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24	Model inter-comparison of soil organic carbon
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# 73 Abstract

74 Simulation models represent soil organic carbon (SOC) dynamics in global carbon (C) cycle 75 scenarios to support climate-change studies. It is imperative to increase confidence in long-term 76 predictions of SOC dynamics by reducing the uncertainty in model estimates. We evaluated SOC simulated from an ensemble of 26 process-based C models by comparing simulations to 77 experimental data from seven long-term bare-fallow (vegetation-free) plots at six sites: Denmark 78 (two sites), France, Russia, Sweden, the United Kingdom. The decay of SOC in these plots has 79 been monitored for decades since the last inputs of plant material, providing the opportunity to 80 test decomposition without the continuous input of new organic material. The models were run 81 independently over multi-year simulation periods (from 28 to 80 years) in a blind test with no 82 calibration (Bln) and with three calibration scenarios, each providing different levels of 83 information and/or allowing different levels of model fitting: a) calibrating decomposition 84 parameters separately at each experimental site (Spe); b) using a generic, knowledge-based, 85 parameterisation applicable in the Central European region (Gen); and c) using a combination of 86 87 both a) and b) strategies (Mix). We addressed uncertainties from different modelling approaches with or without spin-up initialisation of SOC. Changes in the multi-model median (MMM) of 88 89 SOC were used as descriptors of the ensemble performance. On average across sites, Gen proved adequate in describing changes in SOC, with MMM equal to average SOC (and standard 90 deviation) of 39.2 (±15.5) Mg C ha<sup>-1</sup> compared to the observed mean of 36.0 (±19.7) Mg C ha<sup>-1</sup> 91 (last observed year), indicating sufficiently reliable SOC estimates. Moving to Mix (37.5±16.7 92 Mg C ha<sup>-1</sup>) and Spe (36.8±19.8 Mg C ha<sup>-1</sup>) provided only marginal gains in accuracy, but 93

94 modellers would need to apply more knowledge and a greater calibration effort than in Gen,95 thereby limiting the wider applicability of models.

Symbol/abbreviation	Long version	Explanation				
System variables						
С	Carbon	Chemical element with atomic number 6				
SOC	Soil organic carbon	Carbon stored in soil organic matter				
SOM	Soil organic matter	The fraction of the soil that consists of plant, animal or microbial tissue in various stages of decomposition				
Ν	Nitrogen	Chemical element with atomic number 7				
Experimentation						
LTE	Long-term field experiment	Research facility providing data for monitoring trends and evaluating different agricultural managemen strategies over time				
LTBF	Long-term bare-fallow experimental site	Research facility providing data for monitoring trends on bare-fallow soils				
S1	Site 1	Askov (Denmark) – location 1				
S2	Site 2	Askov (Denmark) – location 2				
S3	Site 3	Grignon (France)				
S4	Site 4	Kursk (Russia)				
S5	Site 5	Rothamsted (United Kingdom)				
S6	Site 6	Ultuna (Sweden)				
S7	Site 7	Versailles (France)				
Modelling						
M01,, M34	Model 01,, model 34	Simulation models (M) anonymously coded from 1 to 34				

Bln	Blind	Uncalibrated simulations (blind test)			
Gen	Generic	Generic simulation scenario			
Mix	Mixed	Mixed simulation scenario			
Spe	Specific	Specific simulation scenario			
		Process of running the model from a			
SP	Spin-up	set of conditions to initialise the			
		state of C pools			
		Any function (or analytical			
NS	No opin un	procedures) to make an initial			
110	No spin-up	partition of C pools (alternative to			
		spin-up runs)			
Statistics					
SD	Standard deviation	Variation amount of a set of data			
MMM	Multi-model median	Median value of simulated data			
	White model median	from different models			
Obs	Observations	Observed data			
	Relative root mean square	Aggregate magnitude of the errors			
RRMSE	error	in predictions relative to the mean			
	•	of observations			
EF	Modelling efficiency	Predictive power of a model with			
	C J	respect to the mean of observations			
		Proportion of the variance in the			
$\mathbb{R}^2$	Coefficient of determination	modelled data that is predictable			
		from the observations			
r	Pearson's correlation	Degree to which predictions and			
	coefficient	observations are linearly related			
	Paired	Probability to reject the true null			
P(t)	Student t-test	hypothesis of equal means of two			
	probability of I-type error	samples of paired data (i.e.			
		predictions and observations)			
	x 1 0	Ratio of the mean square error and			
d	Index of agreement	the potential error represented by			
		the largest value that the squared			

		difference of each			
		prediction/observation pair can			
		attain			
		Number of standard deviations by			
_	- coore transformation	which the value of a raw score is			
2		above or below the mean value of			
		the variable of interest			
- 1	Standard derivation	Standard deviation units expressing			
sa	Standard deviation	z-scores			
. 1	Standard deviation of	Variation amount of a set of			
<i>sa<sub>obs</sub></i>	observations	observed values			
D		Value of a variable that is generated			
P	Predicted value	using a model			
0		Value of a variable that is actually			
0	Observed value	observed			
	Number of predicted or	Number of predicted/observed pairs			
Π	observed values				
:	i <sup>th</sup> predicted or observed	Subscript index of each			
1	value	predicted/observed pair			
ō	Moon of observed values	Arithmetic mean of actually			
0	Weall of observed values	observed data			
D	Mean of predicted values	Arithmetic mean of actually			
r	Mean of predicted values	observed data			
		Arithmetic mean of the differences			
$\overline{D}$	Mean difference	between predicted and observed			
		values			
	Standard deviation of the	Variation amount of a set of			
$S_D$	differences	differences between predictions and			
	uniciclicits	observations			
		Probability to reject the true null			
р	Probability of I-type error	hypothesis of null correlation			
		between two variables			
Agro-climatic metrics					

Tomp	Tomporaturo amplitudo	Difference between the highest and			
ramp	remperature amplitude	the lowest temperature in a year			
Tmox	Maximum air tamparatura	Average of the highest daily			
Tillax	Maximum an temperature	temperatures in a year			
Prec	Precipitation	Annual precipitation total			
	Da Martanna Cattman	Indicator of aridity including both			
b <sup>a</sup>	aridity index	annual and monthly temperature			
		and precipitation			
		Number of at least seven			
		consecutive days when the			
<b>1</b> 3	Haatwaya fraguaray	maximum air temperature is higher			
<i>nw</i> -	neatwave nequency	than the average summer (June, July			
		and August) maximum temperature			
		of a baseline value +3 °C			

97 <sup>a</sup> Supplementary material.

### 98 1. INTRODUCTION

The ability of soils to sequester and store large amounts of carbon (C) is well known (e.g. 99 Lehmann and Kleber, 2015). Soil organic carbon (SOC) stocks are crucial for maintaining soil 100 fertility and preventing erosion and desertification, and they positively influence the provision of 101 ecosystem services at the local as well as the global scale (e.g. Lal, 2004, 2014). For these 102 reasons, farmers aim to establish and maintain high organic C stocks in agricultural soils, which 103 have often been depleted trough historical land use practices (Fuchs et al., 2016; Gardi et al., 104 2016; Chenu et al., 2018). The continuing studies on SOC sources and biogeochemical processes 105 in the soil environment provide key insights into climate-C feedbacks, and help prioritizing C 106 sequestration initiatives (Gross and Harrison, 2019). In light of the climate change issue, the 107 108 storage of C and additional sequestration of atmospheric C have received increasing attention recently (Rumpel et al., 2018; Whitehead et al., 2018; Lavallee et al., 2020), promoting land 109 110 management, and agro-ecosystems in particular, as a key mitigation option (e.g. the '4 per mille Soils for Food Security and Climate' initiative, Minasny et al., 2017; Soussana et al., 2017). 111 112 However, the slow response of SOC to changes in management and environmental factors hampers our understanding of how SOC can be increased in a sustainable manner, especially 113 under changing climatic conditions. Long-term field experiments (LTEs), in which SOC 114 responses have been observed over several decades, provide this information and deliver 115 reference data on SOC content for knowledge gain and model development (Johnston and 116 Poulton, 2018). However, LTEs are costly to maintain, and it is generally difficult to extrapolate 117 experimental results across space and time (Debreczeni and Körschens, 2003; Mirtl et al., 2018). 118 Simulation models play a prominent role in SOC research because they provide a mathematical 119 framework to integrate, examine and test the understanding of SOC dynamics (Campbell and 120 Paustian, 2015). They can also be used to extrapolate from micro- (e.g. carbohydrate production 121 122 during photosynthesis) to macro-scale dynamics (e.g. global C cycling) (e.g. Gottschalk et al., 2012; Sitch et al., 2003). In particular, complex agricultural and environmental models 123 incorporate a mechanistic view of processes and system interactions, in which the soil 124 125 components are often represented by different, operationally defined, pools of different sizes and with different properties (e.g. Parton et al., 2015). The concept of multiple C-N pools represents 126 C-N dynamics with an idealised description (Hill, 2003). The relative proportion of C and N (and 127 128 sometimes lignin to N ratio) in the plant residue is the primary mode to divide plant inputs (from 129 e.g. leaf litter and root exudates) into fresh litter pools, which then decompose into SOC (or SOM, i.e. soil organic matter) pools, each being modelled with different residence (or turnover) 130 131 times, varying from months for labile products of microbial decomposition to hundreds to

