal devils) is rare due to the endangered status of the population. Studies into the nature of Schwann cells in other species will instead provide clues as to how Schwann cells were transformed into transmissible cancers in Tasmanian devils.”

Immediately prior to the “Schwann Cell functions and DFTD” section.

#6 Page 7: To ensure a better readability of the "Repair Schwann cells" section, the paragraphs could be re-organised so as to present the c-Jun short-time injury repair system and the sustained injury STAT3 repair program of the Schwann cells. And end with the paragraph putting both DFTD1 and DFTD2 in the context of these repair programs.

We have reordered the content of this section as suggested by the reviewer to improve its readability.

We reordered

From

“In comparison to DFT1 cells, which exhibit characteristics of myelinating Schwann cells, DFT2 cells exhibit strong activation of mesenchymal pathways and are more phenotypically similar to repair Schwann cells. This is evident from the gene expression profile of DFT2 cells, which demonstrates activation of a wide range of mesenchymal genes and deactivation of myelination pathways [11]. Further research is required to understand how this mesenchymal phenotype contributes to DFT2 tumorigenesis. As in human cancers, a potential scenario is that strong activation of mesenchymal pathways enhances proliferation, migration, invasion, ‘stemness’ and drug resistance in DFT2 tumours [69,70]. Another possibility is that inflammatory factors released through these pathways aid repression or modulation of anti-cancer immune responses. A similar scenario has been observed in human lung cancers, neurofibromas and melanoma, where factors in the tumour microenvironment promote the trans-differentiation of resident Schwann cells into a mesenchymal repair-like phenotype [71,72]. These tumour-associated Schwann cells promote tumour progression via release of inflammatory factors and chemokines such as CXCL5 that further promote cancer EMT and modulate immunosuppression [72,71,73]. Another important signalling event during the Schwann cell repair response is activation of the transcription factor signal transducer and activator of transcription 3 (STAT3) [74]. Chronic loss of axonal contact within the distal portion of injured nerves decreases the regenerative capacity of repair Schwann cells. Reduced production of growth factors such as bone-derived neurotrophic factor (BDNF) and glial cell-derived neurotrophic factor (GDNF) promotes death of these cells [75,76]. Interleukin-6 (IL6)/glycoprotein 130 (gp130) and neuregulin-1 (NRG1)/ErbB2/3 signalling pathways activate STAT3 to support the long-term survival of repair Schwann cells during this loss of axonal innervation [74,77,78]. The NRG1-ErbB2/3-STAT3 signalling pathway is overactivated in DFT1 through copy number gains and has been identified as a key driver of DFT1 tumorigenesis [19,47]. Pharmacological inhibitors of this pathway such as sapitinib killed DFT1 cells in vitro and arrested DFT1 growth in a mouse model [47]. Components of ErbB2/3-STAT3 signalling pathways were also suppressed in DFT1 cells treated with imiquimod, a drug that induces DFT1 cell death via overload of mitochondrial and ER stress responses [79,80]. These findings suggest that ErbB2/-STAT3 signalling pathways in Schwann cells are also critical for supporting DFT1 survival.”

To

“Another important signalling event during the Schwann cell repair response is activation of the transcription factor signal transducer and activator of transcription 3 (STAT3) [70]. Chronic loss of axonal contact within the distal portion of injured nerves decreases the regenerative capacity of repair Schwann cells. Reduced production of growth factors such as bone-derived neurotrophic factor (BDNF) and glial cell-derived neurotrophic factor (GDNF) promotes death of these cells [71,72]. STAT3 is activated by interleukin-6 (IL6)/glycoprotein 130 (gp130) and neuregulin-1 (NRG1)/ErbB2/3 signalling pathways during this loss of axonal innervation to support the long-term survival of repair Schwann cells [70,73,74].

Both DFT1 and DFT2 cells display activation of pathways that are up-regulated during the repair Schwann cell response. Although DFT1 cells predominantly exhibit a myelinating phenotype, they also display overactivation of the NRG1-ErbB2/3-STAT3 signalling pathway via copy number gains to ERBB3 [20,48]. Pharmacological inhibitors of this pathway such as sapitinib killed DFT1 cells in vitro and arrested DFT1 growth in a mouse model, suggesting that ErbB2/3-STAT3 signalling is a key driver of DFT1 survival [48]. Components of ErbB2/3-STAT3 signalling pathways were also suppressed in DFT1 cells treated with imiquimod, a drug that induces DFT1 cell death via overload of mitochondrial and ER stress responses [75,76]. In comparison, DFT2 cells demonstrate activation of a wide range of mesenchymal genes and deactivation of myelination pathways, a similar phenotype to repair Schwann cells [13]. Further research is required to understand how this mesenchymal phenotype contributes to DFT2 tumorigenesis. As in human cancers, a potential scenario is that strong activation of mesenchymal pathways enhances proliferation, migration, invasion, ‘stemness’ and drug resistance in DFT2 tumours [77,78]. Another possibility is that inflammatory factors released through these pathways aid repression or modulation of anti-cancer immune responses. A similar scenario has been observed in human lung cancer, neurofibroma and melanoma, where factors in the tumour microenvironment promote the trans-differentiation of resident Schwann cells into a mesenchymal repair-like phenotype [79,80]. These tumour-associated Schwann cells promote tumour progression via release of inflammatory factors and chemokines such as CXCL5 that further promote cancer EMT and modulate immunosuppression [79, 80, 81].

Additional changes:

A recent paper (November 5, 2019) has described a ninth transmissible leukaemia affecting two new mollusc species. We have included this discovery in the introduction section of the manuscript to ensure that the review contains up-to-date information.

The section now reads

“ As of 2019, only nine transmissible cancers affecting eight animal species have been identified. These involve dogs (*Canis lupus familiaris*) [3], Tasmanian devils (*Sarcophilus harrisii*) [2,7] and six mollusc species (*Mys arenaria*, *Mytilus trossulus*, *Mytilus chilensis*, *Mytilus edulis*, *Cerastoderma edule* and *Politiitapes aureus*) [4,8,9].”

# Curse of the devil: Molecular insights into the emergence of transmissible cancers in the Tasmanian devil (*Sarcophilus harrisii*)

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## Abstract

The Tasmanian devil (*Sarcophilus harrisii*) is the only mammalian species known to be affected by multiple transmissible cancers. Devil facial tumour 1 and 2 (DFT1 and DFT2) are independent neoplastic cell lineages that produce large, disfiguring cancers known as devil facial tumour disease (DFTD). The long-term persistence of wild Tasmanian devils is threatened due to the ability of DFTD cells to propagate as contagious allografts and the high mortality rate of DFTD. Recent studies have demonstrated that both DFT1 and DFT2 cancers originated from founder cells of the Schwann cell lineage, an uncommon origin of malignant cancer in humans. This unprecedented finding has revealed a potential predisposition of Tasmanian devils to transmissible cancers of the Schwann cell lineage. In this review, we compare the molecular nature of human Schwann cells and nerve sheath tumours with DFT1 and DFT2 to gain insights into the emergence of transmissible cancers in the Tasmanian devil. We discuss a potential mechanism whereby Schwann cell plasticity and frequent wounding in Tasmanian devils combine with an inherent cancer predisposition and low genetic diversity to give rise to transmissible Schwann cell cancers in devils on rare occasions.

