



Article

# Over-Optimistic Projected Future Wheat Yield Potential in the North China Plain: The Role of Future Climate Extremes

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**Abstract:** Global warming and altered precipitation patterns pose a serious threat to crop production in the North China Plain (NCP). Quantifying the frequency of adverse climate events (e.g., frost, heat and drought) under future climates and assessing how those climatic extreme events would affect yield are important to effectively inform and make science-based adaptation options for agriculture in a changing climate. In this study, we evaluated the effects of heat and frost stress during sensitive phenological stages at four representative sites in the NCP using the APSIM-wheat model. climate data included historical and future climates, the latter being informed by projections from 22 Global Climate Models (GCMs) in the Coupled Model Inter-comparison Project phase 6 (CMIP6) for the period 2031-2060 (2050s). Our results show that current projections of future wheat yield potential in the North China Plain may be overestimated; after more accurately accounting for the effects of frost and heat stress in the model, yield projections for 2031-60 decreased from 31% to 9%. Clustering of common drought-stress seasonal patterns into key groups revealed that moderate drought stress environments are likely to be alleviated in the future, although the frequency of severe drought-stress environments would remain similar (25%) to that occurring under the current climate. We highlight the importance of mechanistically accounting for temperature stress on crop physiology, enabling more robust projections of crop yields under future the burgeoning climate crisis.

Keywords: APSIM-wheat model; climate change; wheat yield; frost and heat; drought stress



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#### 1. Introduction

Global warming and altered rainfall patterns induced by rising greenhouse gas emission are predicted to cause more widespread and extreme weather events [1], thereby threatening agricultural production and global food security [2]. A recent global study projected that a twofold increase in the wheat-growing area will be threatened by extremely high temperatures during critical developmental stages in a typical year by 2050 [3]. It is thus necessary to quantify the impact of extreme climate events on crop yields, to assess the risk to food security and to develop targeted adaptive strategies to alleviate climate change.

China is the world's largest consumer and producer of wheat. Given that it is one of the primary wheat cropping regions in China, wheat productivity in the North China Plain (NCP) has been declining due to increased extreme weather events (e.g., drought stress and temperature stress) driven by climate change, especially in the southern part [4]. These extreme weather events cause large wheat yield variability in the NCP [5]. With climate change, more cropping regions like NCP will face a greater risk of abiotic stress due to higher frequencies and greater magnitudes of extreme temperature and rainfall events [6]. Therefore, there is a need to develop and implement adaptation strategies that alleviate the adverse effects of these events.

In the NCP, temperature and drought stress may occur at critical crop development stages. Understanding temporal and spatial variability in these stress-risk, wheat-cropping zones would benefit the model-assisted design of climate change adaptation strategies [7–9]. Modelling tools have been used to characterise both the water-deficit and the waterlogging stress patterns experienced by a crop at a regional or national level in many cropping zones [10,11]. More importantly, this approach has proven useful for breeding improved abiotic stress tolerance in crops adapting to climate change [12–14].

The interactions of climate change and management options can be analysed with process-based crop simulation models [15,16]. Future climate projections after downscaling from different general circulation models (GCMs) can serve as inputs for process-based models [17,18]. Numerous crop modelling studies have attempted to evaluate potential climate change impacts on wheat production in the NCP [19–21], but few studies have evaluated the frequency of adverse climate conditions (e.g., frost, heat and drought) in future climates and how those extreme climate events would affect wheat yield. To fill this knowledge gap, we aim to (a) evaluate the impact of future temperature stress on wheat yield across wheat cropping regions in Shandong province in the NCP, and (b) examine how drought-stress frequencies and seasonal typologies would influence yield under future climate change scenarios.

## 2. Materials and Methods

## 2.1. Field Experimental and Phenotyping

Field experiments were conducted at Yanzhou (35°34′ N, 116°51′ E, 53.0 m altitude) from 2015 to 2018 in Shandong province, China (Table 1). The soil in the upper 20 cm at the experimental site was calcareous alluvial with the following properties: pH 6.7, 20.7 g kg<sup>-1</sup> organic matter, 635.8 mg kg<sup>-1</sup> alkali-hydrolysable N, 29.7 mg kg<sup>-1</sup> available P, and 128.9 mg kg<sup>-1</sup> available K. Soil property data were collected each year and averaged across the three years.