thousands of years for organic substances with firm organic-mineral bonds (e.g. Yadav and 132 Malanson, 2007; Dungait et al., 2012). Plant material and animal manures are often modelled to 133 enter the soil environment as either readily decomposable (carbohydrate-like) or resistant (lignin 134 and cellulose-like) materials. A varying number of pools (often including inert and slow-135 decomposing organic matter, and microbial biomass) linked by first-order equations is usually 136 simulating both C and N fluxes within and between each pool (Falloon and Smith, 2010). 137 However, different models vary considerably in the underlying assumptions and C processes in 138 139 current models, e.g. regarding number of pools, type of decomposition kinetics used and processes regulating SOC retention (Manzoni and Porporato, 2009; Cavalli et al., 2019). 140

Each model offers a distinctive synthesis of scientific knowledge (Brilli et al., 2017) and 141 142 multi-model ensembles developed from several models may reduce uncertainties in biological and physical outputs that occur over large scales, such as regions and continents (e.g. Rötter et 143 al., 2012; Asseng et al., 2013; Ehrhardt et al., 2018). The advantage of using ensemble estimates 144 over individual models is that caused by compensation of errors across models, and a broader 145 146 integration of model processes (Martre et al., 2015). It has been recommended to use model ensembles for reducing uncertainties in simulations of agricultural production (Asseng et al., 147 2013; Bassu et al., 2014; Challinor et al., 2014; Li et al., 2015; Ruane et al., 2016; Maiorano et 148 al., 2017) and other biophysical/biogeochemical outputs (Sándor et al., 2017, 2018a; Ehrhardt et 149 al., 2018). However, after the pioneering study of Smith et al. (1997), who evaluated nine SOC 150 models using 12 datasets from seven LTEs, other modelling studies targeting SOC dynamics 151 have often been limited in scope. Smith et al. (2012) used four models to assess the effect on 152 SOC of crop residues' removal in 14 experiments in North America. Todd-Brown et al. (2013, 153 2014) performed global estimates of SOC changes with 11 Earth system models. Kirschbaum et 154 al. (2015) used one simulation model and two years of eddy covariance measurements collected 155 over an intensively grazed dairy pasture in New Zealand to better understand the drivers of 156 changes in SOC stocks. Puche et al. (2019) performed a similar study in France. Using multi-157 model ensembles in scenario studies at eight sites worldwide, Basso et al. (2018) highlighted the 158 159 importance of soil feedback effects (C and N) on the prediction of wheat and maize yield. We are not aware of any recent model inter-comparison studies specifically assessing soil C dynamics 160 161 with several models across a range of experimental sites. This is a field where there is a need for 162 standardised guidance to estimate C stocks at various spatial scales (Bispo et al., 2017). A 163 difficulty in testing and comparing various models (and interpreting model outputs) lies in the interaction between soil and plant processes so that any of the model-data discrepancies could be 164 165 due to errors in either component (e.g. Ehrmann and Ritz, 2014). A rigorous model testing and

comparison would require different model components, e.g. plant and soil modules, to be 166 assessed separately. Bare-fallow plots offer such an opportunity in that they are plots maintained 167 for decades without any plant inputs. The changes in SOC stocks therefore result only from 168 decomposition processes. To assess the function of soil-model components without interaction 169 with plant processes, we conducted a model inter-comparison using a dataset from long-term 170 bare-fallow experiments where plant inputs were zero. In this study, we refer to bare-fallow plots 171 172 that were kept free of plants by manual and/or chemical means for several decades. We used 173 seven bare-fallow treatments included in six long-term agricultural experiments (>25 years), all located in Europe (Denmark, France, Russia, Sweden and United Kingdom). In these plots, the 174 soils became progressively depleted in the more labile SOM components, as they decomposed, 175 176 and relatively enriched in more stable SOM (Barré et al., 2010). The soil C concentrations determined at given years in these sites represented a unique opportunity to follow the decay of 177 SOC from a multi-model ensemble perspective, without any interference from new plant C 178 inputs, and conduct a multi-model ensemble comparison. The model inter-comparison included 179 180 26 process-based models from an international modelling community. Some models only accounted for soils and used C input from plants as an external input where others were full 181 agro-ecosystem models that explicitly simulate plant growth and resulting C input into soils. 182 These models all simulate interactions between the soil-atmosphere continuums in different 183 ways, but for this comparison all models were run assuming no input of fresh plant-derived C, 184 185 allowing the comparison of just the soil components of the models.

Here, we assess the models, by comparing multi-decadal simulations to experimental data from seven sites in Europe. The primary goal of this study was to assess the multi-model ensemble in simulating SOC dynamics across bare-fallow sites in Europe. To achieve this goal, model evaluation against actual measurements was performed before and after model calibration. In addition, deficient areas in models and their processes were identified, paving the road for future research directions.

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# 2. MATERIALS AND METHODS

194 **2.1.** Simulation models

The ensemble of models consisted of 26 process-based models, mainly developed for crop or grassland ecosystems (or focussing just on soils) and covering a broad variety of approaches (Table 1). While they are mostly based on first-order decay kinetics of multiple C pools (where C losses are proportional to SOC stocks with additional modifiers to represent the effects of other factors), ESOC1 simulates C fluxes with second-order kinetics equations based on

concepts applied in Schimel and Weintraub (2003) and reviewed in Wutzler and Reichstein 200 201 (2008). In this case, organic matter decomposition includes reactions between SOC and decomposers (i.e. a microbial or enzyme pool). These different approaches depend mainly on 202 alternative ways in which the C pools are linked. For instance, MONICA is one of the most 203 complex models, considering three types of organic matter in six conceptual pools, viz. newly 204 added organic matter, living soil microbial biomass and native non-living soil organic matter, 205 each sub-divided into fast and slowly decomposing sub-pools. It simulates the turnover of C 206 pools by applying first-order degradation to each pool due to microbial growth and maintenance 207 respiration (after Abrahamsen and Hansen, 2000). Then, like other models (e.g. CenW), 208 MONICA also includes a coupled N-cycle and sophisticated temperature and water-balance 209 210 calculations that act as modifiers of degradation and respiration rates. The decomposition rates of individual pools in such multi-pool SOC models are typically controlled by vastly different 211 212 reaction coefficients that can result in highly nonlinear behaviour of the overall system (e.g. Caruso et al., 2018). The initial list included 34 models, but eight of them were excluded from 213 214 further analysis because they showed severe limitations to run properly either under bare-fallow soils or under the given climate conditions. For all models, estimates of SOC were compared 215 216 with measured SOC data.