## Keywords

Tasmanian devil, devil facial tumour disease, transmissible cancer, Schwann cell, nerve sheath tumour, tumour microenvironment

## Acknowledgments

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## Introduction

Transmissible cancers are neoplastic cell lineages that have acquired the ability to spread between individuals as contagious allografts [1-4]. Transmissible cancers originate within a single founder animal, are clonal and genetically distinct from the host in all subsequent cases of the disease. Several isolated cases of cancer transmission have been observed in humans [e.g. [5,6]], but epidemics involving wide-spread and continuous horizontal cancer transmission are rare in nature. As of 2019, only **nine** transmissible cancers affecting **eight** animal species have been identified. These involve dogs (*Canis lupus familiaris*) [3], Tasmanian devils (*Sarcophilus harrisii*) [2,7] and **six** mollusc species (*Mys arenaria*, *Mytilus trossulus*, *Mytilus chilensis*, *Mytilus edulis*, *Cerastoderma edule* and *Polititapes aureus*) [4,8,9]. The rarity of transmissible cancers is most likely a consequence of highly evolved physical barriers, allogeneic defences and anti-cancer immune responses that have been shaped throughout evolution to protect against negative effects of ‘non-self’ and oncogenic threats. Indeed, allogeneic defences are evident in species as primitive as the basal metazoans and potentially developed to prevent parasitism of transferred somatic cells [10,11]. Transmissible cancers emerge when key enabling factors **combine to overcome these barriers and permit cancer cell transfer from one individual to another. Key enabling factors include mechanisms for ongoing transmission (e.g. biting, coitus), strategies to overcome allogeneic barriers (e.g. immune suppression) and a host environment receptive for the growth and expansion of the transmitted cancer cells.** Prior to the mid-1990s, **transmissible cancers were** known to have **emerged** naturally only in Canine Transmissible Venereal Tumour (CTVT), an ancient venereal sarcoma transmitted between dogs during coitus [3]. **Eight** transmissible cancers have since been described; two nerve sheath tumours in Tasmanian devils [12,13] and **six** haemic neoplasias in marine mollusc species [4,8,9]. These discoveries suggest that cancer transmission could occur more readily in nature than previously thought.

Investigations into transmissible cancers have the potential to provide insights into mechanisms by which nature’s most robust barriers against infection can be overcome to cause disease. The first transmissible cancer to be identified in a mammalian species, CTVT, has been endemic in dog



populations for thousands of years [14,15]. Consequently, the age of the clonal CTVT cells has provided an impediment to investigating the genesis of this cancer. CTVT cells underwent significant evolution after emergence to give rise to a well-adapted transmissible cancer that has undergone global spread aided by human migration [14]. In otherwise healthy dogs, CTVT has a low capacity to become metastatic, can spontaneously regress, and exhibits high sensitivity to chemotherapeutic agents such as Vincristine [16-18]. As a result, this transmissible cancer does not pose a significant threat to the long-term survival of the dog population.

In contrast to CTVT, Tasmanian devil populations have been considerably impacted by two fatal transmissible cancers that are known collectively as devil facial tumour disease (DFTD) [19,7]. The first of these cancers was observed in 1996 and was initially termed DFTD due to its propensity to affect the facial area [19]. Since the discovery of a second independent facial tumour in 2014, the cancers have frequently been referred to as DFT1 and DFT2 [7]. The recently emerged nature of DFT1 and DFT2 has provided a unique opportunity for studies into the requirements for cancer transmission in mammalian species. Parallels drawn between DFT1 and DFT2 suggest that these cancers did not emerge by chance, but due to a combination of factors that predispose devils to transmissible cancers of this type [20,13]. Most striking of these similarities was the discovery that both DFT1 and DFT2 arose from founder cells of the Schwann cell lineage [12,13]. Insights into the molecular nature of DFT1 and DFT2, Schwann cells and nerve sheath tumours are providing a greater understanding of how DFTD tumours emerge in Tasmanian devils.

## **Devil Facial Tumour Disease (DFT1 and DFT2)**

The Tasmanian devil is a marsupial scavenger and hunter unique to the Australian island state of Tasmania. Aptly named by early European settlers for their unearthly shrieks and seemingly cantankerous nature, devils are the apex mammalian predator in Tasmania. Consequently, devils play an essential role in the Tasmanian ecosystem [19]. In the mid-1990s, the Tasmanian devil population was estimated at approximately 150,000 individuals [19,21]. However, the emergence of DFT1 has now reduced numbers in affected populations by an average of 77% [22]. The first observed case of DFT1 was in 1996 in Mount William National Park (wukalina) in Tasmania's northeast (Fig. 1). Within twenty years, the disease spread across the majority of Tasmania [19,22]. DFT1 cancers present as large, disfiguring masses that severely impact body condition, frequently metastasise to internal organs and are usually fatal within six to twelve months of lesion appearance [23,24]. The cancers are usually found in and around the oral cavity [23] and are primarily transmitted by devil-to-devil biting, a common interaction of devils during feeding and mating [25,2,26]. In populations where DFT1 is endemic, devils tend to become infected from around two years of age and older devils are rarely found [27,28]. This reduction in mature devil numbers has led to increased precocial



1 breeding of females in affected populations, which may allow maintenance of these populations at  
2 low numbers [27,28,22]. There is no evidence for extinction of local populations as a result of DFT1  
3 infection, and recent observations of DFT1-driven genetic selection have ignited predictions that  
4 devils could evolve to resist DFT1 [29,30]. However, with the combined influence of population  
5 decline and other factors such as vehicle strike, additional diseases and loss of genetic diversity [31],  
6 it is likely that devil populations will remain endangered for the foreseeable future.  
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10  
11 The initial studies into the nature of DFT1 identified unique chromosomal rearrangements that were  
12 consistent between different tumours and distinguishable from host tissues [2]. It was apparent that  
13 the tumour cells were clonal in origin, giving rise to the ‘allograft theory’. This theory proposed that  
14 DFT1 was a transmissible cancer spread as an allograft during biting behaviours of devils [2]. A devil  
15 with a pericentric inversion of host chromosome 5 provided the most compelling evidence for  
16 horizontal DFT1 transmission. The DFT1 cancer affecting this devil did not share this genetic  
17 abnormality, suggesting that the tumour must have arisen elsewhere [2]. Other studies confirmed the  
18 allograft theory through microsatellite and major histocompatibility complex class I (MHC-I)  
19 genotyping of host and DFT1 tissues [32]. An explanation for DFT1 transmission was provided in  
20 2013 when it was discovered that DFT1 cells lack surface MHC-I molecules, which are required for  
21 recognition of allogeneic cells by the immune system [33]. Indeed, components of MHC-I processing  
22 pathways including  $\beta$ 2-microglobulin ( $\beta$ 2m) and the transporters associated with antigen processing  
23 (TAP) -1 and -2, are suppressed by epigenetic silencing of MHC-I in DFT1, preventing MHC-I  
24 exposure at the cell surface [33]. To characterize mechanisms that lead to MHC-I silencing in human  
25 cancers a genome wide CRISPR/Cas9 screen of the MHC-I negative human erythroleukaemic cell  
26 line, K562, was undertaken [34]. The polycomb repressive complex 2 (PRC2) was shown to cause  
27 transcriptional silencing of the MHC-I antigen processing pathway. Reversal of PRC2 inhibition was  
28 also found to induce expression of multiple MHC-I genes in DFT1 cells. This led the authors to  
29 suggest that epigenetic silencing of the MHC-I processing pathway in DFT1 is related to the PRC2.  
30 Currently, MHC-I loss provides the basis for understanding the transmission of DFT1 across  
31 genetically-diverse devil populations.  
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47 In comparison to DFT1, DFT2 was first observed in 2014 and almost immediately was determined to  
48 be another transmissible cancer [7]. At the gross level, DFT2 appears almost identical to DFT1.  
49 However, the tumours were serendipitously determined to be distinct when standard  
50 immunohistochemical detection of periaxin (PRX), a diagnostic marker of DFT1 tumours [35,12],  
51 was negative in two tumours obtained from the D’Entrecasteaux Peninsula region of southern  
52 Tasmania (Fig.1) [7]. Subsequent analysis of the two tumours and further tumours from this region  
53 revealed chromosomal rearrangements, microsatellite genotypes and MHC-I genotypes that were  
54 distinct from DFT1 and host tissues, but identical across all the tumours [7]. The presence of a Y  
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chromosome in DFT2 cells confirmed that the tumour arose independently from DFT1, which harbours genetic material from two X chromosomes and lacks a Y chromosome [36,7]. DFT2 currently remains localised to the semi-isolated D'Entrecasteaux Peninsula region. It is unclear how this cancer will impact populations of devils already decimated by DFT1 if the disease spreads to other regions of Tasmania [37]. Nonetheless, the discovery of a transmissible tumour at an early stage of evolution [20] has provided a unique tool for investigating how cancers become transmissible in the Tasmanian devil.