**Table 1.** Detailed information for field experiments conducted at Yanzhou in Shandong province.

Year	Sowing Date (d-m-y)	Flowering Date (d-m-y)	Maturity Date (d-m-y)	Fertilisation (kg N ha <sup>-1</sup> )	Seeding Rate (Plants m <sup>-2</sup> )	Irrigation (mm)
2015-2016	13 October 2015	14 April 2016	14 May 2016	105 (basal) + 105 (ZS31)	180	60 (ZS31) + 61 (ZS60)
2016-2017	12 October 2016	13 April 2017	13 May 2017	105 (basal) + 105 (ZS31)	180	44 (ZS31) + 38 (ZS60)
2017–2018	23 October 2017	24 April 2018	20 May 2018	105 (basal) + 105 (ZS31)	270	45 (ZS31) + 45 (ZS60)

A winter wheat cultivar, Jimai22 with medium vernalisation and photoperiod response, was used in the field experiment. This high-yielding cultivar has mid-to-late maturity and

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is widely planted in Shandong province. The plants were planted in 2  $\times$  6 m plots with a row spacing of 25 cm. Experiments were arranged in a randomized, completed block design with three replications. The plants were sown with a seeding rate of 180 seeds m<sup>-2</sup> in autumn and were harvested the next summer. The sowing date was 13 October 2015, 12 October 2016 and 23 October 2017, respectively. Plants were fertilised with 105 kg N ka ha<sup>-1</sup>, 65 kg P ha<sup>-1</sup> and 93 kg K ha<sup>-1</sup> as basal fertiliser for the three experimental seasons. During the growth periods, all the plots were top-dressed with 105 kg N ha<sup>-1</sup> at the jointing stage (ZS31). Irrigation was applied at ZS31 with 60 mm and at anthesis with 44 mm to alleviate water stress. Weed control was performed from emergence to harvesting by hand hoeing. No incidence of pest or disease infection occurred in either experiment.

Crop phenology was measured every two weeks following the Zadoks Stage. The dates of sowing, anthesis and maturity were recorded for each year. At anthesis and maturity (ZS90), 30 plants were taken to measure the total aboveground biomass. The biomass weight of leaves and stems were determined after oven-drying at 70  $^{\circ}$ C to a constant weight. At maturity (ZS90), plants from 4 m² areas were harvested for the determination of grain yield (at 13% grain moisture) and yield components.

#### 2.2. Model Simulation

#### 2.2.1. APSIM-Wheat

In this study, simulations were conducted using APSIM-Wheatv7.9 [22]. The performance of APSIM-wheat has been widely tested and verified for cropping system simulations across China. In an APSIM-Wheat module, phenological development is described in terms of thermal time accumulation using 11 crop stages and 10 phases (time between stages). The duration of each stage is determined by the accumulation of thermal time, calculated as the sum of the average daily temperature above a base temperature, which is defined as the temperature under which no significant crop development is expected. The daily thermal time values are likely to be further influenced by photoperiod, vernalisation and other environmental factors such as drought and heat stress. Potential daily biomass production is calculated using radiation use efficiency (RUE), which is defined as the amount of dry matter produced per unit of photosynthetically active radiation that is intercepted by the crop canopy. Grain yield, which is a function of crop growth and crop development, is impacted by RUE, transpiration efficiency and leaf nitrogen concentrations; these parameters are accounted for in the model. In APSIM, grain yield is determined by the kernel set number and the average kernel weight at maturity, as these are the main grain yield determinants in most crops.

# 2.2.2. Model Parameterisation and Validation

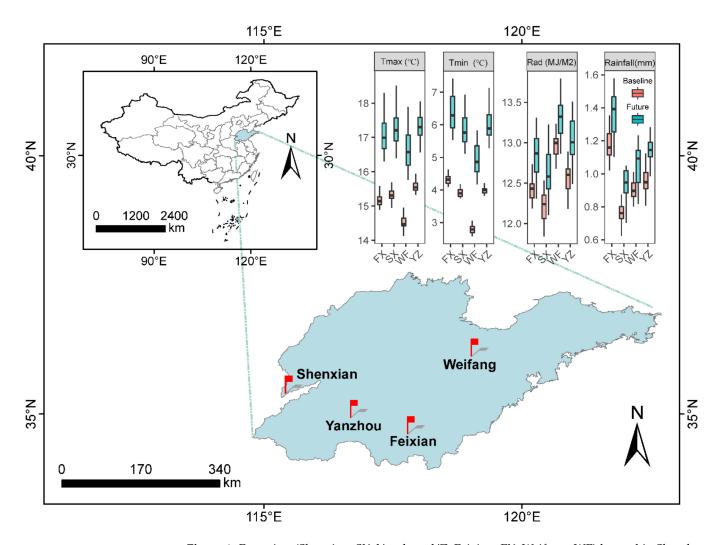
Parameterisation (Table 2) was performed by minimising the sum of squared errors for measured and simulated phenology, biomass and yield following the approach outlined by Harrison et al. [23]. Field data measured at Yanzhou in 2015 were used for parameterisation while data obtained in 2016 and 2017 were used for validation. We applied the evaluation criteria outlined by Harrison et al. [23], where the ideal root-mean square error (RMSE) and mean bias (MB) values are represented by 0.0; MB < 1 and MB > 1 represent model underestimation and overestimation of observed data, respectively. Relative root-mean square error (RRMSE) values of <5% = excellent, 5–10% = very good, 10–30% = good and >30% = poor. The ideal variance ratio (VR) is 1; VR > 1 indicates greater variation in the actual data compared with the simulated data. Calibrated genetic parameters showed in Table 3.