- Table 1. The process-based simulation models used. Model names were anonymised in the
- reporting of simulation results using model codes from M01 to M34, from the initial list of 34
- 219 models, the order of models not being identical to that used in the table.
- 220

Model name	Version	C pools <sup>a</sup>	Spin-up	URL or contact for documentation/description	References
AMG	2	2 to 3	None	https://www6.hautsdefrance.inra.fr/agroimpact/Nos- dispositifs-outils/Modeles-et-outils-d-aide-a-la- decision/AMG-et-SIMEOS-AMG/AMG-model-description	Andriulo et al. (1999); Saffih-Hdadi and Mary (2008); Clivot et al. (2019)
APSIM	Apsim 7.9- r4044	3	None Simulation from start of climate record (no additional simulation period)	http://www.apsim.info	Keating et al. (2003); Holzworth et al. (2014)
	7.10 r4158		Yes		
CANDY_CIPS	1.0 (but always implemented in newest version of CANDY 29.06.2018	4	None	https://www.ufz.de/export/data/2/95948_CANDY_MANUAL. pdf	Kuka, (2005); Kuka et al. (2007)
ССВ	2019.1.16	3	None	https://www.ufz.de/index.php?en=44046	Franko et al. (2011); Franko and Spiegel (2016); Franko

and Merbach (2017)

Century	4.0	5 to 7	Yes	https://www2.nrel.colostate.edu/projects/century/MANUAL/ht ml_manual/man96.html	Parton et al. (1987, 1994)
CenW	4.2	5	Uses an automatic spin-up routine to find equilibrium conditions under given environmental variables and specified system properties	http://www.kirschbaum.id.au/Welcome_Page.htm	Kirschbaum (1999); Kirschbaum and Paul (2002)
C-TOOL	2014	3	None (can be run also with spin-up)	http://envs.au.dk/fileadmin/Resources/DMU/Luft/emission/SI NKS/C-TOOL_Documentation_2015pdf	Taghizadeh-ToosiandOlesen (2016); Taghizadeh-Toosi et al. (2014a, b,2016)
Daily DayCent	4.5 2010 Daily DayCent 4.5 2013 Daily DayCent August 2014 4.5 2013	5 to 9	Yes	http://www.nrel.colostate.edu/projects/daycent-home.html	Parton et al. (1994, 1998); Del Grosso et al. (2001, 2002)
DNDC	CAN	6	Yes	http://www.dndc.sr.unh.edu	Li et al. (2012); Smith et al.

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			(10 years recommended)		(2020)
DSSAT		5	Yes, 20 years prior to beginning of the experiment to estimate the proportions of carbon in each organic matter pool	http://dssat.net	Jones et al. (2003); Porter et al. (2009); Gijsman et al. (2002); White et al. (2011); Thorp et al. (2012)
ECOSSE	5.0.1	5	None	https://www.abdn.ac.uk/staffpages/uploads/soi450/ECOSSE% 20User%20manual%20310810.pdf	Smith et al. (2007, 2010a, b); Bell et al. (2010)
				https://doi.org/10.5281/zenodo.3539484	
ESOC1	1.0	3	Yes	fmoyano@uni-goettingen.de	Moyano et al. (2018)
Exp		1	None	-	Lorenzo Menichetti (lorenzo.menichetti@slu.se)
Exp + inert		2	None	-	
ICBM		2	None	martin.bolinder@slu.se https://www.slu.se	Andrén and Kätterer (1997); Andrén et al. (2008)
MONICA	2.0.2	7	None	http://monica.agrosystem-models.com	Nendel et al. (2011); Specka et al. (2016); Stella et al. (2019)

OR	CHIDEE	2.0	3	Yes	https://vesg.ipsl.upmc.fr/thredds/fileServer/IPSLFS/orchidee/ DOXYGEN/webdoc_2425/annotated.html	Krinner et al. (2005)
	RothC	RothC10N 26.3	- 4 to 5	None	https://www.rothamsted.ac.uk/rothamsted-carbon-model-rothc	Coleman and Jenkinson (1999); Farina et al. (2013)
	STICS	9.0	2 to 4	None	http://www6.paca.inra.fr/stics	Brisson et al. (1998, 2003, 2008); Coucheney et al. (2015)
Y.	ASSO15	15	5	Yes	https://en.ilmatieteenlaitos.fi/yasso	Tuomi et al. (2009)

<sup>a</sup> Some models/model versions include options for varying C pools (this varying number may depend on the fact that the full

set of pools including fresh C can be optionally simplified in the case of bare-fallow treatments).

### 223 2.2. Experimental sites

We used data from a network of six long-term bare-fallow experimental sites (LTBF) in Europe 224 (with two fields located in Askov, Denmark; Barré et al., 2010), to test the ability of the models 225 to represent SOC dynamics. The sites were located at a range of latitudes between 48° to 59° 226 North (Table 2; Fig. 1a), with experiments running for at least 28 years, which were used as a 227 test bed for the models to represent SOC dynamics. Table 2 shows the main characteristics of 228 229 each site and provides a brief description of the historical land use and management of the area 230 (more details are given by Barré et al., 2010 and references therein). The documented history of the experimental sites referred to the presence of agricultural areas (grassland or cropland), 231 without woodlands. Soil texture provides evidence of variability in soil physical properties, with 232 a gradient of intermediate situations between the sandy loam of Askov (Denmark) and the clay 233 loam of Ultuna (Sweden). Water relations (precipitation minus reference evapotranspiration) 234 indicate positive climatic water balance for the two North Atlantic sites only (Askov in Denmark 235 and Rothamsted in the United Kingdom). Mean annual temperatures vary from ~6 °C in the 236 Sweden and Russian sites (Ultuna and Kursk, respectively) to near 11 °C in the two French sites 237 (Grignon and Versailles). Annual air temperature amplitudes - from about 14 °C in Rothamsted 238 to near 30 °C in Kursk - indicate that the study sites span a broad thermal gradient (Fig. 1b), 239 which likely leads to different soil thermodynamics (e.g. Zhu et al., 2019). Two widely used 240 metrics (aridity index and frequency of heatwaves; Sándor et al., 2017, 2018a, b) were also 241 calculated to complete the climatic analysis of study sites (Fig. A, supplementary material). 242

# 245 Table 2. Long-term bare-fallow experimental sites. Table A in the supplementary material

contains the summary description of the experimental sites.

			Experimental site	es (country)				
	Conorol docorin	tion	S1, S2	S3	S4	S5	S6	S7
	General description		Askov	Grignon	Kursk	Rothamsted	Ultuna	Versailles
			(Denmark)	(France)	(Russia)	(United Kingdom)	(Sweden)	(France)
Coordinates	Latitude		55.28	48.51	51.73	51.82	59.49	48.48
	Longitude		9.07	1.55	36.19	0.35	17.38	2.08
o <b>'</b>		、	78/12/10	16/54/30	5/65/30	13/62/25	23/41/36	26/57/17
Soil	Sand/Snt/Clay (%)		(sandy loam)	(silty clay loam)	(silty clay loam)	(silt loam)	(clay loam)	(silt loam)
	Bulk density (Mg m <sup>-3</sup> )		1.50	1.20	1.13	0.94	1.44	1.30
	Europinontol	Bare-fallow years	1956-1985	1959-2007	1965-2001	1959-2008	1956-2007	1929-2008
	period	N. of data/replicates	30/4, 29/4	11/6	6/0	14/4	18/4	9/6
	Initial/final carbo	n stocks (Mg C ha <sup>-1</sup> )	52.1/36.4	41.7/25.4	100.3/79.4	71.7/28.6	42.5/26.9	65.5/22.7
Climate <sup>a</sup>	Climate type <sup>b</sup>		Dfb (humid continental)	Cfb (oceanic)	Dfb (humid continental	Cfb (oceanic)	Dfb (humid continental	Cfb (oceanic)
	Mean annual prec	cipitation total (mm)	890	584	482	723	457	608
	Mean annual cumulative evaporation (mm) <sup>c</sup>		578	662	602	630	546	668
	Mean annual air t	emperature (°C)	7.4	10.7	6.2	9.4	6.0	10.7
	Mean annual air t (°C) <sup>d</sup>	emperature range	17.6	16.8	29.8	14.4	22.8	16.7
Vegetation	ANPP (g C m <sup>-2</sup> yr	-1)	1.7	1.1	0.9	1.3	0.9	1.2

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	(historical period) <sup>e</sup>	TNPP (g C m <sup>-2</sup> yr <sup>-1</sup> )	3.3	2.2	1.7	2.5	1.7	2.2
247	<sup>a</sup> Climatic ana	alysis was performed on longer peri-	ods than the experimental p	eriods: 1956-1987/	1929-2008/1944-			
248	2003/1856-20	06/1956-1999/1929-2008.						
249	<sup>b</sup> Köppen-Geig	ger climate classification (Kottek et al	l., 2006).					
250	° Mean values	s over the bare-fallow period. Referen	nce evaporation was estimate	ed based on the Tho	ornthwaite (1948)			
251	equation.							
252	<sup>d</sup> Mean differe	ence in temperature between the warm	nest and the coldest month of	the year.				
253	e Estimates of	aboveground (ANPP) and total (TN	PP) net primary productivity	v based on the preci	pitation levels of			
254	each site, as p	rovided by Del Grosso et al. (2008) fo	or non-tree dominated system	18.				