## Cellular origins of DFT1 and DFT2

Early investigations into DFT1 and DFT2 focussed on determining the founder cell type that gave rise to these tumours [12,13]. Immunohistochemical studies revealed that DFT1 cells were positive for markers of neuroectodermal cells including vimentin, S-100, melan A, chromogranin A and synaptophysin [38]. Investigation of the DFT1 transcriptome confirmed this finding and identified a gene expression profile that was most similar to peripheral nerve and brain, and distinct from other tissue types including spleen, heart, lung, liver, skin, testis and kidney [12]. DFT1 tumour sections were also demonstrated to express a range of proteins associated with Schwann cell differentiation and myelination, including myelin binding protein (MBP), peripheral myelin protein 22 (PMP22), myelin protein zero (MPZ), nestin (NES) and nerve growth factor receptor (NGFR) [12]. This finding suggested a Schwann cell origin for DFT1 cancers. The myelin protein PRX provided an excellent diagnostic marker for DFT1 due to its high specificity of expression by DFT1 cells [12,35].

Immunohistochemical analysis of DFT2 cells demonstrated a similar expression of neuroectodermal markers such as vimentin, neural-specific enolase (NSE) and S100, but a lack of myelin-specific proteins such as PRX [20,7]. Although PRX was absent, further analysis of DFT2 tumours revealed expression of other Schwann cell lineage markers including SRY-box 10 (SOX10), NES and NGFR [13]. Total gene expression patterns of DFT2 tumours were also found to be similar to DFT1 and peripheral nerve tissues, and different to a range of normal tissues including spleen, brain, heart and testis. Together these findings suggested that DFT2 also arose from the Schwann cell lineage, with the absence of PRX perhaps indicating a difference in the state of differentiation of DFT2 cells relative to DFT1 [13]. This was an unexpected finding due to the rarity of nerve sheath tumours in humans [39].

The origin of both DFT1 and DFT2 from Schwann cells indicates a predisposition of devils to transmissible cancers of this specific cell lineage. However, investigation of this predisposition in devils has been hampered by difficulties in developing stable Schwann cell lines. This difficulty is further exacerbated because the source of the primary material (neonatal devils) is rare due to the endangered status of the population. Studies into the nature of Schwann cells in other species will

instead provide clues as to how Schwann cells transformed into transmissible cancers in Tasmanian devils.

## Schwann cell functions and DFTD

### Myelinating Schwann cells

As the principal glia of the peripheral nervous system, Schwann cells have the essential function of producing the myelin sheath, a lipid-rich substance that wraps around nerves and is required for the rapid conduction of action potentials along neuronal axons. Schwann cells originate in the ectoderm and Schwann cells differentiate from neural crest stem cells through three developmental stages; from Schwann cell precursors, to immature Schwann cells, and finally to mature Schwann cells [40,41]. Mature Schwann cells exist as both myelinating and non-myelinating (Remak) Schwann cells, which differ via activation of key transcription factors required for myelination such as early growth response protein 2 (EGR2; also known as Krox20) [41,42]. EGR2 is required for expression of key myelin proteins including PRX, MPZ, MBP and PMP22 [43]. During development, immature Schwann cells are randomly associated with axons that determine the state of EGR2 activation via paracrine and juxtacrine neuregulin 1 (NRG1)-ErbB2/3 signalling [44,45]. Larger axons are dependent on myelination and promote EGR2 activation and differentiation of myelinating Schwann cells. In comparison, smaller neurons promote the differentiation of non-myelinating Remak Schwann cells, which maintain nerve integrity by wrapping multiple small axons in membrane protrusions (Remak bundles) [41,46]. The ErbB2/3-EGR2 pathway exhibits high plasticity and can be up- or down-regulated in both Remak and myelinating Schwann cells via regulation of NRG1 isoform expression and concentration [45,46,44]. Indeed, transplantation of Remak Schwann cells onto larger neurons leads to activation of EGR2-mediated myelination, demonstrating the high plasticity of this pathway [47].

The function of Schwann cells is likely to be conserved in Tasmanian devils due to the necessity of myelination for nerve integrity. Indeed, devil peripheral nerve samples have been demonstrated to express a range of myelin genes, indicating that these functions are intact [12,13]. Recent studies have indicated that DFT1 cells are phenotypically similar to myelinating Schwann cells due to overactivation of ERBB3 signalling and high expression of a range of myelin-specific proteins [13,48]. Compared to DFT2, DFT1 cells also express high levels of genes associated with channel activity, which is required for communication between axons and myelinating Schwann cells [13]. Myelinating Schwann cells play vital roles in maintaining axons and respond to external cues such as damage-associated molecules and metabolic factors, to provide appropriate nerve support [49,50]. Interaction between ATP released from axons and purinergic P2X receptors (ligand-gated ion

channels) in Schwann cells is thought to be critical for proper myelination and to promote nerve regeneration upon injury [51,49]. In DFT1, maintenance of these functions could allow the cancer cells to remain responsive to changes within the tumour microenvironment.

## Repair Schwann cells

A second essential role of Schwann cells involves regulation of the regenerative response to peripheral nerve damage. This process, frequently referred to as Wallerian degeneration, involves the trans-differentiation of myelinating and Remak Schwann cells located distal to an injury into mesenchymal-like cells that migrate to the site of damage and participate in several sequential functions to repair damaged nerves [52-54]. These functions include release of growth factors to support neuron survival and regrowth [55-57], production of inflammatory factors that recruit and activate innate immune cells for wound healing [54,58,59], formation of tracks called Bands of Bünger that guide axons back to their targets [53,52], activation of autophagic pathways to remove myelin debris [60,61], and finally, remyelination [52,62]. Various factors have been implicated in stimulating this phenotypic shift in Schwann cells, including damage-associated molecules such toll-like receptor (TLR) ligands and ATP [50,63,49], and cytokines such as transforming growth factor- $\beta$  (TGF $\beta$ ) and interleukin-1 $\beta$  (IL1 $\beta$ ) [54,64]. The transcription factor c-Jun is a critical mediator of the trans-differentiation of a mature Schwann cell into a repair Schwann cell and at high levels initiates the down-regulation of EGR2-mediated myelination to promote this response [53,56,65,66]. Other signalling events that are required for an effective Schwann cell repair response include activation of merlin-mediated Hippo signalling, and reversal of epigenetic regulation by the histone methyltransferase PRC2 [67-69].

Another important signalling event during the Schwann cell repair response is activation of the transcription factor signal transducer and activator of transcription 3 (STAT3) [70]. Chronic loss of axonal contact within the distal portion of injured nerves decreases the regenerative capacity of repair Schwann cells. Reduced production of growth factors such as bone-derived neurotrophic factor (BDNF) and glial cell-derived neurotrophic factor (GDNF) promotes death of these cells [71,72]. STAT3 is activated by interleukin-6 (IL6)/glycoprotein 130 (gp130) and neuregulin-1 (NRG1)/ErbB2/3 signalling pathways during this loss of axonal innervation to support the long-term survival of repair Schwann cells [70,73,74].

Both DFT1 and DFT2 cells display activation of pathways that are up-regulated during the repair Schwann cell response. Although DFT1 cells predominantly exhibit a myelinating phenotype, they also display overactivation of the NRG1-ErbB2/3-STAT3 signalling pathway via copy number gains to *ERBB3* [20,48]. Pharmacological inhibitors of this pathway such as sapitinib killed DFT1 cells *in vitro* and arrested DFT1 growth in a mouse model, suggesting that ErbB2/3-STAT3 signalling is a

key driver of DFT1 survival [48]. Components of ErbB2/3-STAT3 signalling pathways were also suppressed in DFT1 cells treated with imiquimod, a drug that induces DFT1 cell death via overload of mitochondrial and ER stress responses [75,76]. In comparison, DFT2 cells demonstrate activation of a wide range of mesenchymal genes and deactivation of myelination pathways, a similar phenotype to repair Schwann cells [13]. Further research is required to understand how this mesenchymal phenotype contributes to DFT2 tumorigenesis. As in human cancers, a potential scenario is that strong activation of mesenchymal pathways enhances proliferation, migration, invasion, 'stemness' and drug resistance in DFT2 tumours [77,78]. Another possibility is that inflammatory factors released through these pathways aid repression or modulation of anti-cancer immune responses. A similar scenario has been observed in human lung cancer, neurofibroma and melanoma, where factors in the tumour microenvironment promote the trans-differentiation of resident Schwann cells into a mesenchymal repair-like phenotype [79,80]. These tumour-associated Schwann cells promote tumour progression via release of inflammatory factors and chemokines such as CXCL5 that further promote cancer EMT and modulate immunosuppression [79, 80, 81].