#### 2.2.3. Factorial Simulations

Factorial simulations were conducted for 1981–2010 (hereafter referred to as baseline) at four locations representing the major wheat producing areas across Shandong province (Figure 1). Parameterised genotype was used to conduct long-term simulations. Wheat was sown at 180 plants  $\rm m^{-2}$ , at a depth of 3 cm with a row spacing of 25 cm. Nitrogen

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(N) was applied as urea with a fertiliser rule, with a first dose of 105 kg N ha<sup>-1</sup> applied at sowing and a second dose of 105 kg N ha<sup>-1</sup> applied when the first node of the stem was visible (ZS 31). To elicit the effects of sowing time on phenology, yield and other agronomic indicators, sowing windows were simulated using five-day increments from 1 October to 21 October each year. To ensure crops were successfully germinated across all sowing dates, 15 mm of irrigation was applied for each simulation. The initial soil conditions were reset each year to exclude any 'carry-over' effects from previous seasons. Soil parameters for each of the ten 20 cm thick soil layers were set at reference values according to International Soil Reference and Information Centre [24].



**Figure 1.** Four sites (Shenxian: SX; Yanzhou: YZ; Feixian: FX; Weifang: WF) located in Shandong province used for simulations in this study.

**Table 2.** Verification statistics of APSIM-Wheat simulations. Data shown are mean observed and simulated values in 2016–2017 and 2017–2018.

Variables	RMSE	$\mathbb{R}^2$	MB	RRMSE	VR
Flowering days (d)	2.1	0.91	-0.1	4%	1.06
Maturity days (d)	3.2	0.97	0.2	3%	0.95
Maturity biomass (kg $ha^{-1}$ )	281	0.95	-1.3	4.2%	1.01
Grain yield (kg $ha^{-1}$ )	321	0.95	-3.6	4.5%	1.03

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Parameters	Definition	Unit	Value
tt_end_of juvenile	Thermal time from sowing to end of juvenile	$^{\circ}$ C day $^{-1}$	450
tt_start_grain_fill	Thermal time from start grain filling to maturity	$^{\circ}$ C day $^{-1}$	655
grains_per_gram_stem	Kernel number per stem weight at the beginning of grain filling	g	30
potential_grain_filling_rate	Potential daily grain filling rate	g grain $^{-1}$ day $^{-1}$	0.003
max_grain_size	Maximum grain size	g	0.045
vern_sens	Vernalisation sensitivity		3.0
Photo_sens	Photoperiod sensitivity		2.5
rue from ZS30 to ZS90	Radiation use efficiency	$ m g~MJ^{-1}$	1.49

**Table 3.** Calibrated genetic parameters of a winter wheat, Jimai22.

### 2.2.4. Simulating the Effect of Frost and Heat Damage on Yield

To estimate the effect of frost and heat damage during sensitive growth stages on yield, we used the damage function developed by Bell et al., (2015) [25] for wheat. The temperature ranges were categorised into mild, medium and severe stress with a corresponding impact on yield during different development stages, as shown in Table S1. Following the default version of APSIM-Wheat [26] and Bell et al., (2015) [25], yield on day i was modelled as:

 $Y_i = Y_{wl,i} \times \text{cumulative frost multiplier}_i \times \text{cumulative heat multiplier}_i$ 

where

 $Y_{wl,i}$  = water-limited yield on day i cumulative frost multiplier<sub>i</sub> = cumulative frost multiplier<sub>i</sub> = cumulative heat multiplier<sub>i</sub> = cumulative heat multiplier<sub>i</sub> = x heat multiplier<sub>i</sub> Daily frost or heat multipliers were read from Flohr et al., (2017) [27] in the model according to Zadoks stage. More details are described in our previous study [15].

## 2.2.5. Climate Data

Historical daily climate data of the four representative agro-meteorological stations were obtained from China's Meteorological Administration (CMA). Future climate (2031–2060; hereafter refer to 2050s) projections were based on 22 global climate models (GCM, Table 4) from CMIP6 (https://esgf-node.llnl.gov/projects/cmip6/; (accessed on 2 October 2021)). These climate projections were driven by a new set of integrated assessment models (IAMs) based on the Shared Socioeconomic Pathways (SSPs) and the Representative Concentration Pathways (RCPs) [28]. In this study, we used future climate projections for one integrated scenario (combining SSP5 with RCP8.5, defined by SSP585). SSP585 envisions fossil-fueled development pathway with rapid technological progress and development of human capital [28], and RCP8.5 is a high radiative forcing pathway (8.5 W m<sup>-2</sup> in 2100).

