1	Leveraging the potential of machine learning for assessing vascular
2	ageing: state-of-the-art and future research
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# **Abbreviations** BP - blood pressure CBP - central blood pressure CV - cardiovascular ML - machine learning PWV - pulse wave velocity

1	Abstract
2	Vascular ageing biomarkers have been found to be predictive of cardiovascular risk
3	independently of classical risk factors, yet are not widely used in clinical practice. In this review
4	we present two basic approaches for using machine learning (ML) to assess vascular age:
5	parameter estimation and risk classification. We then summarize their role in developing new
6	techniques to assess vascular ageing quickly and accurately. We discuss the methods used to
7	validate ML-based markers, the evidence for their clinical utility, and key directions for future
8	research. The review is complemented by case studies of the use of ML in vascular age
9	assessment which can be replicated using freely available data and code.
10	
11	Keywords
12	Arterial stiffness, blood pressure, cardiovascular, central blood pressure, pulse wave velocity,
13	machine learning
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# 1 Introduction

Age is a key risk factor for hypertension and cardiovascular disease (CVD)<sup>1</sup>. A major consequence of ageing is the progressive stiffening of the major arteries, particularly the proximal aorta. In an optimally functioning cardiovascular system, the elastic properties of the large arteries ensure that the pulsatile pressure and flow generated by left ventricular ejection is dampened, minimising potential harm to the microvasculature. However, the cushioning (elastic) properties of the large arteries diminish with age giving rise to arterial stiffening. While age-related arterial damage occurs predominantly in later life, there is wide variability between individuals, with some displaying early vascular ageing<sup>2</sup>. This has led to the concept that vascular age, as opposed to chronological age, may be better related to the prognosis of CVD<sup>3</sup>.

Arterial stiffness is a promising marker of vascular ageing and many studies have shown that the stiffness of the large arteries is related to elevated CVD risk in adults, independently of traditional cardiovascular risk factors<sup>4</sup>. Given the world's ageing population, effective monitoring of vascular ageing is increasingly important, and clinical biomarkers that can accurately describe the status of the vasculature are highly desirable<sup>5</sup>. A commonly used index of arterial stiffness is carotid-femoral pulse wave velocity (PWV), the speed at which the pressure wave travels through the arteries, typically measured via applanation tonometry<sup>6</sup>. Central (aortic) blood pressure (CBP), the pressure the heart and central organs are exposed to, is also indicative of vascular ageing and is related to cardiovascular events and mortality<sup>7, 8</sup> independently of brachial BP<sup>9</sup>. Several other indices can also be used to assess vascular age including cellular biomarkers, coronary artery calcium scores, endothelium function, carotid intima-media thickness, and atherosclerosis indices. This review focuses on arterial stiffness biomarkers such as PWV, given the wealth of evidence that they can capture age-related arteriosclerotic changes.

Machine learning (ML) provides systems or models with the capacity to learn automatically from data without explicit human input. Recent technological advances have spurred an abundance of "big data" in healthcare 10: data of "such a high volume, velocity (i.e. rate of collection) and variety (i.e. different types of variables) to require specific technology and analytical methods for its transformation into value"11. ML algorithms, including deep learning algorithms (a subset of ML), are being used increasingly due to their flexible nature in evaluating large datasets without the need for specified assumptions. Since the distinction between ML and statistical modelling is not clear-cut<sup>12</sup>, this review incorporates both ML and statistical modelling techniques. ML is now being used to develop new methods for assessing vascular age which may be more accurate or simpler than existing methods. For example, multiple linear regression has been used to develop a model to estimate PWV from age and routine BP measurements, and the result is predictive of outcomes 13, 14. ML has also been used to develop models to estimate CBP from peripheral pressure waves, including using a generalised transfer function to estimate a central pressure wave from a peripheral wave<sup>15</sup>, and using regression analysis to estimate CBP from brachial BP and PWV<sup>16</sup>. This critical review highlights relevant ML techniques, their clinical utility, and directions for future research to leverage the potential of ML for assessing vascular ageing (*Figure 1*: Central Illustration).