### **Schwann cell phenotypes and DFTD emergence**

DFT1 and DFT2 cancers exhibit phenotypic differences, despite sharing a similar Schwann cell origin. We propose two models that could explain how DFT1 and DFT2 tumours with distinct phenotypes arose from the same cell type (Fig. 2). In the first model, DFT1 and DFT2 tumours arose from Schwann cells at different functional stages and have maintained key pathways that were activated at the time of transformation (Fig. 2a). For DFT2 cells, transformation could have occurred during peripheral nerve injury, accounting for deactivation of myelination pathways and activation of a mesenchymal signature [13]. In comparison, activation of ErbB2/3-ERG2 mediated pathways in DFT1 is consistent with transformation of this tumour from a Schwann cell participating in myelination, with STAT3 activation indicating that the tumour could have arisen during the late stages of nerve repair [13,48]. While possible, this model assumes that DFTD phenotypes are static. This is perhaps an unlikely property of the tumours given the high plasticity of Schwann cells during normal nerve maintenance.

Our second model of DFTD emergence proposes that DFT1 and DFT2 tumours assumed a phenotype post-transformation that was most fitting for immune evasion and survival under certain conditions (Fig. 2b). In this model, DFT1 and DFT2 cells benefit from Schwann cell plasticity and adopt a phenotype in response to external cues provided by host cells in the tumour microenvironment. Recent studies of MHC-I regulation in DFT1 and DFT2 provide support for this second model. In DFT1, high ERBB3/STAT3 signalling is thought to contribute to the observed down-regulation of MHC-I at the cell surface [48,33]. Comparatively, DFT2 tumours express MHC-I, but achieve

immune evasion by displaying alleles expressed by the infected host devil (i.e. non-polymorphic classical MHC-I alleles), or suppressive non-classical MHC-I alleles [82]. So far, this has been an effective method of immune evasion given that DFT2 is currently localised to a semi-isolated peninsula where genetic diversity among devils is likely to be low [7,37]. Early evidence from a small number of DFT2 tumours affecting hosts with different classical MHC-I alleles has revealed that MHC-I is down-regulated or lost in these DFT2 cancers, presumably in response to increased allogeneic immune pressure [82]. Given that *ERBB3* signalling likely contributes to both MHC-I down-regulation and *EGR2*-mediated myelination in DFT1 [48], and that *EGR2*-mediated myelination is mutually exclusive to the repair phenotype in other models [83,66], an increased requirement for MHC-I suppression in DFT2 as it spreads into genetically diverse populations of devils could result in the cancer adopting a similar phenotype to DFT1. Similarly, DFT1 may have existed with a similar phenotype to DFT2 prior to the commencement of its spread across Tasmania in the late 1990s.

Studies in human cancer also support our second model of DFTD emergence. Single-cell analysis of 28 glioblastoma tumours has recently revealed four distinct and highly plastic cellular states driven by gene amplifications and the tumour microenvironment [84]. In DFTD, genomic studies have similarly revealed amplifications to *ERBB3* in DFT1 and *PDGFRA* in DFT2 [85,20], which have known roles in driving myelination and mesenchymal pathways, respectively [86,44]. While *ERBB3* signalling is closely linked to the myelinating phenotype present in DFT1, *PDGFRA* signalling has not been directly implicated in Schwann cell repair pathways. However, this gene does drive epithelial to mesenchymal transitions (EMT) in human gliomas [86,84], and could give rise to a mesenchymal phenotype in DFT2 tumours that is similar to the repair phenotype. This difference in the mesenchymal state of DFT1 and DFT2 tumours reflects evidence from other cancer studies that suggests that cancers exist in different states of differentiation based on relative activation of EMT pathways [87-89,77]. Indeed, human tumours often exist in an intermediate EMT state, with characteristics of both epithelial and mesenchymal cells [88,90,89]. Furthermore, it is now clear that human tumour cells can also undergo mesenchymal to epithelial (MET) transitions, allowing metastasising cells to establish tumours at distant sites via re-activation of certain epithelial proteins [88,91,92]. DFT1 and DFT2 cells display distinct morphology in cell culture and via histology, which could reflect the increased activation of mesenchymal pathways in DFT2 cells (Fig.3).

## DFTD and nerve sheath tumours

Knowledge of nerve sheath tumours in other animal species could provide insight into the emergence of DFTD cancers in Tasmanian devils. Relative to humans, benign and malignant nerve sheath tumours are perceived as rare in animal species. These tumours have most frequently been reported in

the veterinary literature in animals that tend to undergo regular monitoring through routine veterinary care, such as dogs, cows and horses [93-95]. Nerve sheath tumours arising in these species can be benign or malignant, but very few studies have been performed to understand the factors driving their genesis [95]. As a result, the human nerve sheath literature provides a better source of information for understanding DFTD cancers in devils. Although animal species such as dogs exhibit biting, licking and fighting behaviours that could pose as mechanisms of nerve sheath tumour transfer, to our knowledge there have been no reports of transmission of these cancers in any other animal species.

Human nerve sheath tumours are classified by the neoplastic proliferation of cells with Schwannian differentiation [96]. These cancers present frequently as benign Schwannomas or neurofibromas, and on rarer occasions as malignant peripheral nerve sheath tumours (MPNSTs), perineuriomas, granular cell tumours or histologically indistinct ‘hybrid’ tumours with diagnostic characteristics of multiple nerve sheath tumour types [97,98]. Most nerve sheath tumours have a low capacity to become malignant. This is especially the case for schwannomas, which arise directly from Schwann cells in the periphery of the nerve, consist solely of Schwann cells and are often asymptomatic [97]. In comparison, neurofibromas are heterogeneous benign tumours that arise from the centre of the nerve fibre and are composed of a variety of cell types including Schwann cells, perineural cells, vascular cells, fibroblasts and inflammatory cells [97,98]. Unlike Schwann cells, neurofibromas can give rise to MPNSTs, a rare form of malignant sarcoma affecting around 1 in 10 million people per year in the USA [39]. Prognosis is poor for patients affected by MPNSTs, with most of these cancers being of a high grade and particularly prone to recurrence [98,99].

DFT1 and DFT2 cells have genomic aberrations that are similar to those that drive neoplasia in human Schwannomas, neurofibromas and MPNSTs (Table 1) [20]. In humans, Schwannomas most frequently arise sporadically and are associated with loss of function mutations to the tumour-suppressor gene neurofibromin 2 (*NF2*) [100,97,98]. In a smaller portion of cases, schwannomas are associated with the autosomal dominant disorder neurofibromatosis 2, which is characterised by germline mutations to *NF2* [101,100]. *NF2* encodes the protein merlin, a key initiator of the anti-proliferative Hippo signalling pathway that inhibits oncogenic YAP1 and TAZ transcription factors [102,103]. In DFT1, the genomic locus on chromosome 2 that encodes *NF2* has undergone significant rearrangement [85,20]. However, the *NF2* gene lacks somatic changes and is highly expressed in both DFT1 and DFT2 transcriptomes suggesting that it is functional [13,20]. Instead, aberrations in other Hippo signalling components might alter this anti-proliferative pathway in DFT1 and DFT2. Of 2883 single nucleotide variants (SNVs) and 410 indels in DFT1, and 3591 SNVs and 573 indels in DFT2, only 18 variants in DFT1 and 19 variants in DFT2 are non-synonymous [20]. Of these non-synonymous variants, just one each in DFT1 and DFT2 were predicted to be loss-of-function changes, affecting the genes *WWC3* and *MPDZ*, respectively [20]. Both *WWC3* and *MPDZ* aid the



sequestration of YAP1/TAZ in the cytoplasm to promote Hippo-mediated suppression of cell proliferation [104,105]. In a similar manner to *NF2* inactivation, mutation of *WWC3* and *MPDZ* in DFT1 and DFT2 may result in overactivation of YAP1/TAZ, inhibiting Hippo signalling and driving DFTD proliferation. Additionally, interaction of *MPDZ* with *CAMK2A* in humans is associated with synaptic plasticity, and disruption of this pathway can attenuate stress-induced p38 MAPK activity [106]. A subset of DFT1 cells contains an in-frame *CAMK2A-NEURL1B* gene fusion. The convergent alterations of pathways involving *CAMK2A* (DFT1) and *MPDZ* (DFT2) suggests that functional disruption of synaptic signalling pathways could be important for DFTD synapse formation and motility.