During the baseline period,  $CO_2$  concentration was set to 380 ppm for all simulation years in the model. Under the SSP585 scenario,  $CO_2$  concentrations will continuously rise to 936 ppm by 2100 [29], which was fitted with a calendar year based on Wang et al., (2019):

$$[CO_{2}]year = 1034.3 + \frac{267.78 - 1.618 * y}{4.0143 + \frac{53.342}{y^{5.2822}}} + 21.746 * \left(\frac{y - 2010}{100}\right)^{3} + 100.65 * \left(\frac{y - 1911}{100}\right)^{3}$$
 (1)

where *y* was the calendar year from 1900 to 2100 (y = 1900, 1901, ..., 2100).

To produce future climate data, observed climate data were required to correct biases of monthly GCM outputs as part of the statistical downscaling procedure [30]. Here, we used a statistical downscaling method developed by the Department of Primary Industries of New South Wales, Australia [17]. This method used bias-corrected monthly GCM climate data (temperature, rainfall and radiation data) to generate realistic time series of daily climate data for each study site based on a modified weather generator.

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GCM	Abbreviation	Institution	Country
ACCESS-CM2	ACC1	CSIRO-ARCCSS	Australia
ACCESS- ESM1-5	ACC2	CSIRO-ARCCSS	Australia
BCC-CSM2-MR	BCC	BCC	China
CanESM5	CAN1	CCCMA	Canada
CanESM5-CanOE	CAN2	CCCMA	Canada
CNRM-CM6-1	CNR1	CNRM	France
CNRM-CM6-1-HR	CNR1	CNRM	France
CNRM-ESM2-1	CNR2	CNRM	France
EC-Earth3-Veg	ECE1	EC-EARTH	Europe
EC-Earth3	ECE2	EC-EARTH	Europe
FGOALS-g3	FGO	FGOALS	China
GFDL-ESM4	GFD	NOAA-GFDL	America
GISS-E2-1-G	GIS	NASA-GISS	America
INM-CM4-8	INM1	INM	Russia
INM-CM5-0	INM2	INM	Russia
IPSL-CM6A-LR	IPS	IPSL	France
MPI-ESM1-2- HR	MPI1	MPI-M	Germany
MPI-ESM1-2- LR	MPI2	MPI-M	Germany
MIROC6	MIR1	MIROC	Japan
MIROC-ES2L	MIR2	MIROC	Japan
MRI-ESM2-0	MRI	MRI	Japan
UKESM1-0-LL	U0L	UKESM	Ū. K
	ACCESS-CM2 ACCESS- ESM1-5 BCC-CSM2-MR CanESM5 CanESM5-CanOE CNRM-CM6-1 CNRM-CM6-1-HR CNRM-ESM2-1 EC-Earth3-Veg EC-Earth3 FGOALS-g3 GFDL-ESM4 GISS-E2-1-G INM-CM4-8 INM-CM5-0 IPSL-CM6A-LR MPI-ESM1-2- HR MPI-ESM1-2- LR MIROC6 MIROC-ES2L MRI-ESM2-0	ACCESS-CM2 ACC1 ACCESS- ESM1-5 ACC2 BCC-CSM2-MR BCC CanESM5 CAN1 CanESM5-CanOE CNRM-CM6-1 CNRM-CM6-1-HR CNR1 CNRM-ESM2-1 EC-Earth3-Veg EC-Earth3 FGO GFDL-ESM4 GFD GISS-E2-1-G INM-CM4-8 INM1 INM-CM5-0 IPSL-CM6A-LR MPI-ESM1-2- LR MPI2 MIROC6 MIR1 MIROC-ES2L MIR2 ACC1 ACC1 ACC2 BCC CNR1 CNR1 CNR1 CNR1 CNR1 CNR1 CNR1 CN	ACCESS-CM2         ACC1         CSIRO-ARCCSS           ACCESS- ESM1-5         ACC2         CSIRO-ARCCSS           BCC-CSM2-MR         BCC         BCC           CanESM5         CAN1         CCCMA           CanESM5-CanOE         CAN2         CCCMA           CNRM-CM6-1         CNR1         CNRM           CNRM-CM6-1-HR         CNR1         CNRM           CNRM-ESM2-1         CNR2         CNRM           EC-Earth3-Veg         ECE1         EC-EARTH           EC-Earth3         ECE2         EC-EARTH           FGOALS-g3         FGO         FGOALS           GFDL-ESM4         GFD         NOAA-GFDL           GISS-E2-1-G         GIS         NASA-GISS           INM-CM4-8         INM1         INM           INM-CM5-0         INM2         INM           IPSL-CM6A-LR         IPS         IPSL           MPI-ESM1-2- HR         MPI1         MPI-M           MPI-SM1-2- LR         MPI2         MPI-M           MIROC         MIROC         MIROC           MIROC-ES2L         MIR         MIROC           MRI-ESM2-0         MRI         MRI

**Table 4.** List of 22 global climate models (GCMs) used in this study.

#### 2.2.6. Last Frost Day, First Heat Day and Target Flowering Windows

For each site, the last frost day (LFD) was defined as the last day of the year with a minimum air temperature below  $0 \,^{\circ}$ C [31], and the first heat day (FHD) was calculated as the first day with a maximum air temperature greater than 35  $^{\circ}$ C [32]. The frost and heat risks of each site were calculated in both cases using the 70th percentile, such that LFD refers to the date having a 30% chance of 0  $^{\circ}$ C, while the FHD corresponds to the date with 30% chance of experiencing a 35  $^{\circ}$ C day. LFD and FHD dates were computed for each site using climate data from 1981 to 2010 and 2031 to 2060. The 'target flowering window' for each site and genotype was then calculated using the LFD and FHD specified above, such that flowering of a given genotype at a specific site occurred between LFD and FHD [33].