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256

(Fig. 1 here)

# 259 **2.3. Study design**

Model simulations were carried out independently by each modelling team (which included 260 model developers and users, and field experts of soil C dynamics) on commonly formatted data 261 using their own approaches and technical background. Harmonising calibration techniques was 262 out of scope of the inter-comparison exercise. The SOC outputs from each model were compared 263 to data from the study sites before and after calibration. Calibration mostly focussed on 264 parameters related to substrate use, C partitioning among pools and decomposition processes. 265 However, rate equations for C pools often required the calibration of a large number of 266 parameters, which are at the core of key processes responsible for differences among models in 267 the understanding and interpretation of SOC processes (number of pools and type of 268 decomposition kinetics used to represent C turnover). For the uncalibrated (blind test, Bln) 269 simulations, the models were run for each site using the available data of weather, soil texture 270 and bulk density (model inputs), and the initial SOC values, with no parameter adjustment other 271 than initialisation based on historical management and land use. With this information, Bln 272 reflects the ability of the models to simulate SOC decomposition after plant inputs has stopped, 273 using the original parameter settings and calibration, simply by removing their components 274 related to new C inputs. At this stage, default values were mostly used for all decomposition 275 rates. C-pool fraction sizes were adjusted based only on C-input estimates from the information 276 on land use prior to the establishment of the bare-fallow treatments. 277

After the blind simulations were completed, SOC measurements taken during the barefallow period were supplied to each modelling group for the calibration work. Details on management (tillage), which may have influenced the SOC dynamics before the bare-fallow

treatment, were also provided to improve the initialisation process. It was requested that each 281 282 modelling group adjust soil parameters to improve the simulations based on the observed data, using whatever techniques they normally use, and to document the changes. At this stage, 283 models were split into two categories: a) with spin-up (SP) and b) without spin-up (NS). Both SP 284 and NS models require an initial estimate for SOC content and/or an adjustment of parameters 285 towards balancing the split between soil C pools. The two classes of models work in the same 286 way using information about plant residues and root growth that provide the C substrate for SOC 287 dynamics simulations. NS-type models (e.g. DNDC and RothC) use the initial measured SOC 288 value, where estimates of C inputs in the background of model runs are obtained with various 289 methods (e.g. Keel et al., 2017) in order to initialise the SOC pools, which can sometimes be 290 calculated analytically. In order to keep the legacy effect of previous land-use and past 291 management practices, in SP models (e.g. DayCent) SOC pools are routinely initialised by 292 running the models to achieve their own states of equilibrium, where change in C stocks is 293 minimised (e.g. Lardy et al., 2011; Huntzinger et al., 2013). However, if soils are not at 294 equilibrium (e.g. after a sudden disturbance), spin-up runs may not always be valid with the risk 295 of starting simulations with biased initial values (e.g. Wutzler and Reichstein, 2007; Nemo et al., 296 2017) but a fuller discussion on the "spin-up problem" (Reynolds et al., 2007) is not within the 297 scope of this paper. Carbon inputs are usually estimated through sub-models calculating total net 298 primary production (TNPP). As it was not possible to derive TNPP data from local sources at 299 each study-site, TNPP estimates were obtained at each site (Table 2) based on precipitation 300 levels according to the approach of Del Grosso et al. (2008). In this way, the creation of the 301 TNPP database used by modellers was based on an identical methodology, which is widely used 302

worldwide, though the uncertainty in quantifying productivity across ecosystems is highlighted(e.g. Wieder et al., 2014).

The distinction between SP and NS models can appear somewhat arbitrary as virtually any 305 model with more than one C pool could be spun-up or, alternatively, a function (or analytical 306 procedures) can be used to make an initial pool partition. We refer here to common modelling 307 practice, as performed by users within the constraints imposed by packaged (operational) 308 309 solutions of SOC models (for which spin-up procedures may be operationally more difficult) or relying on the procedure suggested by previous experience. For instance, although spin-up 310 equilibrium runs are documented for RothC (e.g. Herbst et al., 2018), it is common practice to 311 initialise three C pools for subsequent simulations through an internal routine over 10,000 years, 312 with limited model inputs including clay fraction and weather, and a pre-defined ratio of 313 decomposable over recalcitrant plant material (e.g. Xu et al., 2011; Weihermüller et al., 2013). 314 Modellers were left to choose one option or the other when both were available for use in their 315 models (e.g. C-TOOL). About 40% of the models (10 models) in the study did not use SP 316 processes and set the initial SOC values manually (using the initial SOC observation). 317

For each model category (SP and NS), two main modelling approaches were identified: site-specific *versus* generic (single set of parameter values for all the sites). For the site-specific approach, at each site users informed models about historical management practices and land uses such as grassland or cropland (with both SP and NS models), SOC decomposition parameters (only for SP models) or the partitioning of C among different soil pools (only for NS models). With the generic (not site-specific) approach, model calibration was not applied separately for each experimental site but simultaneously on all available multi-location datasets

to find for each model parameter values that would be applicable at regional scales. In this case, multi-location calibration was used to capture generic model parameter values so that the models could still perform well across a range of climate and management conditions in Europe (Dechow et al., 2019). Site-specific and non-site-specific approaches were variously combined with factors affecting model initialisation/parameterisation (Table 3) to create simulation scenarios Gen (generic), Mix (mixed) and Spe (specific).

Scenario Mix uses a site-specific approach for the initialisation of C pools with both SP 331 and NS models and, for each model, a unique calibration of decomposition parameters. Fixed 332 decomposition rate parameters (but not rate modifiers) were maintained at a constant value 333 throughout all sites (e.g. the maximum passive pool decomposition rate in M25 was set to 0.003 334 yr<sup>-1</sup> at all sites), while site-specific climate and soil textural conditions provided supplementary 335 factors driving the actual decomposition curve (likely in the uncalibrated blind simulations as 336 well). In scenario Spe, decomposition rates could be changed separately at each experimental 337 site, which constrained the modelling to a fitting exercise, but made it possible to explore the 338 spatial variability of model parameters. Scenario Gen ignored base histories of each site: arable 339 crops and grasslands were not distinguished, past climate conditions were disregarded, and this 340 translated into discounting the variability in the TNPP levels among sites affecting the starting 341 SOC level. 342

343

Table 3. Modelling approaches and simulation scenarios for spin-up and no spin-up models
(Gen: generic; Mix: mixed; Spe: specific).