Schwannoma development in humans is also a characteristic of the condition Schwannomatosis, an autosomal dominant disorder involving inactivation of either *SWI/SNF*-related matrix-associated regulator of chromatin B1 (*SMARCB1*) or leucine zipper-like transcriptional regulator 1 (*LZTR1*) [107,108]. In DFT1, rearrangement of chromosome 2 has resulted in an out-of-frame fusion of a single allele of the *LZTR1* gene with the potassium calcium-activated channel N1 (*KCNN1*) gene [20]. *LZTR1* encodes a transcription factor that promotes ubiquitination and regulation of the oncoprotein RAS [109]. Although DFT1 tumours express moderate to high levels of RAS genes including *KRAS* and *MRAS* (Table 1) [13], the impact of the heterozygous *LZTR1* fusion on RAS activation in DFT1 is currently unknown.

Neurofibromas are usually caused by sporadic loss-of-function mutations to the tumour suppressor gene neurofibromin 1 (*NFI*), a negative regulator of the proliferative protein RAS [97,98]. In some cases, neurofibromas are associated with the autosomal dominant condition neurofibromatosis 1, which is caused by germline mutation to *NFI* [110,97]. Patients with neurofibromatosis 1 are also at greater risk of developing MPNSTs, with around half of these sarcomas diagnosed in these patients [111]. Neither DFT1 or DFT2 exhibit somatic mutations to *NFI*, and expression of this gene is high in both tumours suggesting that it is functional (Table 1) [20,13]. However, DFT1 and DFT2 tumours do exhibit genomic aberrations that are similar to other common changes in MPNSTs including overexpression of receptor tyrosine kinases (RTKs) [112-114,20,48] and inactivation of proteins involved in cell cycle checkpoints [115,116,20,117]. Several genes involved in RTK signalling exhibit copy number gains in DFT1 (*ERBB3*, *PDGFA*, *PDGFB* and *PDGFRB*) and DFT2 (*PDGFRA*) [85,20]. As in human nerve sheath cancers [118-121,113], it is plausible that these pathways contribute to DFTD tumorigenesis by increasing proliferation, migration and invasion. In other models, RTK signalling has been shown to positively regulate YAP1/TAZ activity and RAS signalling [122-125]. As YAP1/TAZ signalling also promotes RTK activation and might be overactivated in DFT1 and DFT2 [126,127,123,20], cross-talk between *ERBB3*, PDGF and

YAP1/TAZ signalling could represent a key positive feedback loop controlling tumorigenesis in these cells.

YAP1/TAZ overactivation is common in MPNSTs and has been demonstrated to drive oncogenic transformation of Schwann cells in animal models by giving rise to common aberrations including loss of function mutations to the cell cycle regulator *TP53* [126]. Although *TP53* is not mutated in DFT1 or DFT2, DFT2 tumours demonstrate homozygous deletion of *TP73*, a transcription factor related to *TP53* that associates with YAP1 to positively regulate apoptosis in response to DNA damage [20,128,129]. MPNSTs also frequently exhibit inactivating somatic mutations of polycomb proteins *SUZ12* (*SUZ12*) and *EED* (*EED*). These genes are members of the histone methyltransferase PRC2, which trimethylates histone H3 on lysine 27 (H3K27me3) to regulate target gene repression [116,130,131]. It has been hypothesised that loss of PRC2 in cancer leads to a reduction in the threshold of transcriptional activation for target genes such as growth factors and proteins involved in immune evasion [132,133]. Furthermore, PRC2 loss may contribute to epigenetic suppression of MHC-I antigen presentation pathways in nerve sheath tumours [133]. In a subset of DFT1 tumours, *EZH2*, which encodes the third member of the PRC2 complex, has undergone an in-frame fusion with the gene *ETNK2* [20]. However, rather than contributing to MHC-I suppression, studies have suggested that PRC2 loss in DFT1 cells potentiates MHC-I up-regulation in response to interferon-gamma [34]. Additional studies are required to determine how the *ETNK2-EZH2* fusion contributes to MHC-I regulation in DFT1.

**Table 1. Mutation and expression of genes frequently aberrant in human nerve sheath tumours [20,13].**

Gene	Function	Mutation in DFT1*	Expression in DFT1*	Mutation in DFT2*	Expression in DFT2*
NF1	Negative regulation of RAS signalling	nd	high	nd	high
NF2	Positive regulation of Hippo signalling	nd	high	germline missense variant, impact unknown	high
SMARCB1	Chromatin remodelling	nd	high	nd	high
LZTR1	Transcriptional regulation	heterozygous SV, impact unknown	moderate	nd	moderate
WWC3	Positive regulation of Hippo signalling	truncating SNV; copy number loss, predicted LOF	moderate	heterozygous copy number loss	high
MPDZ	Positive regulation of Hippo signalling	nd	high	truncating SV, frame-shift; copy number loss, predicted LOF	low
YAP1	Proliferative transcription factor inhibited by Hippo signalling	nd	high	nd	high
TAZ	Proliferative transcription factor inhibited by Hippo signalling	nd	moderate	nd	high
ERBB3	RTK signalling	copy number gain; three germline missense variants, impact unknown	moderate	three germline missense variants, impact unknown	high
PDGFRA	RTK signalling	nd	low	copy number gain	high

1	PDGFRB	RTK signalling	copy number gain	high	nd	high
2	PDGFA	RTK signalling	copy number gain	high	nd	high
3	PDGFB	RTK signalling	copy number gain; germline missense variant, impact unknown	high	germline missense variant, impact unknown	high
4	NRAS	RAS signalling	nd	not found	nd	not found
5	KRAS	RAS signalling	nd	high	nd	high
6	HRAS	RAS signalling	not found	not found	not found	not found
7	MRAS	RAS signalling	nd	moderate	nd	moderate
8	CDKN2A	Cell cycle regulation	not found	not found	not found	not found
9	TP53	Cell cycle regulation, pro-apoptotic	nd	high	nd	high
10	TP73	Cell cycle regulation, pro-apoptotic	copy number gain	moderate	homozygous copy number loss, predicted LOF	moderate
11	SUZ12	Subunit of the PRC2 complex	nd	high	nd	high
12	EED	Subunit of the PRC2 complex	nd	moderate	nd	moderate
13	EZH2	Subunit of the PRC2 complex	heterozygous SV; copy number loss, impact unknown	high	nd	moderate

nd: none detected; SV: structural variant; SNV: single nucleotide variant; LOF: loss of function

Genes unannotated by Ensembl in Devil\_ref v7.0 are denoted as 'not found'

\*Gene aberrancies reported by Stammnitz et al. [20] in one or more DFT1 or DFT2 tumours.

\*Gene expression in DFT1 and DFT2 cell lines as measured by Patchett et al. [13]. Expression was classed as high, moderate or low based on position in the top, middle or bottom third of all genes ranked by RPKM-normalised read count.

## Factors predisposing devils to transmissible Schwann cell cancers

The identification of two transmissible Schwann cell cancers in Tasmanian devils within twenty years highlights the susceptibility of this species to cancers of this nature [13]. Transmissible cancers must overcome robust allogeneic and oncogenic defences to survive across genetically distinct animals. Consequently, the susceptibility of devils to cancer transmission is potentially due to a combination of factors acting in unison to allow DFTD emergence. No exogenous pathogens and carcinogens have been associated with DFT1 or DFT2 to date, but several endogenous factors have been described [36,20]. The potential contributions of these factors to DFTD emergence are discussed below.