## 2.2.7. Long-Term Seasonal Water Stress Typologies and Frequencies

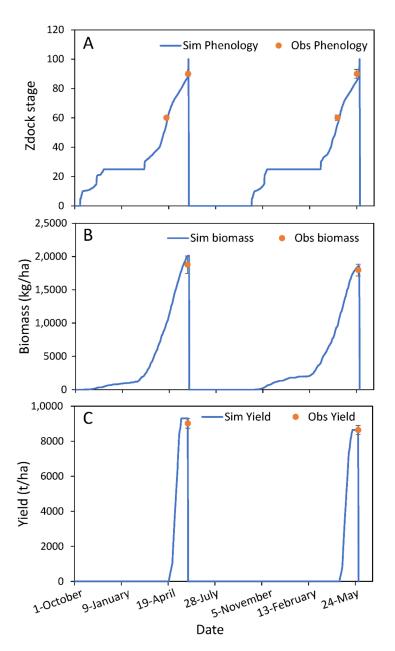
The time series of the ratio of crop water supply to demand for each site  $\times$  soil  $\times$  year simulation were centered on flowering and averaged 100 °C every day from emergence to maturity, following Harrison et al. [9] and Liu et al. [7]. Cluster analysis was applied to all simulated time series using the k-means clustering algorithm (R Development Core Team, 2011) to identify mean drought-stress seasonal patterns. Four drought-stress seasonal patterns accounted for more than 75% of the variance across all baseline or 2050 simulations. Further details of this method are described by [10,34].

#### 3. Results

## 3.1. Validation of APSIM-Wheat Model

The simulated anthesis and maturity were consistent with the observed dates based on model calibration and validation results (Figure 2A). The  $\rm R^2$  between simulated and observed phenology dates was greater than 0.91 and RMSE was 2-3 d (Table 2). The simulated yields also closely followed the observations, with  $\rm R^2 = 0.95$  and RRMSE = 5% (Table 2). The results indicated that the APSIM-Wheat could effectively simulate wheat growth and development under rain-fed conditions in the NCP. The calibrated parameters are shown in Table 3.

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**Figure 2.** Simulated and observed phenology (**A**), maturity biomass (**B**) and grain yield (**C**) for "Jimai22" in 2016–2017 and 2017–2018 at Yanzhou.

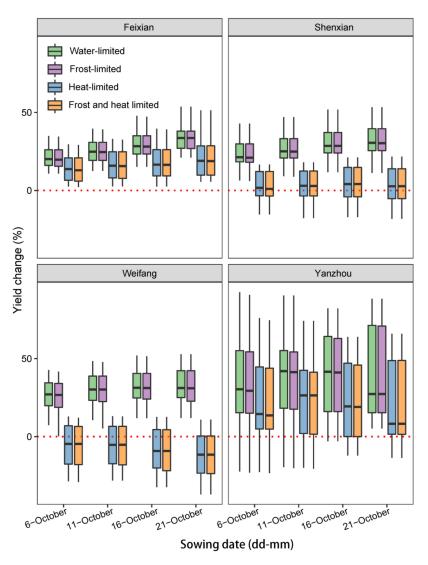
# 3.2. Wheat Productivity under Future Climates

Without considering the effects of extreme climate stress (e.g., heat and frost stress), wheat yields are projected to show an increasing trend (Figure 3) across sites in the near future (2050s). The average long-term yield increases in this study were 31% across sowing dates and sites, with the largest increase at Yanzhou (38%) and the smallest increase at Shenxian (27%). Simulated yield decreased with sowing dates under the baseline (Figure S1), but the fluctuation of yield under future climates is minor; thus, the relative change of water-limited potential yield increased with sowing dates (Figure 3).

After including the effect of frost and heat stress in the model, averaged water-limited yield decreased by 17% across sites and sowing dates, and the yield reduction was mainly caused by heat stress (i.e., heat-limited yield). Simulated yield loss caused by heat stress was much higher than that caused by frost due to climate warming across sites. The yield increase in water-limited potential yield in the 2050s decreased from 31% to 9% (heat and

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frost limited yield). This difference was especially pronounced at Weifang and Shenxian, while Feixian and Yanzhou are affected by heat and frost stress to a lesser extent.