			Calibration			
Model category	Factors	Approaches	scenarios <sup>a</sup>			
			Gen	Mix	Spe	
	III:	Site-specific		Х	Х	
Spin-up (SP)	Historical management/land use	Non-site-specific	Х			
based models	Decementation	Site-specific			Х	
	Decomposition processes	Non-site-specific	Х	Х		
		Site-specific		Х	Х	
No spin-up (NS)	Partitioning of C pools	Non-site-specific	Х			
based models		Site-specific			Х	
	Decomposition processes	Non-site-specific	Х	Х		

<sup>a</sup> The term 'generic', which refers to calibration, here means 'ubiquitous' or 'universal', since the aim of any model is to work well under all conditions, without the need to adjust decomposition coefficients. In this case, the model correctly represents the main processes and integrates the main factors to accurately simulate the C cycle. The 'specific' calibration, which aims at improving the model performance, implicitly suggests an incomplete knowledge of the SOC turnover. The 'specific' calibration allow exploring the spatial variability of model parameters, but this amplitude (which is not discussed or reported here) may indicate the extend of degree of the knowledge gap in soil processes (i.e. model parameters might need a huge adjustment across sites)

353

Twenty-six modelling teams participated in the blind test. At calibration stage, 17 teams completed scenarios Spe and Mix, and 16 the scenario Gen. Some model packages are set to restrict access to individual parameter values, which did not allow users to carry out some sitespecific scenarios (Mix and Spe). The same outputs were obtained with some models (e.g.

RothC, DNDC), which run blind and generic simulations with non-specific information like the 358 previous land-use type (arable crop or grassland) and the historical climate. When results from 359 the blind test were exactly equal to outputs from Gen scenario, they were not included for further 360 analysis. Estimated and observed SOC values (Mg C ha<sup>-1</sup>) were compared at blind test and for 361 each calibration scenario. The agreement between simulations and observations was evaluated by 362 the inspection of time series graphs and, numerically, through a set of performance metrics 363 (Table 4) combining difference- and correlation-based metrics (e.g. De Jager et al., 1994; 364 Moriasi al., 2007; Confalonieri et al., 2009; Bellocchi et al., 2002, 2010). 365

366

Table 4. Model performance metrics (P, predicted value; O, observed value; n, number of P/O pairs; i, each of P/O pairs;  $\overline{O}$ , mean of observed values;  $\overline{D}$ , average of the differences between predicted and observed values;  $S_D$ , standard deviation of the differences between estimated and observed values).

Performance metric	Equation	Unit	Value range and purpose
RRMSE, relative			
root mean square	$\overline{\Sigma^n (P_1 - \Omega_1)^2}$		
error	$\frac{\mathbf{Z}_{i=1}^{n}}{n}$	0/	o (optimum) to positive infinity: the
(Jørgensen et al.,	$RRMSE = 100 \cdot \frac{\sqrt{11}}{\overline{O}}$	%	closer the values are to 0, the better the
1986)	0		model performance

EF, modelling	$\Sigma^n$ $(p \circ Q)^2$	negative infinity to 1 (ontimum): the	
efficiency	$EF = 1 - \frac{\sum_{i=1}^{n} (P_i - O_i)^2}{\sum_{i=1}^{n} (O_i - \overline{O})^2}$	<ul> <li>closer the values are to 1, the better the model</li> </ul>	
(Nash and Sutcliffe, 1970)			
			Coefficient of
determination	to 1 (perfect fit of the regression line):		
(R <sup>2</sup> ) of the linear	the closer the values are to 1, the better the model		
regression			
estimates versus			
measurements / r,			
Pearson's			
correlation		-	
coefficient of	$r = \sqrt{R^2}$	-1 (full negative correlation) to 1 (full	
the estimates		positive correlation): the closer the	
versus		values are to 1, the better the model	
measurements			
(Addiscott and			
Whitmore, 1987)			
P(t), Paired			
Student t-test	$P(t) = Probability\left(\frac{\overline{D}}{\overline{D}}\right)$	0 (absence of agreement) to 1 (perfect	
probability of	$\left( \underbrace{S_{D}} \right)$	- agreement): the closer the values are to	
means being	$\sqrt{n'}$	1, the better the model	
equal			

d, index of	$\Sigma^{n}$ (2 $\Sigma^{2}$		0 (absence of agreement) to 1
agreement	$d = 1 - \frac{\sum_{i=1}^{i} (O_i - P_i)^2}{2}$		(perfect agreement): the
(Willmott and	$\sum_{i=1}^{n} ( \mathbf{P}_i - \overline{\mathbf{O}}  +  \mathbf{O}_i - \overline{\mathbf{O}} )^2$	-	closer the values are to 1, the better the
Wicks, 1980)			model

371

### 372 2.4. Multi-model and ensemble assessment

We first focussed on the quantification of model-data discrepancies and then assessed the 373 uncertainty of the individual models in comparison with the multi-model ensemble. The 374 modelling teams provided deterministic model simulation results according to the protocol 375 established, which meant that: 1) one run was provided for each site; 2) the spread of model 376 results due to parameter uncertainty was not specifically addressed. The latter would have 377 dramatically increased the range of model outputs used within the study and would have 378 confounded the uncertainty in calibrated parameters with the uncertainty in model structure 379 (Wallach and Thorburn, 2017). While the uncertainty in model predictions could be due to 380 parameterisation, model calibration from different users (i.e. ensemble of users within ensemble 381 of models) cannot be regarded as the solution to estimate uncertainty due to parameterization 382 (Confalonieri et al., 2016). As well, different calibration techniques do not seem to be primarily 383 responsible for differences in model performance (Wallach et al., 2020) and the contribution of 384 the initialisation to the uncertainty in SOC changes can be negligible compared to the uncertainty 385 related to the model itself and simulated systems characteristics (Dimassi et al., 2018). As 386 uncertainty could not be associated with any individual simulation, we focussed on the analysis 387 of model residuals. We documented the variability of the multi-model simulation exercise across 388

two stages (blind test and alternative calibration scenarios), while inspecting how the multimodel median (MMM) converged to the observations. We used box-plots to compare the variability of estimates by different models (with focus on multi-year averages) to the observed variability, and we represented model ensembles with MMM, which has the advantage to exclude distinctly biased model members with a disproportionate influence on the mean (Rodríguez et al., 2019). The advantage of using MMM was established in practical studies in crop and grassland modelling but also on a theoretical basis (Wallach et al., 2018).

We also quantified the relationship among standardised model residuals of SOC, based on 396 uncalibrated (Bln) and calibrated (Gen, Mix, Spe) simulations. Moreover, we quantified the 397 relationship between residuals of agro-climatic metrics (annual values): temperature amplitude, 398 mean maximum temperature and annual precipitation. Arrays of pairwise scatterplots (scatterplot 399 matrices) were generated with the panel plot option in the R language and environment for 400 statistical computing ('panel.smooth', https://stat.ethz.ch/R-manual/R-401 devel/library/graphics/html/panel.smooth.html), which also overlaid a local non-parametric 402 smoother curve (locally estimated scatterplot smoothing) on each plot to give some indication of 403 trends (after Cleveland, 1979). 404

To explore how MMM varied with the number of models in the ensemble, we performed a calculation for each *z*-score transformed MMM,  $z = \frac{MMM - \overline{0}}{sd_{obs}}$ , which was obtained by dividing the multi-model data deviation from the mean of observations ( $\overline{0}$ ) by the standard deviation of the observations ( $sd_{obs}$ ) (Sándor et al., 2020). A *z*-score can be placed on the normal distribution curve to indicate how much it deviates from the mean of the distribution. The units of a *z*-score

are sd units: zero equals the mean, positive z-scores exceed the mean, and negative z-scores are 410 less than the mean. A z-score allows comparisons to be made between combinations of models 411 with different distribution characteristics, i.e. different  $\overline{O}$  and  $sd_{obs}$  (used here as practical 412 descriptors of time-series central tendency and spread). As illustrated in Fig. 2, different sites 413 occupy distinct zones in the  $sd_{obs}$  versus  $\overline{O}$  space. Low variability and low mean SOC 414 observations were found at Askov (S1, S2), Grignon (S3) and Utuna (S6). The variability was 415 higher at Rothamsted (S5) and Versailles (S7), while the mean was the highest at Kursk (S4). 416 None of the site occupies the upper right quadrant, i.e. high variability and high mean. 417

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(Fig.	2	here)
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We calculated *z*-scores for all possible combinations of sets of *k* out of n=26 models (k=2, ..., n). The minimum number of models providing plausible estimates at each site was that for which the *z*-scores lay within the ranges -1 to +1 or -2 to +2. The arbitrary choice of these thresholds was due to a conventional rule, for which values falling within 1 and 2 times the standard deviation approximate the 68% (|z|=1) and 95% (|z|=2) confidence limits of a normal distribution, respectively (after Ehrhardt et al., 2018). R software (https://cran.r-project.org) was used for statistical analysis and graphical visualization.