18 [Figure 1]

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# 2. The Role of Machine Learning in Assessing Vascular Age

## 2.1 Using ML to assess vascular age

ML has been used to develop two types of models to assess vascular age: parameter estimation models and risk classification models. Parameter estimation models estimate a

target parameter from more easily obtained measurements, such as estimating PWV from age and BP. Risk classification models classify a subject according to their risk of a particular outcome or diagnosis, such as being at high or low risk of cardiovascular (CV) events. *Table 1* provides examples of clinical applications of these two types of models, detailing the ML

techniques used in each case.

6 [*Table 1*]

The ML techniques used in vascular age assessment are predominantly 'supervised' techniques - *i.e.* they learn how to generate an output (a parameter or risk class) by learning from training input data which are labelled with reference outputs. For instance, a model for estimating PWV from age and BP can be developed using training data consisting of the required inputs (age and BP) and desired outputs (PWV values)<sup>17</sup>. *Table 2* provides details of the capabilities of supervised ML techniques, allowing one to choose an appropriate technique for a particular application. The choice of ML technique is determined by the type of output required (a parameter or a risk class) and the nature of the input data (single, multiple, or waveform inputs). Often more than one technique is suitable for a particular problem, in which case the choice can be informed by the pros and cons of using each technique<sup>18</sup>.

17 [*Table 2*]

# 2.2 Opportunities

ML provides opportunities to enhance vascular age assessment through the analysis of complex datasets, digital signals and images. In research, ML is now widely used aided by large datasets and high-performance computing systems. In clinical practice, ML-based technologies present opportunities to improve the accessibility and performance of vascular age assessments. These opportunities are now discussed.

# 2.2.1 Data availability

A large amount of biomedical and clinical data is routinely collected which is suitable for training ML models to assess vascular age. Advances in measurement techniques and systems have allowed for the acquisition of high-fidelity data suitable for assessing vascular age. Arterial pulse wave signals can be acquired in specialist clinics using, for instance, applanation tonometry and ultrasound. Additional signals such as the electrocardiogram (ECG), ballistocardiogram (BCG), and photoplethysmogram (PPG) can be acquired by consumer devices such as smartphones and fitness trackers. Images of the cardiovascular system and affected organs can be acquired by ultrasound, magnetic resonance imaging, and computed tomography, resulting in improved visual assessment of functional and structural changes associated with disease and pathology. The multifaceted nature and high dimensionality of such data is the primary driving force in cardiovascular Big Data<sup>19</sup>. Additionally, the complexity of the data often renders traditional statistical methods insufficient to efficiently develop predictive tools to assist clinical decision-making. In contrast, ML offers promise for developing methods to improve and automate cardiovascular health assessment, and to guide therapeutic interventions.

# 2.2.2 Computing systems

Recent years have seen rapid advancements in both hardware and software<sup>20</sup>. The refinement of hardware components, such as high-performance processors and graphics processing units, has reduced the computational time required to train a ML model, even with large datasets. Additionally, many ML techniques are widely available in software packages such as Python and MATLAB. These advances make it practical for researchers to use ML routinely.

# 2.2.3 Improving the accessibility of vascular age assessment

ML-based techniques for assessing vascular age have potential to improve the accessibility of vascular age assessment. Currently, BP is the only biomarker of vascular age which is routinely measured in primary care. A number of issues limit the use of other markers of vascular ageing<sup>5</sup>. Whilst carotid-femoral PWV has satisfactory repeatability<sup>21</sup>, its measurement requires a skilled operator, and alternative measurements which can be obtained more easily may not reflect the status of the aorta as precisely, such as carotid-radial PWV<sup>22</sup> and PWV assessed from the ECG and a pulse wave<sup>23</sup>. There is a similar tension between precision and ease of measurement for CBP<sup>15, 24</sup>. ML-based techniques are now being developed which could be used in primary care with minimal additional workload, such as using routinely collected clinical data to estimate CBP or PWV or assessing vascular age from pulse waves acquired by pulse oximeters (as detailed in the Case Studies below). Thus, ML-based techniques have potential to improve the accessibility of vascular age assessment.