### Inherent predisposition and immune function

Since its emergence in 1996, DFT1 has become the primary cause of mortality in wild Tasmanian devils. Interestingly, non-DFTD neoplasia is a major cause of mortality and morbidity of devils in captivity. A recent study reported that over an eight-year period in Tasmania, non-DFTD cancers accounted for 43% of deaths in captivity [134]. Of these cancer-related mortalities, cutaneous lymphomas, cutaneous round cell tumours, squamous cell carcinomas and adenocarcinomas were most common. No cancers of neural origin were recorded, although neurodegenerative conditions leading to hindlimb paralysis, including leucoencephalomyelopathy and spinal Wallerian

1 degeneration, were the second leading cause of mortality [134]. Historical data from the San Diego  
2 Zoo supports the susceptibility of devils to cancer, with a reported incidence at necropsy that was  
3 twice any other measured species and ten times higher than average [135,136]. Together these studies  
4 indicate that devils could be genetically predisposed to the emergence of cancers, including DFTD.  
5 Indeed, both DFT1 and DFT2 arose from devils with similar ‘eastern’ genotypes, and candidate  
6 germline alleles with a potential role in DFTD predisposition have been identified in these tumours  
7 [20]. However, these alleles were not associated with variants of known inherited cancer risk in  
8 humans, and further investigation is required to determine if they play a role in DFTD susceptibility  
9 [20]. Other heritable factors that may play a role in the emergence of cancers in Tasmanian devils  
10 include their unusual telomere organisation (extreme length dimorphism), which could predispose  
11 cells to chromosomal rearrangements [20,137].  
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19 Aberrancies among pathways involved in cancer prevention, such as immune function, responses to  
20 DNA damage and cell cycle checkpoints are ideal candidates for an increased cancer predisposition in  
21 devils. Given the transmissible nature of DFT1 and DFT2, the immune system of the Tasmanian devil  
22 has been investigated for defects that could explain the emergence of these unusual cancers.  
23 Marsupials were traditionally thought of as having weak immune systems a concept that was  
24 supported by the detection of weak mixed-lymphocyte responses in the short-tailed opossum  
25 (*Monodelphis domestica*) [138], and poor antigen-specific responses in the koala (*Phascolarctos*  
26 *cinereus*) [139]. However, this concept has been challenged by studies in the Tasmanian devil, which  
27 have so far failed to reveal significant insufficiencies among immune responses. Tasmanian devils  
28 have all the expected primary and secondary lymphoid organs and a full complement of leukocytes  
29 [140,141]. Important immune functions including toll-like receptor (TLR) activation, phagocytosis,  
30 leukocyte proliferation, allogeneic detection, antibody production and cytotoxicity are also effective  
31 [142,141,143,144], and rapid and potent recall responses to antigenic challenge have been observed  
32 [143,145]. Given that DFT1 cells lack MHC-I expression [33], the function of natural killer (NK)  
33 cells, which detect and kill aberrant cells lacking MHC-I, remains under investigation. NK cells have  
34 been difficult to assess in the Tasmanian devil due to a lack of available reagents for detecting this  
35 cell subset. However, evidence for rapid antibody-dependent and mitogen-induced killing of human  
36 tumour cells suggests that these cells are also present and functional in Tasmanian devils [146,147].  
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51 Although devils have a functional immune system, there is evidence for a decline in immune function  
52 once they reach adulthood. Adult devils exhibit reduced lymphocyte abundance and T-cell receptor  
53 (TCR) diversity relative to juveniles, which could affect host defences and perhaps increase their  
54 susceptibility to cancer transmission [148,149]. Despite this age-related decline in immune function, a  
55 proportion of adult devils can activate immune responses against DFT1 tumours. This has been  
56 demonstrated through monitoring programs that have detected a small number of wild devils with  
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increased levels of DFT1-specific antibody and spontaneous DFT1 regressions [150,151]. Studies in captivity have also demonstrated immune-mediated rejection of DFT1 tumours after immunotherapy [152]. Although it is unknown whether natural immune responses against DFT1 are protective against subsequent DFT1 encounters, these findings suggest that the genesis of DFTD in devils is not limited to reduced immune function. Instead, the emergence of DFT1 and DFT2 as successful transmissible cancers was likely influenced by the acquisition of active mechanisms of immune evasion by the cancer cells. In support of this, modulation of MHC-I expression appears to be critical to survival of DFT1 and DFT2 cells under different conditions [82,33]. In addition, TCR diversity is markedly decreased after DFT1 infection suggesting that DFT1 cells directly alter their immune landscape [148]. Other strategies used by DFT1 and DFT2 to modulate immune responses could involve expression of immunosuppressive cytokines and inhibitory immune checkpoint molecules [153-155].

### **Low genetic diversity**

A lack of genetic diversity among devil populations has long been implicated as a potential mechanism contributing to DFTD emergence [32]. Historical evidence suggests that devils have undergone population fluctuations and bottlenecks, with factors such as climate events, disease, increased human density and historical culling postulated to have played a role [156,157,19]. These fluctuations have resulted in low diversity among devils which is detectable through genomic sequencing and analysis of MHC-I and microsatellite genotypes [158,31,32,159,160]. A failure of the immune system to reject allografts has been observed in the cheetah, which suffered severe inbreeding and reduced genetic diversity following a previous population bottleneck [161]. In devils, early experiments revealed low mixed-lymphocyte responses between devils from similar locations, suggesting that a lack of diversity could account for DFT1 tolerance [32,162]. To determine if this was the case, allogeneic skin transplants were performed between devils [162]. Within two weeks all allografts displayed extensive immune infiltration and were subsequently rejected. These findings convincingly demonstrated that Tasmanian devils are capable of allorecognition, and it was proposed that low genetic diversity could not fully account for DFT1 transmission among devils.

The discovery of DFT2 in 2014 revealed an alternative role for low genetic diversity in the emergence of DFTD cancers. As discussed above, DFT2 cells predominately express MHC-I alleles that are common in the population where they emerged, allowing the cancer to avoid allogeneic detection in any host also expressing these MHC-I alleles [82]. Low genetic diversity increases the number of hosts with these common MHC-I alleles, thus providing a larger pool of individuals for the tumour to initially infect. This may in turn increase the chance that successful tumour variants will evolve that can spread into genetically diverse devils (i.e. through MHC-I loss). While these findings suggest that low genetic diversity is important to the initial emergence of a transmissible cancer, the evolution of

MHC-I down-regulation appears to be fundamental to the subsequent transmission of these tumours into genetically diverse populations [33,82]. In dogs, CTVT cells also exhibit low MHC-I expression that is thought to prevent allorecognition, but is likely sufficient for inactivation of NK responses [163,3]. MHC-I down-regulation can be transient in CTVT, and induced expression of this molecule supports host survival by enabling tumour rejection [164,165].

The role of low genetic diversity in the emergence of DFT1 and DFT2 could explain the recent appearance of these cancers. Low genetic diversity in devil populations is believed to have arisen before or during the mid-Holocene [156,157]. However, population fluctuations that occurred as recent as the mid-1900s could have further reduced genetic diversity in devil populations to allow transmissible cancers to emerge [156]. Similarly, regrowth of the population after these latest bottlenecks could have given rise to a common genotype predisposing devils to cancer. Understanding the contribution of low genetic diversity to DFTD emergence will be important for mitigating the risk of further transmissible cancers emerging in Tasmanian devils.