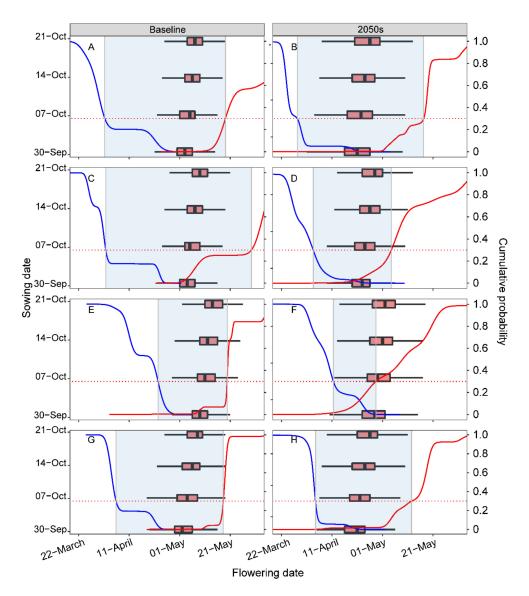
**Figure 3.** Yield changes in the period of 2030–2061 (referred as 2050s) under SSP585 compared with the water-limited potential yield of baseline period (1981–2010). Water-limited potential yield (i.e., not limited by frost and heat). Frost-limited yield (i.e., water-limited potential yields are reduced due to frost stress during the sensitive period). Heat-limited yield (i.e., water-limited potential yields are reduced due to heat stress during the sensitive period). Frost and heat limited yield (i.e., water-limited potential yields are reduced due to both heat and frost stress during the sensitive period).

## 3.3. Cumulative Probability of Heat and Frost Stress during the Flowering Window

Low-risk windows were calculated to avoid yield damage caused by frost and heat. Then, sowing dates would be determined in order to meet the sensitive period during flowering that coincided with this low-risk period (shaded zone in Figure 4). For each location, low-risk sowing window was defined as the range of sowing dates allowing flowering to occur within the low risk of heat and frost stress.

There were large differences in the timing and duration of low-stress windows across sites. In the current time, flowering windows generally coincided with the low-risk period and the cumulative probability of heat and frost stress during the flowering periods were lower than 30% across sites. The longest flowering window was at Shenxian (from 30 April to 27 May, Figure 4C) and the shortest at Weifang (from 20 April to 17 May, Figure 4E).

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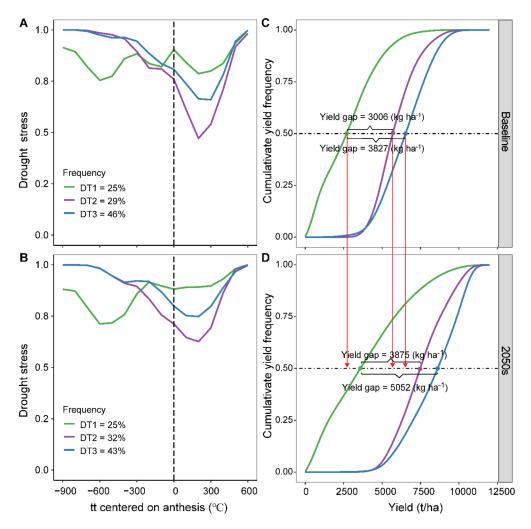


**Figure 4.** Impact of sowing date on the timing of flowering compared to the occurrence of extreme-temperature events under the periods of 1981–2010 (baseline) and 2031–2060 (2050s) across sites ((**A**,**B**) Feixian; (**C**,**D**) Shenxian; (**E**,**F**) Weifang; (**G**,**H**) Yanzhou). The boxplot shows the variation in flowering date (*x*-axis) for different sowing dates (left *y*-axis) over 30 years. Probabilities of last frost days (left blue solid line), and first heat days (right red solid line) are calculated as the percentiles of last frost and first heat days for baseline and 2050s. The low-risk period for frost and heat and preferred flowering window is highlighted in grey. The upper horizontal dashed red line indicates the 30% risk of first heat and frost day.

In the future climate scenarios, low-risk flowering windows shifted forward (4 days and 14 days earlier for first day of frost and last days of heat stress, respectively) across sites due to increased temperatures. For these frost-free sites, the largest shift occurred at Weifang (Figure 4F), where both the maximum and minimum temperatures increased by 2.2 °C and the cumulative probability of heat stress during flowering windows was greater than 30% across sowing dates. Feixian (from 28-March to 17-May) and Yanzhou (from 4-April to 1-May) still coincided with the low-risk period under future climates (Figure 4B,H).

#### 3.4. Seasonal Drought Stress under Future Climates

Our cluster analysis based on all simulations across four sites revealed three dominant drought-stress response (Figure 5A,B) patterns. Under historical climate conditions, around 46% of simulations experienced low drought stress (DT2), with a median yield across all simulations of 6528 kg ha $^{-1}$  (Figure 5C). The remaining 54% were classed into two categories according to the timing of onset and intensity of drought stress. Severe stress with late recovery drought (DT3, 25% of cases) was most detrimental for yield (median yield approx. 2701 kg ha $^{-1}$ ), followed by early moderate stress (DT2, median yield approx. 5706 kg ha $^{-1}$ ) (Figure 5C).