# 2.2.4 Improving the performance of vascular age assessment

ML-based techniques may have potential to provide improved performance over traditional statistical modelling techniques, although this potential has not yet been widely recognized<sup>12</sup>. Some studies have compared the performance of novel ML-based techniques with traditional techniques. For instance, Xiao *et al.* compared using a neural-network to estimate CBP from peripheral pulse waves with the widely used transfer function approach<sup>25</sup>. They did not find a substantial difference in performance between the two approaches. More broadly, ML has been found not to confer benefit over logistic regression for clinical prediction models<sup>12</sup>. In the future, it is likely that ML-based techniques would either have to provide improved performance, or facilitate easier measurement, in order to replace traditional statistical approaches.

## 2.3 Challenges

In this section we discuss key challenges in developing ML-based techniques for assessing vascular age.

# 2.3.1 Data Acquisition

Large datasets are required to develop ML-based techniques. Devices for acquiring arterial pulse waves in the clinic, such as ultrasound and applanation tonometry devices, often output the data in a format suitable for analysis, although they require a skilled operator. On the other hand, consumer devices which measure pulse wave signals (such as smartphones, smartwatches, and fitness trackers) can be used by patients with no need for a skilled operator, but do not routinely record the data for analysis. Those devices which do record pulse waves in everyday life can require much user interaction for reliable data acquisition<sup>26</sup>. Nonetheless, suitable datasets have previously been acquired in large-scale local and international studies<sup>17,</sup>

# 2.3.2 Experimental Methodology

A recent review highlighted shortcomings in the methodology used to develop clinical prediction models using ML<sup>12</sup>. Firstly, few studies used external validation, and many either did not report validation procedures clearly, or had potential biases in validation procedures, such as selecting variables on all data or not repeating all modelling steps in the validation. Secondly, studies commonly assessed performance using the area under the receiver operator curve (AUROC) statistic, but usually did not assess the accuracy of risk estimates<sup>12</sup>. This recent review provides important guidance, which can inform future studies using ML in vascular ageing assessment.

# 2.3.3 Reporting ML Models

The TRIPOD (Transparent Reporting of a multivariable prediction model for Individual Prognosis Or Diagnosis) statement provides a checklist of 22 methodological aspects that should be reported in studies of prediction models<sup>28</sup>. A new statement specific to ML studies is now being developed<sup>29</sup>. Even with clear and concise reporting of the methods used to design and validate models, further quality assurance through external validation is required. However, well-grounded external validation studies are sparse as often there is a lack of available data other than that used for model development<sup>30</sup>. Even with access to sufficiently large datasets, external validation studies are often poorly reported<sup>31</sup>. It is important that rigorous procedural steps are adhered to during the design, validation, and external validation of ML-based techniques to enhance vascular ageing assessment.

#### 2.3.4 Benchmark Datasets

Benchmark datasets could provide a standardised approach to developing ML-based techniques for assessing vascular age. Benchmark datasets are datasets that have been chosen to be the 'standard' for a model to be evaluated against<sup>32</sup>. Benchmark datasets should contain data reflective of the target population and ideally contain a wide range of characteristics to allow the strengths and weaknesses of ML-based techniques to be assessed<sup>33</sup>. To the best of our knowledge, there is no currently known registry or biobank containing a 'gold standard' benchmark dataset that may be used for ML studies in vascular age assessment. Hence, future endeavours should consider the establishment of a registry or consortium, containing data with relevant markers of arterial stiffness, that has both adequate sample size and is reflective of the target population<sup>5</sup>.

#### 2.4 Case Studies

Case studies of the use of ML in vascular age assessment are now presented. To aid reproducibility, the case studies use publicly available, simulated haemodynamic data for 3,837 healthy adult subjects aged from 25 to 75 years old from the Pulse Wave Database (PWDB)<sup>34</sup>. The simulated subjects all had different cardiovascular properties within normal ranges, including arterial stiffness, BP, aortic diameter, stroke volume and heart rate (HR). The case studies are each accompanied by a tutorial allowing them to be replicated using the openly available data and source code (as detailed in the Supplementary Material). A case study is now presented on using a random forest regressor to estimate CBP from age, cuff BP, and HR. Two further case studies are provided in the Supplementary Material on: (i) Using multiple linear regression to estimate PWV from age and BP; and (ii) using a neural network to assess vascular age from pulse waves.