### **Devil behaviour**

For a cancer to become transmissible, it must acquire an effective route of tumour cell transfer. In the Tasmanian devil, DFTD cancers appear on external surfaces as large, often ulcerated and friable tumours that are accessible for contact-dependent transmission [23]. Devil-to-devil biting provides an uncontrolled means of contact for this cell transfer to occur. Biting is a common behaviour of devils, particularly during mating interactions [26,25]. Tumour transfer is thought to occur when a healthy devil bites the tumour of an infected devil and the cells become established in existing wounds within the oral cavity of the new host. Cancer cells may also be transferred when an infected devil bites a healthy devil and inoculates cancer cells into the bite wound of the recipient [26]. Wounds provide a break in the protective epithelium of the skin or oral cavity, thus allowing the tumour cells to overcome a major barrier to infection. Furthermore, wounds provide an ideal immunomodulatory environment for tumour establishment. A key step in the wound healing responses involves the release of growth factors and cytokines that promote tissue healing and growth [166]. Similar events are involved in tumour establishment, with recruited fibroblasts and innate cells playing key roles in building the tumour stroma and establishing a suppressive tumour microenvironment [167-169]. Transforming growth factor- $\beta$  (TGF $\beta$ ) is a key suppressive cytokine released by cells at the site of wounding that activates mesenchymal transcription factors and repair pathways within Schwann cells and has many tumour-promoting effects [54,170]. Previous studies have detected TGF $\beta$  expression within DFT1 tumours, suggesting that this cytokine could be important to DFTD tumorigenesis [153].

In humans, chemicals and conditions that cause tissue damage contribute to mutagenesis by

1 promoting the release of inflammatory mediators, growth factors and damaging molecules such as  
2 reactive oxygen and reactive nitrogen species [171]. These agents prevent tumour suppression,  
3 promote cell proliferation and cause DNA damage, increasing the chance of tumour development. It  
4 has been hypothesised that tumours are “wounds that do not heal” [168], with repetitive injury or  
5 unresolved inflammation leading to an unchecked wound healing process that promotes cancer  
6 growth and survival [169]. This situation could apply to the Tasmanian devil, where consistent  
7 wounding through bite injuries and scavenging on sharp bone fragments has potential to produce  
8 repeated inflammation among Schwann cells of the innervated sensory vibrissae. The high plasticity  
9 and proliferative nature of Schwann cells during injury might leave these cells vulnerable to  
10 oncogenic transformation. Indeed, the YAP1/TAZ and ERBB3 pathways that have been implicated as  
11 potential drivers of DFT1 and DFT2 tumorigenesis are also regulated during the Schwann cell repair  
12 response during injury [67,70,20,48]. These pathways are driven by inflammatory factors such as  
13 TGF $\beta$  and IL1 $\beta$ , which act in wound and cancer microenvironments to modulate both cancer cells and  
14 immune responses [64,54,170].

24 We have previously proposed that a vulnerability of Schwann cells to undergo oncogenic  
25 transformation could be exacerbated in Tasmanian devils due to the high frequency of peripheral  
26 nerve injury in this species [13]. As biting also accounts for the transmission DFTD cancers, it is  
27 possible that this combination of frequent wounding and Schwann cell plasticity underlies both the  
28 genesis and persistence of DFTD cancers in Tasmanian devils (Fig. 4). Other contributing factors,  
29 such as an inherent cancer susceptibility, low genetic diversity and successful evolution of  
30 tumorigenic mechanisms, could be fundamental to progression through the different stages of DFTD  
31 evolution. This model suggests that the emergence of DFTD cancers in the Tasmanian devils is the  
32 consequence of a ‘perfect storm’ of factors that in combination overcome robust defences against  
33 cancer transmission to allow for successful propagation of DFTD tumours [172]. The simultaneous  
34 occurrence of similar factors in other species is likely to be unusual, perhaps explaining the rarity of  
35 cancer transmission within mammalian species.

## 46 Conclusion

49 The emergence of two transmissible Schwann cell cancers in the Tasmanian devil was unexpected  
50 due to the rarity of cancer transmission in nature and malignant Schwann cell cancers in humans.  
51 Accumulating evidence suggests that these cancers are the consequence of key enabling factors that  
52 combined on two occasions to give rise to founder DFTD tumours that were able to be transmitted  
53 among devils. A lack of fossil or anecdotal evidence for DFTD-like cancers in devils prior to 1996  
54 suggests that the emergence of these cancers may be a recent phenomenon, perhaps influenced by  
55 recent population ‘bottlenecks’ that have impacted the genetic diversity of the species. Alternatively,



these diseases could be a downstream consequence of previous disease-associated selection events that have channelled the devil's genetic architecture into an increased predisposition for transmissible Schwann cell cancers. It is possible that long-term genetic selection and loss of diversity imposed by DFT1 and DFT2 could similarly leave the species vulnerable to further transmissible cancers or other disease threats in the future. Strategies for genetic rescue and management of devil populations have potential to reduce this risk. Meanwhile, continued investigations into the nature of DFT1 and DFT2 will be driven by knowledge of Schwann cell function and nerve sheath tumours in other species. These studies will direct the identification of molecular targets and inform the development of DFTD interventions such as vaccines, which could mitigate the threat of transmissible Schwann cell cancers in Tasmanian devil populations.

### Conflict of Interest

The authors declare that they have no conflict of interest.

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## Figure Legends

**Fig. 1. Topographical map demonstrating distribution and spread of DFTD.** DFT1 was first observed at Waterhouse Point in Mount William National Park (wukalina) in 1996 and has spread from east to west across the majority of the state. Initially DFT1 spread spread south and west to almost half the of the known devil habitiat. Geographical barriers such as mountains (shaded brown) and rivers then affected the spread. Consequently only the far north-west and south-west of Tasmania are believed to be DFT1-free. However, DFT1 has caused approximately an 80% devil population decline [22]. Ultimately DFT1 will reach the far north-west. Despite evidence for pockets of devil populations it is unlikely that DFT1 will reach the south-west of Tasmania as this area is rugged and unsuitable devil habitat. DFT2 was first observed in the D'Entrecasteaux Peninsula region in 2014. DFT2 currently remains localised to this region [7] as the area is surrounded by mountain ranges and the sea.

Heat map represents topography in meters and distance scale is in kilometres. The topographical map was sourced from Wikimedia Commons

([https://upload.wikimedia.org/wikipedia/commons/2/21/Topography\\_of\\_Tasmania.jpg](https://upload.wikimedia.org/wikipedia/commons/2/21/Topography_of_Tasmania.jpg)) and author (AP) overlaid the distribution and spread of DFT1 and DFT2.

**Fig. 2. Models of DFTD emergence in Tasmanian devils.** a) Static model of emergence: DFTD cells arose from founder Schwann cells at different states of differentiation, giving rise to tumours with different phenotypes. In DFT1 tumours, the 'myelinating' phenotype, driven by ERBB2/3 signalling, enabled MHC-I down-regulation to permit tumour transfer across genetically-dissimilar hosts. In DFT2 tumours, suppression of ERBB2/3 signalling via the mesenchymal 'repair' phenotype meant that alternative mechanisms of immune evasion, such as expression of non-polymorphic and non-classical MHC-I, were required. b) Plastic model of emergence: Founder Schwann cells transformed into tumour cells. In DFT1, spread of the tumour into genetically-diverse populations gave rise to rise to a 'myelinating' phenotype and low MHC-I expression driven by ERBB2/3