428

429 **3. RESULTS** 

430 3.1. Evaluation of SOC dynamics

Fig. 3 show the range of model results (represented by the shaded area) for each scenario and the 431 432 multi-model median (MMM hereinafter) together with the measured values. In general, the greatest spread of model results was found under the Bln scenario, followed by the Gen scenario. 433 In some cases, the multi-model median of Bln and Gen scenarios overestimate observations (e.g. 434 at S5, S6 and S7 sites). As expected, the tightest range of model results (simulation envelope) 435 was found with site-specific simulations. MMM simulations of Spe came closest to the 436 437 observations. All the MMM lines were remarkably close to the observations at sites S1, S2 and S3 (Fig. 3), despite the much wider spread of the individual simulations, while the MMM at 438 other sites differed more substantially from the observations (e.g. S5, S6 and S7, Fig. 3). Overall, 439 most of the simulations (Bln, Gen and Mix) tended to overestimate the amount of SOC (e.g. S5, 440 S6 and S7, Fig. 3). 441

SOC stocks decreased under all bare-fallow sites during the investigated period. At S1, S2, S3, S4 and S6 (Fig. 3) sites, the decrease in SOC stock was from minimum to moderate whereas at S5 and S7 (Fig. 3) SOC loss in the top 0.20 m was more rapid, with initial SOC halved during ~30 years. The decay tended to be more rapid in the first years and then the rate of loss decreased (e.g. at S7 site between 1929 and 1962, Fig. 3).

447 (Fig. 3 here)

448

# 449 **3.2.** Ensemble performance by site

Fig. 4 shows a high variability in the multi-model spread of responses at different sites. The results show that Kursk (S4) soil, which stored the highest amount of SOC, 91.8 Mg C ha<sup>-1</sup>, was approximated well by the models, mainly with calibration scenario Spe, with a MMM value of

90.1 Mg C ha<sup>-1</sup>. For calibration scenario Gen, some underestimation is apparent (84.2 Mg C ha<sup>-1</sup> 453 <sup>1</sup>). Site S4 had the narrowest variability in the measured values, whilst the Bln simulation and 454 calibration scenario Gen had the highest variability. Measured SOC was well estimated at S1, S2 455 and S3, including with blind simulations, despite several outlying dots, mainly with Bln and Gen 456 scenarios. The MMM tended to overestimate the measured SOC at S5 (42.5 Mg C ha<sup>-1</sup>) and S7 457 (33.0 Mg C ha<sup>-1</sup>) with some scenarios: Bln, S5: 56.7 Mg C ha<sup>-1</sup>, S7: 44.49 Mg C ha<sup>-1</sup>; Mix 458 scenario, S5: 50.0 Mg C ha<sup>-1</sup>, S7: 35.5 Mg C ha<sup>-1</sup>; Gen scenario, S5: 52.1 Mg C ha<sup>-1</sup>, S7: 40.0 Mg 459 C ha<sup>-1</sup>. On the other hand, the MMM of Gen scenarios showed the closest values to the observed 460 median at S5 and S7 (Fig. 4.). 461

462 Overall, with some exceptions, the MMM of calibrated runs were within the range of the
463 25<sup>th</sup> and 75<sup>th</sup> percentiles of observations. The Spe scenario provided the best MMM estimation.
464 (Fig. 4 here)

# 465 **3.3. Individual models versus multi-model ensemble**

The scatterplot analysis for both each model and the MMM shows that SOC estimates were 466 improved when moving from the Bln runs (Fig. 5) to the calibration Spe scenario (Fig. 6). Model 467 performances for calibration Mix and Spe scenarios also showed better simulation results than 468 the Bln simulations (see also Appendix A and Appendix B). Considering all the sites and years, 469 the predictions of some of the models (e.g. M02, M13, M22, M24 and MMM) were close to the 470 observations even for the blind level simulations (correlation coefficient >0.9, Fig. 5). 471 Simulations improved even further (correlation coefficient >0.98 for half of the models, Fig. 6) 472 under scenario Spe. 473

All the correlation coefficients of the simulations by other models also considerably improved with the site-specific data and got closer to the 1:1 line. For instance, for M31, the spread of simulation data in the blind simulations (Fig. 5) was mainly caused by incorrect initial SOC estimates for the different sites. When the model was re-run with correctly set initial SOC amounts (Fig. 6), the subsequent drawdown of SOC over the bare-fallow period was estimated fairly well.

Even with blind simulations, MMM gave results in agreement with the observations ( $R^2=0.94$ ). This level of agreement was only exceeded by M22 ( $R^2=0.95$ ) and approached by M02 ( $R^2=0.92$ ) and M13 ( $R^2=0.90$ ). The MMM simulations continued to give the closest agreement with the observations even under the full site-specific calibrations ( $R^2=0.99$ ) with several other models performing equally well (i.e. M02, M05, M09, M13, M23, M26). Overall, with some specific information for model calibration, many models did remarkably well in reproducing the observed patterns of SOC loss over time.

487

- 488 (Fig. 5 here)
- 489
- 490

# (Fig. 6 here)

491

# 492 **3.4. Analysis of model residuals**

The plots of the discrepancy between MMM and observations (Fig. 7) as a function of time shows a limited scatter (within  $\pm 1$ ) at each site. While Bln, Gen and Mix scenario overestimated the SOC decomposition rate at Kursk (where the highest SOC content was measured), the 496 standardized residuals were around zero at Grignon and both Askov sites during the whole of
497 experimental period. However, the departure from observations may increase over time
498 especially with Bln and Gen scenarios at some site (e.g. at Rothamsted, Ultuna, Versailles)
499 indicating that models underestimate decomposition rates after a few years/decades.

- 500
- 501 502

(Fig. 7 here)

Model residuals displayed one versus the other can help establish relationships by exploring the 503 correlation of residuals from different modelling scenarios, both among them and with external 504 drivers. Residuals of blind test and calibration scenarios calculated from MMM (Fig. 8) and 505 individual models (Figs. B1-26 in the supplementary material) were correlated with the mean 506 annual climate indicators such as the precipitations, maximum temperatures and temperature 507 amplitudes. When considering the MMM, residuals of Bln were strongly correlated with Gen 508 (r=0.90) and with Mix (r=0.59) residuals, but less with Spe (r=0.25) residuals, indicating a higher 509 similarity of the first three approaches, while residuals of Spe were more correlated with those of 510 Mix (r=0.65) than of Gen (r=0.39). 511

The most prominent effect of annual climate indicators was found at the blind test stage, whose residuals were negatively correlated with precipitation (r=-0.17) and positively correlated with Tmax (r=0.41). Combinations of high maximum air temperature and low precipitation values may thus generate greater errors in blind SOC simulations. Calibration scenario Gen did not show significant correlations to climate indicators. However, calibration scenario Spe and Gen had opposite correlations. The annual precipitation positively correlated with Spe residuals

(r=0.26) and with scenario Mix (r=0.15). Annual maximum temperature and scenario Spe negatively correlated (r=-0.10). These correlations with climate indicators hint that the sitespecific calibration (scenario Spe) is more sensitive to precipitation than to maximum temperatures. On the contrary, Bln and Gen simulation residuals showed greater sensitivity to maximum temperatures.