**Figure 5.** Crop drought-stress trajectories (1 = no stress and 0 = full stress) and trend over crop development stage expressed as thermal time (tt) before or after anthesis under historical (**A**) and future climates (**B**). (See individual site in Supplementary Figures S2–S5). Three types of drought-stress patterns (DT1: early-onset severe stress; DT2: severe stress with late recovery; DT3: moderate stress with late recovery) identified by k-means clustering. Cumulative simulated yield frequencies across all sowing dates in each drought patterns are shown for current ((**C**), 1981–2010) and future ((**D**), 2031–2060) climates. Points on curves indicate median yield for each drought pattern in each climate scenario. Vertical arrows are included for comparison across climate scenarios. Curly brackets indicate yield gap between different drought types.

Clustering of all simulations under future climates indicated that the magnitude of drought stress was projected to be alleviated. In spite of this, it was projected that the frequency of DT3, which has the most detrimental effects on yield, would remain the same (25%) in the near future. More importantly, such drought type was expected to

cause a larger yield gap in the 2050s. The yield gap between DT1 and DT3 increased from 3827 kg ha<sup>-1</sup> in baseline periods to 5052 kg ha<sup>-1</sup>, and from 3006 kg ha<sup>-1</sup> to 3875 kg ha<sup>-1</sup> between DT1 and DT2. The typology of three seasonal drought patterns remained similar to those occurring historically.

#### 4. Discussion

Numerous crop modelling studies have attempted to evaluate potential climate change impacts on wheat production in the North China Plain, but few studies have evaluated the frequency of adverse climate conditions (e.g., frost, heat and drought) under future climates and how these extreme events would affect wheat yield. In this study, we evaluated the adverse effects of heat and frost stress on wheat yields by integrating yield reduction multipliers caused by heat and frost events during temperature sensitive stages into an APSIM-wheat model. Our results showed that current projections of future wheat yield potential in the NCP were overestimated due to an ignorance about the role of climate extremes. We used a cluster analysis approach to characterise drought-stress patterns of winter wheat crops and thus determined how such patterns may differ in future. Clustering all drought-stress patterns into key groups revealed important differences between typologies and frequencies of the major stress patterns occurring in the study area.

In many parts of the world, climate change will result in decreased rainfall, at least in the crop growing season (e.g., Australian Wheatbelt). In the current study, however, future climates predicted by 22 GCMs in CMIP6 showed that most study sites will face a larger increase in temperature and rainfall, coupled with a decrease in solar radiation under the SSP585 scenario (Figure 1). This positive insight for future climate change contradicts most other studies, which herald negative implications of climate change. However, such beneficial effects are not observed in some studies in the NCP. For example, a detailed analysis of China based on global gridded crop model outputs has also reported a future 10–30% reduction in the mean yield in the NCP, except for on its northern border [35]. Another simulation driven by 30 GCMs from the CMIP5 reported that the wheat mean yield would decrease by 2.4–12.3% under RCP 8.5 [36]. Using decomposed and reassembled climate change scenarios, Liu et al., found that climate change is projected to reduce wheat yield by 17% under RCP8.5 [5]. These negative effects of climate change on yields are exaggerated because these studies neither account for increased atmospheric CO<sub>2</sub> concentrations, which can offset negative effects of climate change on yield, nor management practices (e.g., fertilisation or irrigation input).

If  $CO_2$  fertilisation effects is accounted for in the model, wheat yields are projected to show an increasing trend (Figure 4) across sites for the near future (2050s), where the average long-term yield increased by 31%. Such positive effects are in agreement with many previous studies [19,37–39]. These results were somewhat too optimistic because temperature stress is not accounted for in the model, which can cause a severe yield reduction during the sensitive stages. In the current study, after including the effect of frost and heat stress, the magnitude of the increased yield in the 2050s became small (9%, Figure 2). Our results suggest the importance of including temperature stress effect on wheat yield in the climate change impact assessment since climate warming is an indisputable fact [40].

Globally, rising temperatures and heat stress are the main drivers of projected negative climate change impacts on crop yields [41,42]. In this study, we found that the yield reduction was mainly caused by heat stress and that the simulated yield loss caused by heat stress was much higher across sites than the simulated yield loss caused by frost stress (Figure 2). A short period of 100 °C before anthesis and up to 7 days after anthesis (while grains are still forming) can significantly reduce grain yield by increasing the proportion of aborting grains [43,44]. Our study showed that several sites (e.g., Shenxian and Weifang) were projected to face a high risk of heat stress during the flowering periods under future climates (Figure 3). In the two regions, early sowing of short-season varieties is preferable for flowering in minimal risk periods. In the other environments (e.g., Feixian and Yanzhou),

which have lower late season (terminal) water, there would be a lower risk of heat stress during the flowering periods and thus higher yields, because lower abiotic stress exposure near the end of the growing season would be conducive to greater biomass and grain production. Climate warming is leading to early springs and delayed autumns in colder environments, allowing plants to grow for a longer period of time during each growth period, which encourages farmers to delay the winter wheat sowing date in the NCP. Late sowing might become quite a common way of coping with climate change under future climates, but at a high risk of heat stress during the flowering periods. Thus, assessing wheat potential to mitigate the adverse effects of future rising temperatures and heat stress in the NCP still should be a focus for future studies.