In this case study central systolic (CSBP) and diastolic BP (CDBP) are estimated from age, brachial (cuff) SBP (BSBP) and DBP (BDBP), and HR with using a random forest regressor<sup>35</sup>. A random forest regressor is an ensemble learning method which consists of a collection of randomized base regression trees. Each tree is built by splitting the source set (the root node of the tree) into branches based on a certain feature of the input variables. This process is repeated recursively until the subset at a node has the same values of the target output variable. The final prediction is provided by averaging the predictions of all the regression trees. The formal structure of a random forest predictor is shown in *Figure 2*. This case study employs two random forest regression models to predict respectively CSBP and CDBP (target outputs) from age, BSBP, BDBP, and HR (inputs). The regression models were trained using 75 % of the entire population while the remaining data were kept for validation. The number of trees of each random forest regressor was set to 100.

25 [Figure 2]

The comparison between the estimated CSBP and the reference CSBP is presented in *Figure 3* (top panel). The limits of agreement between the estimated and reference CDBP (this statistic is described in Section 3.2) were narrow at  $\pm 2.7$  mmHg. Good performance was also achieved for the estimation of CDBP (see lower panel of *Figure 3*), with limits of agreement of  $\pm 1$  mmHg.

6 [Figure 3]

This example demonstrates how ML can potentially be used to transform routine measurements into an additional parameter which is difficult to acquire in practice. The insilico validation indicated that CSBP and CDBP could be estimated precisely from brachial BPs and HR using a random forest regressor. This illustrates a possible application for a ML-based tool in clinical practice.

## 3. Validation of Machine Learning-Based Methods

This section presents different types of validation techniques which are commonly used to evaluate the accuracy of a ML model. Subsequently, it summarizes the reported performance of previously developed methods on the estimation of vascular parameters and risk classification.

# 3.1. Validation types of ML-based methods

In ML model studies, the performance of the model is usually assessed using either cross-validation or external validation methods. Cross-validation, or k-fold cross-validation, refers to the validation technique where the performance of the ML model(s) is trained against a defined number of subsets of known data (k) before being evaluated against the complementary (unknown) subset<sup>36</sup>. This technique helps overcome issues, such as selection bias or overfitting with the model. However, the model performance needs to be tested for heterogeneity, which is followed through with external validation. The use of independent datasets allows proper assessment of whether a model can be generalised to populations outside of the study data<sup>30</sup>.

Many studies, unfortunately, overlook the need to externally validate ML models and often find their reported model performances to be limited to the study-specific population, leading to potentially wasted resources<sup>37</sup>. However, a recent ML-based study automating phase contrast cardiovascular magnetic resonance (PC-CMR) aortic flow quantification, is one of very few studies to have performed both cross-validation and external validation<sup>38</sup>. They showed that in-house ML segmentation, using a neural network approach on 190 coronary artery disease patients was robust, did not require human intervention, and strongly correlated with the manual quantification of an expert CMR reader (r > 0.99). When externally validated against two institutionally independent datasets (n=20), ML model performance strongly

- 1 correlated with manual segmentation (r > 0.99). Though their external validation sample size
- 2 is relatively low, they have reported clear methodology and their findings have potential to be
- 3 independently tested by other researchers.

Furthermore, attention should be paid to the selection of the technique to be used to acquire the data for the ML modelling. The use of more reliable and thoroughly validated commercial devices should result in a more robust prediction model when compared to a prediction model trained using data from a less validated apparatus. For instance, one such study used an artificial neural network to predict CBP from radial BP measurements<sup>25</sup>. CBP estimates may agree more closely with the gold standard of invasive BP, although estimates of brachial cuff BP may be more useful as current clinical guidelines are based on cuff BP data. Moreover, in the case of PWV, the reference values have been obtained for carotid-femoral PWV (cfPWV), and, in this view, ML prediction of cfPWV might be more valuable than prediction of invasive PWV. Hence, one should always consider the current state-of-knowledge and the particular needs of each application and select with caution the data and the design of their ML estimator.