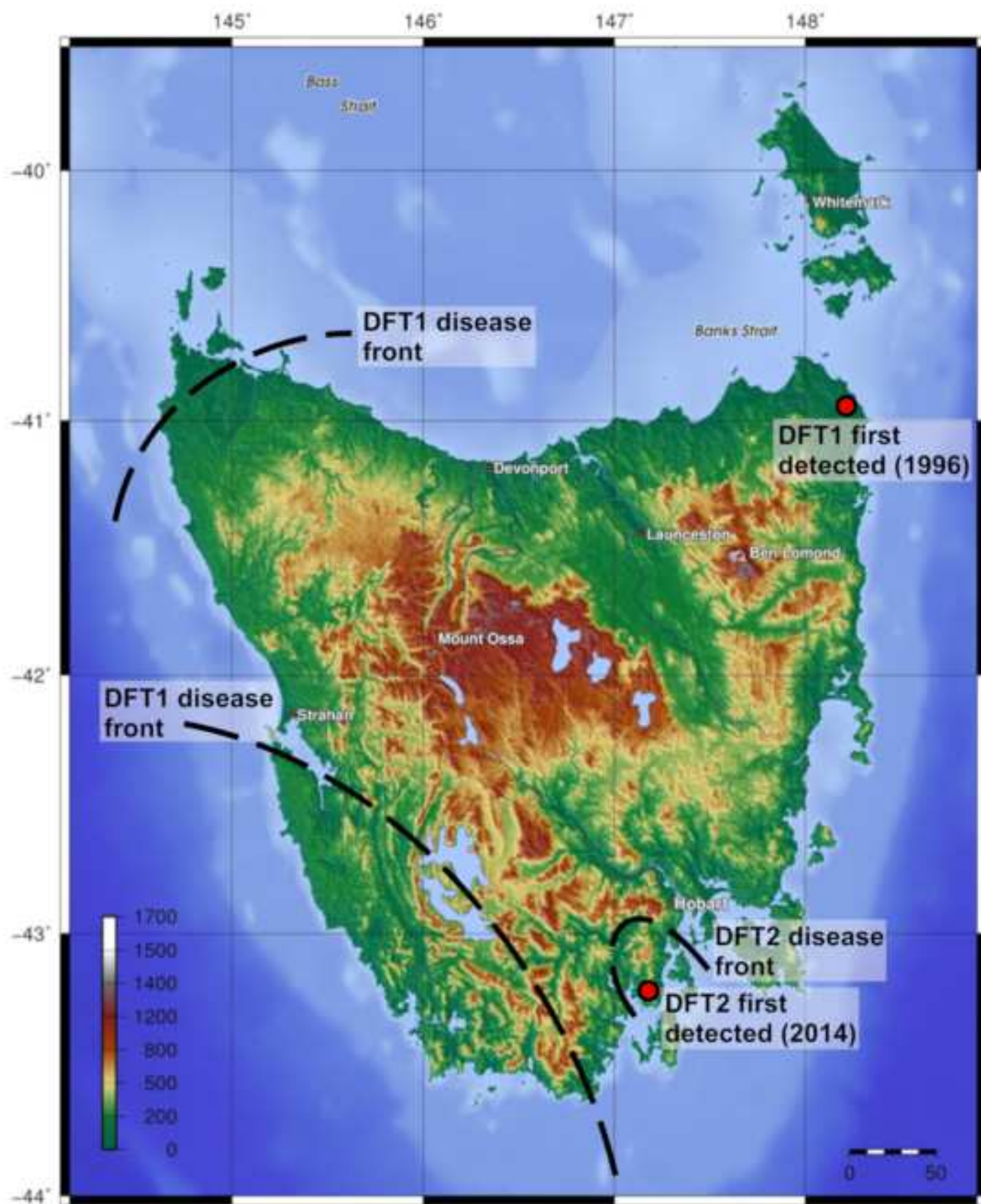
1 signalling. In DFT2, low allogeneic pressure gave rise to a mesenchymal phenotype with low  
2 ERBB2/3 signalling and high expression of non-polymorphic and non-classical MHC-I. It is not yet  
3 known how DFT2 tumours will change upon spread into genetically-diverse populations of devils.  
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6 **Fig. 3. Morphology of DFT1 and DFT2 tumour sections and cell cultures.** (a, b) Haematoxylin  
7 and eosin staining of (a) DFT1 (TD553) showing the characteristic pleomorphic round cells arranged  
8 in a distinct bundle (top right) and (b) DFT2 (TD523) with sheets of pleomorphic cells arranged in a  
9 solid pattern tumour sections. Scale bars represent 100  $\mu$ m. (c, d) Scanning electron microscopy of (c)  
10 a representative DFT1 cell line (C5065) showing characteristic round cell bodies and short projections  
11 and (d) a representative DFT2 cell line (RV) displaying flattened cell bodies and long projections.  
12 Scale bars represent 50  $\mu$ m.  
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19 **Fig. 4. Factors hypothesised to contribute to DFTD emergence in Tasmanian devils.** a) An  
20 inherent susceptibility to cancer combines with frequent Schwann cell wounding from biting to give  
21 rise to a DFTD tumour in a founder Tasmanian devil. b) Biting behaviours allow transmission of the  
22 cancer cells into the wounds of new hosts. Low genetic diversity in the founder population combines  
23 with the plastic nature of Schwann cells to prevent allogeneic rejection of the DFTD allograft. c)  
24 Biting behaviours allow transmission of the cancer cells into the wounds of genetically-diverse devils.  
25 The cancer cells evolve tumorigenic mechanisms, such as loss of MHC-I, which combine with the  
26 plastic nature of Schwann cells to avoid allogeneic responses.  
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Figure 1

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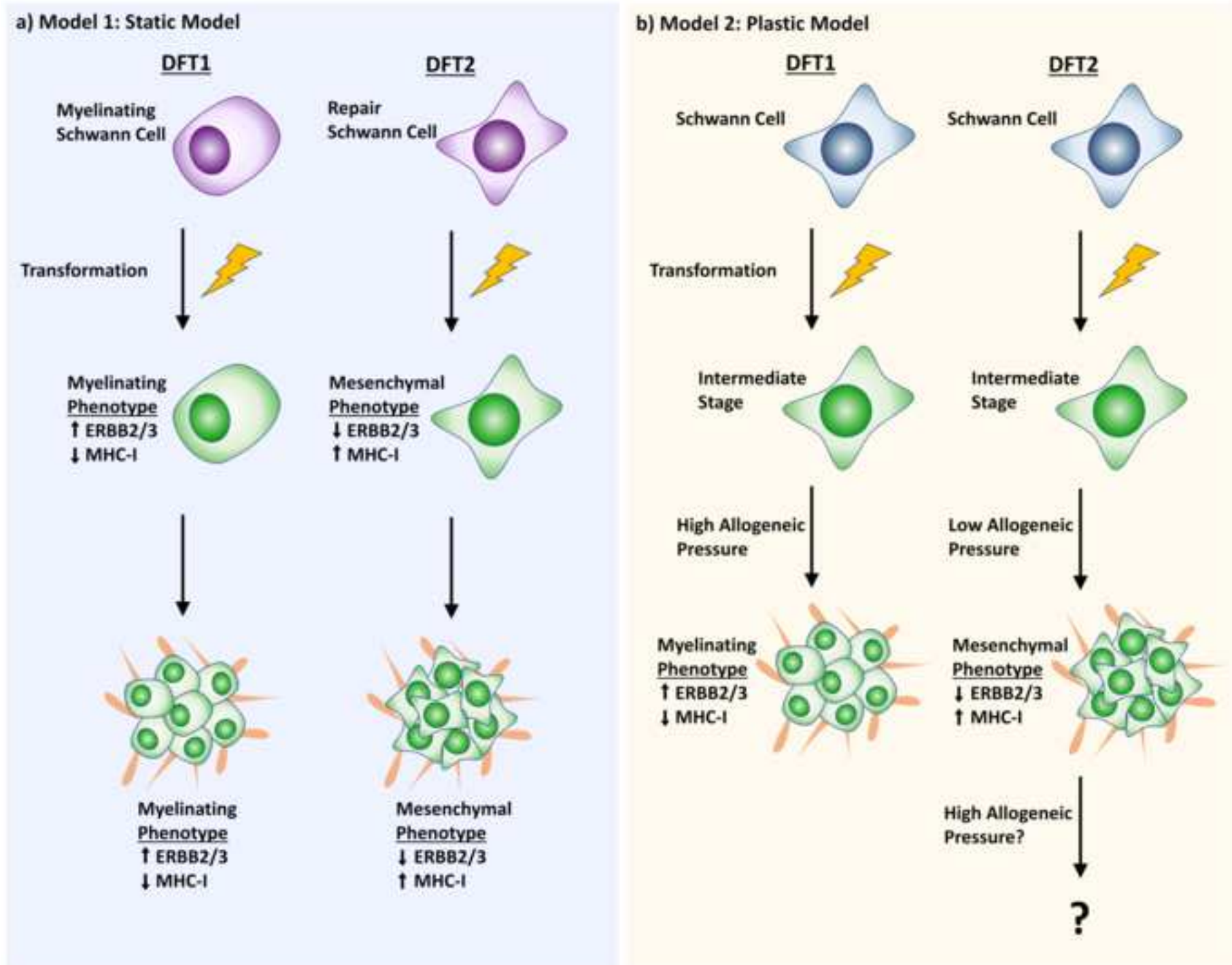


Figure 3

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