Residuals of individual models were approximately equally influenced by precipitation and 523 temperature drivers, but with differences among models and scenarios (Figs. B1-26 in the 524 supplementary material). In most of the cases, model residuals were positively correlated with 525 annual maximum temperatures and negatively correlated with annual precipitation totals (e.g. 526 M03, M09, M18, M22 for Bln). In some cases, e.g. M09 (Fig. B8 in the supplement), the 527 correlations among SOC residuals for different scenarios were both positive and negative (r 528 values ranged from -0.043 to 0.36), and even the effect of climate indicators were different (e.g. 529 for Tmax, r values ranged from -0.096 to 0.65). In other cases, e.g. M25 (Fig. B18 in the 530 supplement), SOC residuals were more similar to each other (r-values 0.17-0.80) and the effect 531 of precipitation and temperature drivers was often important (with r>0.4). It is interesting in this 532 respect that the Spe residuals had near-zero correlations with climatic drivers, showing a lesser 533 influence of these factors on model results with this scenario, whereas the Bln scenario showed 534 some correlations with Tamp (r=0.13), Tmax (r=-0.44) and precipitation (r=0.40). For M25, Gen 535 scenario residuals (Fig. B18 in the supplement) appeared unrelated with precipitation (r-value 536 near zero), but not with temperature amplitude (r=0.50) and maximum air temperature (r=-0.56). 537

538

(Fig. 8 here).

540

#### 541 3.5. Minimum ensemble size

We attempted to identify the minimum number of models required to obtain reliable results for 542 Bln and calibration scenarios Mix, Spe and Gen (Fig. 9 and Appendix C-E). We observed that 543 there could be large differences in the z-scores obtained across sites with different ensemble sizes 544 and scenarios. Overall, Bln is characterised by greater z-scores than the calibration scenarios. 545 Our analysis suggests that the ensemble size could be reduced to four models (or even fewer) at 546 S3, S6 and S7. For the other sites (e.g. S4), only ensemble sizes of at least 9-10 models reduced 547 z-scores to within the range from -2 to +2, but this number should be raised to 20 or higher to 548 comply with the most stringent criterion of z=|1|. A minimum ensemble size of 9-10 models was 549 also identified with Gen at S4 (Fig. 9), while with Mix and Spe scenarios the number of models 550 could be reduced down to 7 and 3, respectively (up to about 14 [Gen], 8 [Mix] and 4 [Spe] to 551 552 comply with z=|1|) (Appendix C-E). 553

- 554

# (Fig. 9 here)

555

#### **DISCUSSION** 4. 556

- **Scenarios of ensemble SOC estimates** 557 4.1.
- For Bln, Mix, Gen and Spe scenarios, the overall differences between the simulated and the 558 observed first-year SOC values were -0.46, +3.49, +2.40 and +1.92 Mg C ha<sup>-1</sup>, respectively, for 559

the NS models, and +0.58, -0.29, +0.95 and -0.12 Mg C ha<sup>-1</sup>, respectively, for the SP models. 560 Despite manually setting the initial SOC values (magnitude of first SOC observation for the 561 simulation period), the NS models mostly overestimated SOC content in the initial year of the 562 model run. In first-year estimates of the calibrated (mainly with Spe and Mix scenarios), SP 563 models deviated less from observations than NS models that overestimated SOC stocks for the 564 first year with the exception of M25 (+8.4 Mg C ha<sup>-1</sup> for Gen), M29 (+18.6, +21.1 and +23.7 Mg 565 C ha<sup>-1</sup> for Spe, Gen and Mix, respectively) and M31 (+25.2 Mg C ha<sup>-1</sup> for Gen). In the case of 566 M25, the model was run with a generic grassland spin-up (i.e. 7,000 years), which was applied to 567 all sites. Thus, a generic history was simulated without considering the cropping history at each 568 site. This spin-up protocol affected the simulated SOC, showing the poor ability of Gen scenario 569 to produce results consistent with observations, which questions the practicality of spin-up 570 processes under generic calibration. With M31, there was a greater difference between simulated 571 and observed SOC values in the initial simulation year and the model gave results that did not 572 correspond to the observations at all sites (Appendix F), especially under the Bln and Gen 573 scenarios. Though M31 used the initial SOC observation as default parameter, it failed to 574 reproduce the LTBF dynamics between sites because of large differences in C input to the soil 575 from the former vegetation during the spin-up period. Consequently, the starting points of the 576 LTBF simulations differed greatly from the observations, which were overestimated at S1, S2, 577 S3 and S6, and underestimated at S4. Overall, Mix and Spe calibrations showed better 578 performance indices than the Gen scenario (Appendix F). We note, however, that M13, for 579 which the SOC pool sizes (humads and humus) were generically calibrated across sites, 580 produced low RRMSE for Gen (5.7%). 581

The improved calibration knowledge obtained with the site-specific information also improved 582 583 model accuracy. Moving from Bln (with knowledge of weather and soil texture, historical land use and management, and initial SOC; section 2.3) to the Gen scenario, we reproduced SOC data 584 in a number of European bare-fallow experimental sites with a single set of calibrated, regional-585 scale parameter values (regardless of the possible soil, climate and past land-use dissimilarities 586 between different sites). According to performance indicators in Appendix F, in the Bln 587 simulations the NS models performed better than the SP models. For instance, average RRMSE 588 and EF were 19.44% and 0.60, and 26.94% and 0.24, for NS and SP models, respectively. 589 Compared to the Bln scenario, the discrepancy between the measured and estimated SOC values 590 under the Gen scenario was slightly reduced with NS models and increased with SP models. 591 Multi-site calibration can be characterised by lower uncertainty than site-specific calibration, 592 because more data contribute to the calibration process (e.g. Minunno et al., 2014; Ma et al., 593 2015). The availability of a variety of detailed data from multiple sites thus offers the possibility 594 of a genuine multi-location calibration of the model, assuming that a single calibration across 595 sites is appropriate. The limit of the Gen scenario calibration was that it did not make it possible 596 to explore the spatial variability of model parameters. The latter was done with scenarios Mix 597 and Spe, for which a basic requisite is that model parameters are not hard coded but 598 configuration files are left open to the users. From Gen to Mix, parameters describing initial 599 values of each pool were determined separately for each site. Moving from Mix to Spe, the 600 decomposition parameters became site-specific. Hence, modellers needed to invest increasingly 601 more knowledge (and more time-demanding calibration effort) than in Gen. Under these 602 conditions, the improvement of simulations in SP models was evident (up to 70% for some 603

indicators, e.g. RRMSE and EF). On the contrary, NS models only had a slight improvement in 604 accuracy of simulations from Bln (RRMSE=21.5%; EF=0.58) to Mix (RRMSE=18.6%, 605 EF=0.55) or Gen (RRMSE=20.5%; EF=0.45). In our analysis, the two types of models (NS and 606 SP) appear to be suitable for different sets of data. NS-type models, in most cases, can perform 607 well even when data are limited to climate, initial C and historic land use, while SP models 608 generally benefit from the availability of more detailed data. All metrics related to the 609 performance of the SP models were improved with calibration. There were some differences in 610 model performance among the sites, but site-specific soil or climatic conditions cannot easily 611 explain such differences. 612 Overall, across the seven LTEs and using simulated and observed SOC data at the end of the 613

experimental period we observe that the greatest and least differences from observations were approximately +14.3% with Bln and +2.2% with Spe (Fig. 10). The Gen scenario achieved almost half the error (+8.9%) of is closest competitor, i.e. the Bln scenario. More than one-third of the Bln-scenario error is achievable with the Mix scenario (+4.0%).