## **3.2.** Estimation of vascular parameters

Table 3 summarizes the findings of only a limited number of validation studies for the ML estimation of PWV and CBP. Those studies are based on the use of easily obtained clinical data which are transformed into more relevant parameters of vascular ageing. Generally, invivo validations demonstrated a good performance in most of the proposed ML methods (*Table 3*). In these studies, the performance of ML-based methods was often assessed using the correlation between estimated and reference parameter values. The limits of agreement technique, also known as Bland-Altman analysis, was also used, although less frequently. This technique quantifies the accuracy and precision of measurements using the bias (mean error)

and limits of agreement, which is twice the standard deviation of the errors<sup>39</sup>. The limits of agreement technique is preferred for assessing agreement between two measurement methods

[Table 3]

since correlation coefficients can be misleading in this context<sup>39</sup>.

Although there are not many meta-analyses to systematically compare the performance of ML models with traditional statistical methods for the estimation of vascular parameters, some studies have performed a preliminary comparison between the two approaches<sup>25, 40</sup>. In some cases<sup>40, 41</sup>, ML models appeared to outperform the traditional prediction algorithms. A review including 28 studies concluded that, in general, non-linear ML models demonstrate a higher precision when compared to the conventional linear models<sup>40</sup>. However, in cases where traditional methods had already achieved a high accuracy, ML provided no additional clinically significant value<sup>25</sup>. Nevertheless, an advantage of the ML modelling may pertain to the reduction of the complexity and the cost of the measurements which are required for performing the traditional techniques. Tavallali et al. proposed a ML-based method to estimate cfPWV noninvasively using a single uncalibrated carotid waveform acquired by tonometry in conjunction with a set of routine clinical variables such as age and blood pressure<sup>41</sup>. Their model estimated cfPWV with an RMSE of 1.12 m/sec, compared to the reference method<sup>17</sup>. In addition, authors further supported their findings by showing that estimated PWV was significantly associated with increased risk of future CVD events by using the Framingham database, and this predictive ability was similar to the one by true cfPWV values. Such an approach, along with the high accuracy, offers a less expensive and more convenient way to assess PWV as it does not require the additional measurements of the ECG signal and the femoral pressure tonometry recording which are used in the traditional cfPWV measurement.

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# 3.3. Risk classification

The performance of selected ML-based techniques for vascular risk classification is summarised in *Table 4*. Each study reported the sensitivity and specificity of techniques for classifying patients into two categories, such as whether or not they would experience a CV event. The AUROC statistic, also reported in several studies, combines the sensitivity and specificity to provide a single summary statistic. It varies within the range of [0.0, 1.0], where c-values of 0.7 to 0.8 to show acceptable discrimination, and values larger than 0.9 to show exceptional discrimination. Whilst useful, it should be noted that this statistic can be misleading when the prevalence of the disease is low, such as a low CV event rate, and other statistics such as the positive predictive value provide complementary insights<sup>42</sup>.

11 [Table 4]

A key interest in medical research is whether an additional biomarker adds to an existing model. Cook<sup>43</sup> proposed a reclassification table which indicates the number of subjects who moved to another risk group and the number of those who remained in the same risk group as a result of adding a new predictor. The reclassification concept was extended with the introduction of two metrics, namely, the net reclassification improvement (NRI), and the integrated discrimination improvement (IDI)<sup>44</sup>. An NRI equal to 10 % means that subjects with outcome were approximately 10 % more likely to have an improved reclassification in comparison with subjects with no outcome. An IDI equal to 10 % means that the difference in average predicted risks between the subjects with and without the outcome was increased by 10 % in the new model. These metrics have been very useful in studies where the performance for different combinations of predictors was assessed or/and the performance of traditional techniques was compared to the performance of novel ML-based methods<sup>13, 45</sup>. However, prospective studies using reclassification measures to assess the predictive ability of ML-based vascular aging biomarkers are currently lacking.

Moreover, studies have investigated the potential additive value of ML by comparing the performance of traditional methods to ML-based approaches. A recent study of Desai *et al.* compared several ML models to conventional logistic regression in predicting key heart failure (HF) outcomes<sup>46</sup>. It was demonstrated that ML improved only slightly the predictive precision. Nevertheless, incorporation of additional parameters from electronic medical records (e.g., laboratory test results as continuous variables) to the ML models showed a competitive advantage over the traditional statistical approach. The authors attributed the much-improved performance to the nonparametric nature of the tree-based ML models at making predictions while utilizing continuous variables as inputs. Hence, ML-based approaches might not outperform the conventional modelling in any case, but concurrent refinement of the model's configuration and feature selection may lead to a superior performance for discriminating several clinical outcomes.