In addition to heat and frost stress, drought stress is another factor limiting yield potential in the NCP. These regions are projected to suffer a high frequency of DT1, which is most detrimental for yield, in the near future, and the extreme drought stress might cause more severe yield penalties (Figure 5). To breed genotypes with improved drought tolerance, it is necessary to characterise the typology and frequency of drought patterns experienced by crops both under historical and future climates [45-47]. Our results showed that drought-stress patterns expected under future conditions will be similar to those occurring under present conditions (Figure 5). This result has many important implications for breeding drought-tolerant genotypes. If the major drought-stress patterns expected under future conditions were unlike those experienced currently, then crop breeding for future conditions would be difficult, as seasonal patterns in future climates might not occur under field conditions at frequencies high enough to influence the direction of germplasm development in breeding trials. In such cases, crop adaptation to specific drought-stress seasonal patterns would need to be performed under controlled-stress environments, as suggested by Bänziger et al. [48]. However, as the typologies of the key drought-stress seasonal patterns occurring under present conditions are likely to be similar to those expected in future climates, selection of elite wheat germplasm for superior yield under present conditions using field trials should be an appropriate method for developing germplasm suitable for the near future. Despite the higher temperatures and increased winter precipitation predicted in the NCP for the 2050s, the impact of drought stress on simulated wheat yield is predicted to be smaller in most cases (32–43%; See DT2 and DT3 in Figure 5B,D). However, the probability of heat stress around flowering that might result in considerable yield losses is predicted to increase significantly (Figure 4). Breeding strategies for the future climate might need to focus on wheat varieties tolerant to high temperatures more than to drought.

In this study, we used a multiple model ensemble method to address the uncertainties from climate models. To assess the impacts of extreme temperature on wheat yield, we integrated yield reduction multipliers caused by heat and frost events during temperature sensitive stages into an APSIM-wheat model based on relevant research reports. Due to limited data for model evaluation, our modelling results might over- or underestimate the magnitude of yield losses resulting from heat and frost stress, as Chen et al., (2020) [49] did before. Nonetheless, capturing heat and frost losses to grain yield in some way could provide guidance for developing adaptation strategies to reduce climate risks [25,50]. Further improvement of the definitions and physiological basis of this approach would enhance the accuracy of these predictions. In this study, we only used three years of field experimental data to validate the APSIM Wheat module. This might cause some uncertainties in simulated phenology due to unexplained abiotic or biotic factors affecting the phenology of crops in the field. More verification data may be useful to improve the validity of APSIM Wheat in simulating the phenology of Jimai22. We used only one crop model in our study, which might omit the uncertainty of yield projections caused by crop model structure [51]. Moreover, in the APSIM-wheat model, the increased photosynthesis due to elevated atmospheric was reported mainly from controlled, semi-controlled and open-field experiments [52]. Therefore, the crop model might overestimate the positive

effects of elevated atmospheric. As such, we acknowledge that the results presented here depend on the scenario and crop model chosen.

#### 5. Conclusions

In this study we evaluated the adverse effects of heat and frost stress on wheat yields by integrating yield reduction multipliers caused by heat and frost events into an APSIM-wheat model. Our results showed that after including the effect of frost and heat stress in the model, the yield increases in wheat potential yield in the 2050s decreased from 31% to 9%. In addition, clustering all drought-stress seasonal patterns into key groups revealed that the magnitude of drought stress was projected to be alleviated in the future but that the frequency of DT1 (most detrimental stress for yield) would remain the same (around 25%) in the near future. These results provide important information for assessing the impacts of climate extremes on wheat yield and highlight the fact that adopting heat-tolerant cultivars should be a priority to cope with climate change in the NCP.

Supplementary Materials: The following are available online at <a href="https://www.mdpi.com/article/10">https://www.mdpi.com/article/10</a>.3390/agronomy12010145/s1, Figure S1: Boxplot showed yield in the period of 1981–2010 (referred as baseline) and 2030–2061 (referred as 2050s) under SSP585, Figure S2: Crop drought-stress trajectories and trend over crop development stage before or after anthesis under historical and future climates in Feixian, Figure S3: Crop drought-stress trajectories and trend over crop development stage before or after anthesis under historical and future climates in Shenxian, Figure S4: Crop drought-stress trajectories and trend over crop development stage before or after anthesis under historical and future climates in Weifang, Figure S5: Crop drought-stress trajectories and trend over crop development stage before or after anthesis under historical and future climates in Yanzhou.

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