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619

# (Fig. 10 here)

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This study has shown that it is difficult to define an *a priori* criterion that could be used to select a subset of models that would perform better than others would. In terms of the minimum number of models required to obtain reliable results, our study indicates that the suggested minimum ensemble size (~10 models) proposed by Martre et al. (2015) for crop growth could be a reference also when model ensembles are implemented to blindly simulate SOC in bare-fallow

soils, which can be reduced down to 3-4 models with a site-specific calibration. These sizes are 626 lower than that found by Sándor et al. (2020) to provide reliable C-flux estimates in croplands 627 and grasslands (i.e. ~13 models). While the current study applied the same methodology as 628 Sándor et al. (2020), but as the present study focuses on one output variable only, SOC, 629 evaluated in simplified systems (bare-fallow soils), its relative ease of simulation offers great 630 advantages for scenario analyses in the absence of vegetation cover and plant residues, nor 631 farming practices (only occasional tillage operations occurred at some sites and were considered 632 by models which can simulate this option). This is reflected in the several z-scores within the 633 range of -2 and +2, as obtained with a limited number of models, showing that reduced ensemble 634 sizes can satisfactorily estimate the SOC content in bare-fallow systems, mainly when site-635 specific calibration is possible. However, our analysis of the Russian site (S4), which had low 636 observed variability and high mean ( $sd_{obs}$ =6.9,  $\overline{O}$ =91.8 Mg C ha<sup>-1</sup>), is challenging because it 637 showed that model ensembles that are too small might not always guarantee sufficient accuracy 638 in SOC estimates of C-rich soils. An application to the peatlands located on the Mid-Russian 639 Upland (e.g. Shumilovskikh et al., 2018) should thus be considered with caution. 640

641

# 642 4.2. Possibilities for model inaccuracies

We presented an approach that uses a correlation matrix (with graphical representation) to account for possible correlations between Bln, Mix, Gen and Spe residuals and, additionally, climatic factors (mean air temperature amplitude, maximum air temperature and precipitation total). This residual analysis helps find correlations among alternative scenarios, which might indicate comparable scenarios in which error propagation within models is similar, though the

way of error propagation cannot be easily retrieved from the correlation matrix. This is the case 648 of Bln, Gen and Mix, whose residuals are highly correlated, while the weak correlations between 649 Spe and other scenarios highlight the distinct behaviour of the latter. This analysis can also help 650 find correlations between the SOC output and external drivers, and thus suggest additional 651 predictors that may need to be included in the models (e.g. Medlyn et al., 2005). This need 652 emerged especially when specific models were run under Bln, Gen and Mix scenarios, for which 653 some correlations  $(r \ge |0.4|)$  were obtained between model residuals and drivers of thermal and 654 moisture conditions. A weaker but significant correlation (r=0.26, p=0.02) was also obtained 655 between Spe residuals and precipitation. These correlations indicate some limitations related to 656 the response functions of SOC decomposition to soil temperature and soil moisture, though the 657 relative uncertainties of our model ensemble are attenuated by the presence in the models of 658 physical and chemical processes that explain the intra- and inter-annual variability of SOC. We 659 add that such biophysical conditions affect the microbial activity (e.g. Blagodatskaya and 660 Kuzyakov, 2008; Guenet et al., 2010; Wutzler and Reichstein, 2013), and care should be taken 661 when extrapolating our results over long time frames (especially without locally calibrated 662 models, Fig. 7) if no corroborating field evidence for long-term decay rates can be obtained (e.g. 663 on how models are dealing such situations in which microbes become increasingly C limited as 664 665 no new C input by plants occurs; Kuhry and Vitt, 1996).

666

# 667 5. CONCLUSIONS AND FUTURE DIRECTIONS

668 This paper on SOC modelling offers a tentative answer to the questions about: (i) whether and to 669 what extent an ensemble of models performs better than single models, (ii) the minimum

ensemble size that is required to reduce the error below a given threshold, and (iii) the set of data 670 required to prepare and substantiate ensemble estimates. This study presents a framework for 671 interpretation of model performance and uncertainties obtained with a set of process-based 672 biogeochemical models (individually and in an ensemble) simulating soil C contents in bare-673 fallow experimental systems at a variety of European sites. One of the features of SOC 674 modelling today is the huge amount and variety of models available. Although our analysis did 675 not take into account all sources of uncertainty (e.g. the influence of the unique choices made by 676 modellers), it enabled the integration of several modelling teams into an ensemble protocol. 677 Classifying and comparing different approaches have revealed great model diversity, and is the 678 basis for the development of dedicated ensemble protocols. In this model inter-comparison, the 679 need to accommodate challenges experienced by modellers (including C pools of different 680 nature, and optional initialisation and calibration procedures) was reflected in the co-creation 681 (with modellers and data providers) of alternative calibration scenarios (Mix, Gen, Spe). As far 682 as we are aware, no previous multi-model inter-comparison studies have examined differences in 683 such calibration scenarios or differences between models with or without spin-up. 684

In our study, we did not aim to identify the best model(s) for simulating SOC dynamics for barefallows and no probability of success was assigned to prove the suitability of using one model rather than another. Overall, we showed that a calibration scenario with generic system knowledge was adequate for providing sufficiently reliable output, but additional site-specific knowledge can further improve results under certain circumstances. This is operationally relevant because the effort required to gather calibration data might no longer be feasible for modelling scenarios moving from single sites to increasingly larger spatial scales. Site-specific

calibration could help refine model estimates. However, geographical locations have 692 characteristics (e.g. soil and climate conditions, past history) that require specific model 693 structures and local optimisation, and the application of models may be limited by the ability to 694 provide representative parameter values. Soil-C model inter-comparisons including more models 695 and experimental data from other regions should be continued to improve our ability to simulate 696 biogeochemical processes with acceptable accuracy. Additional assessments are also 697 recommended to complete the analysis of model behaviour in the long term (like thousands of 698 years) with constant inputs. While the various models evaluated here did not include all available 699 modelling approaches used to simulate soil C dynamics, the present model inter-comparison was 700 large compared to other studies. As such, it is a distinct improvement over previously published 701 quantitative approaches because it represents a reasonable sub-population of common and 702 current approaches. In this, we offer a method to allow a broad ensemble of models to be 703 implemented using existing datasets and current modelling practices. Overall, this multi-model 704 ensemble sets a precedent for key progress in soil C modelling because it provides essential 705 information about SOC modelling and opens a path to a more in-depth analysis of the response 706 of individual models and their uncertainties against soil and climate drivers. Now that we have 707 examined SOC decomposition in-depth without the difficulties of C input uncertainties, a similar 708 modelling study should be conducted on LTEs that examine both plant derived C inputs as well 709 as C inputs from manures and other organic materials recycled in agroecosystems. In fact, under 710 field conditions, the amount of C input is not only an important factor driving the changes in 711 SOC stocks (including the changes due to tillage), but the amount of C input also drives the 712 mineralization rate of the SOC (Mary et al., 2020). How simulation models compare under such 713

conditions is important for improving our ability to evaluate and achieve climate C goals. With 714 increasing availability of data and computational resources, there are many opportunities for the 715 SOC modelling community to enrich its offering and to keep up with evolving methodologies, 716 which would significantly increase transparency of the underpinning science and modelling 717 practice. A number of recent actions are ongoing under the guidance of international initiatives 718 such as the European Joint Programme (EJP) on Soil (https://projects.au.dk/ejpsoil). Started in 719 2020, the EJP-Soil is undertaking a detailed inventory of models and all available data sources 720 (e.g. world soil maps, satellite images, downscaled weather data), and appears as an ideal arena 721 to facilitate the exchange of information and to further explore SOC model developments and 722 practice. 723

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### 745 AUTHOR CONTRIBUTIONS

R. Farina, R. Sándor and G. Bellocchi coordinated the study, contributed to its design, conducted

the analysis of data and produced the first draft of the manuscript. P. Smith, C. Chenu, F.
Ehrhardt, M. A. Bolinder, C. Nendel and J.-F. Soussana contributed to the design of the study

- and the writing of the manuscript. M. Abdalla, J. Álvaro-Fuentes, M. A. Bolinder, L. Brilli, H.
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- W. N. Smith, T. Stella, A. Taghizadeh-Toosi and E. Tsutskikh performed the model calibrationsand runs.
- 755 C. Dorich, L. Bechini, L. Menichetti, R. Francaviglia, S. Recous, W. Smith, F. Ferchaud, H.
- 756 Clivot, M. A. Bolinder, W. Smith, A. Taghizadeh-Toosi, L. Brilli, R. Farina, G. Bellocchi, T.
- 757 Stella and U. Franko discussed and decided upon the modelling scenarios at the CN-MIP final

758 meeting (Rome, 6-7 June 2018). C. Dorich prepared a detailed protocol for second-stage 759 simulations.

- Those interested in the details of the modelling process are encouraged to contact authors.
- 761

# 762 Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon
reasonable request and permission of the third parties (i.e. the data holders for the Long Term
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