Weng *et al.*, however, reported an obviously improved performance when they used ML models in comparison to the traditional AHA/ACC risk prediction tool<sup>47</sup>. All ML models had a better predictive capacity at discriminating individuals with or without CV events. An artificial neural network outperformed all the ML models achieving an AUC equal to 0.76<sup>47</sup>. Ambale-Venkatesh *et al.* used the longitudinal Multi-Ethnic Study for Atherosclerosis (MESA) cohort study<sup>48</sup> to compare the accuracy between ML-based approaches and the traditional CV risk assessment models (i.e., standard Cox, LASSO-Cox, and AIC-Cox). A large ensemble of 735 variables from imaging, noninvasive tests, questionnaires, and biomarker panels were used as inputs. The outcomes included death, stroke, cardiovascular events, incidents of atrial fibrillation, and heart failure events<sup>48</sup>. Authors reported an increase in the C-statistic for all outcomes, when they compared their results to the well-established conventional risk scores, including the Framingham and the American College of Cardiology/American Heart Association Atherosclerotic Cardiovascular Disease (ACC/AHA ASCVD) risk scores. In

- another study, Kakadiaris et al. also used the MESA cohort and demonstrated that their ML
- Risk Calculator (sensitivity = 0.96, specificity = 0.87, accuracy = 0.89) outperformed that
- 3 ACC/AHA Risk Calculator (sensitivity = 0.75, specificity = 0.59, accuracy = 0.62) for
- 4 predicting all CVD events while recommending less drug therapy, and missing fewer events<sup>45</sup>.
- 5 ML models are versatile and can be more flexible compared to traditional risk calculators<sup>45, 49</sup>.
- 6 They can combine a plethora of different data sources and lead to more precise and relevant
- 7 CV risk stratification<sup>49</sup>. Finally, ML models can be trained using artificially generated datasets
- 8 via data augmentation techniques and thus further increase their predictive capacity over the
- 9 conventional risk assessment techniques.

## 4. The Clinical Utility of Machine Learning-Based Methods

Currently, there is no single correct diagnosis approach for any given patients for CVD prediction due to different clinical characteristics and variability in symptoms of patients and imperfections in results obtained from noninvasive and cardiac tests. Therefore, individual CV risk determination is an important path to take towards a predictive medicine. There is a growing need for finding further appropriate, easy to apply, noninvasive tests and biomarkers that will increase the yield of CVD prediction. However, algorithm conception for correct classification of CVD risk factors remains a major problem.

From a clinical perspective, the data-driven approach of ML may also help optimize the algorithms of PWA by comparing predictions with data simultaneously obtained through reference standards (typically intra-arterial measurements) and improve the quality assessment of the pulsatile signals. Application of deep-learning analysis to "big data" collected through registries may help improve the patient risk stratification and allow accurate long-term risk prediction.

In the contemporary published data, development of ML models and their validation has been demonstrated in a few clinical studies. Initially the early data were derived from cross-sectional data that provided a proof of concept for researchers to put their algorithms to test with real clinical data (see Tables 1, 2). The "one-million dollar" question is whether the ML derived estimates of vascular aging were accurate in estimating the certain vascular aging biomarker and, of course, whether these ML-derived estimates were at least as prognostic of hard end points as their reference method. Although no real conclusions can be made based on the scarce available data on most of the vascular aging indices, the initial results are promising. In a very elegantly performed study, ambulatory BP measurements and clinical profile were used by Antza *et al.* to derive an Early Vascular Aging (EVA) Ambulatory score comprising 24-hour SBP, 24-hour DBP, 24-hour HR, age, sex, BMI, diabetes mellitus (yes—no), and

estimated glomerular filtration rate (modification of diet in renal disease)<sup>50</sup>. This score was shown to identify with good accuracy hypertensive patients with EVA that was defined as cfPWV values higher than the expected for age average values according to European population data and further confirmed that the use of scores to identify early vascular aging is feasible<sup>51</sup>.

In an effort to improve diagnostic accuracy Vallee *et al.* used an algorithm based on aortic PWV and AI to better predict CAD<sup>52</sup>. They developed an aPWV Index as a measure of an individual patient's aortic stiffness independent of age, gender, mean BP, and HR. The aPWV Index was thus calculated as (measured aPWV-theoretical aPWV)/theoretical aPWV and showed to predict CAD. Further, confirming this strategy of ML-derived indices of vascular aging were 2 prospective studies and also data from larger cohorts that assessed coronary calcification score<sup>53</sup>. The first showed that PWV derived by ML and an uncalibrated trace of carotid pressure waveform is a good prognostic factor of events in the Framingham study<sup>41</sup>. The second estimated PWV by the Reference Values Equations and showed that ePWV is both capable in predicting events but in sequential measurements could also be used as to monitor treatment efficacy and improve prognosis beyond BP in hypertensives<sup>13, 14</sup>.

In the near future, it is not science fiction to envisage ML working in the background of standard primary prevention assessment in an outpatient clinic or even through specific applications in a mobile phone or laptop/notebook, gathering the variables automatically and allowing an immediate risk score computation. These methods are already used in everyday practice by many applications that utilize ML secretly that the user is not aware of. An everyday characteristic example is that of web browser advertisements which are based on the passive (unknown to user) collection of parameters and their seamless input into ML algorithms. With the latest advancements in automated feature ranking, ML can be independent of user input and practically fully automated. This is the big step needed to provide a more personalized

- 1 medicine that will fit each patient's needs and also support physicians in their everyday practise
- with on-the-fly answers and solutions specific to the patient. This principle will amalgamate
- 3 personal characteristics, input from medical equipment/software and minimal input from
- 4 physicians to shape the algorithm for each patient.

## 5. Future Research Directions

## 5.1 Harnessing electronic health record data

Electronic health records (EHRs) contain a plethora of patient data, ranging from demographic details and clinical notes to laboratory test results and medical images. Whilst EHRs were initially designed to improve the efficiency and accessibility of healthcare systems, they have found varied applications in clinical research<sup>54, 55</sup>, including cardiovascular event prediction<sup>56, 57</sup>. In the future EHR data could firstly be used to identify patients with known risk factors who may benefit from vascular age assessment. ML-based techniques for this purpose would need moderate accuracy to justify the additional clinical workload. Secondly, EHR data could be used to estimate vascular ageing parameters which could be used to inform clinical decision making. ML-based techniques would need a high level of accuracy in this scenario to ensure patient safety.

## 5.2 Pulse wave: a gold mine of physiological information

The arterial pulse wave is a rich source of information for assessing vascular health in humans as it is influenced by the cardiac and vascular properties<sup>58</sup> and thus can reflect physiological changes in the cardiovasculature<sup>58-60</sup>. Arterial pulse signals are measured in both clinical practice and wearable devices. Two commonly obtained pulse signals are PPG and radial BP. Numerous physiological parameters can be computed from these signals, which can be useful for health monitoring and clinical decision making. Previous studies have used an

abundance of features extracted from either the PPG or BP waveform (*Figure 4*) and incorporated them into a regression pipeline for the estimation of major vascular biomarkers<sup>25, 61, 62</sup>. Moreover, further opportunities can arise as deep learning algorithms are capable of revealing more sophisticated pieces of vascular information through learning by themselves from the morphology of the raw physiological signals<sup>63, 64</sup> without the need for manually extracted features.

7 [Figure 4]

# 5.3 Using consumer devices to assess vascular age in daily life

Research is ongoing to incorporate measures of vascular age into consumer devices such as bathroom scales, smartphones, and wrist-worn fitness trackers<sup>65-67</sup>. The bathroom scales approach assesses PWV from the time delay between cardiac ejection and pulse arrival at the foot, whereas technology for smartphones and fitness trackers assesses vascular age from the shape of a single PPG pulse wave. The use of consumer devices to assess arterial stiffness presents several opportunities: these devices can be used away from the clinical setting, avoiding potential inaccuracies due to white-coat hypertension<sup>68</sup>, and may facilitate assessment in a range of additional situations, e.g. after exercise<sup>69</sup>, whilst asleep, and during potentially stressful daily activities. Results can be fed back to the user immediately and could be used to prompt lifestyle changes. Furthermore, consumer devices can be used remotely, an important consideration in the light of COVID-19.

However, several challenges remain before the full potential of consumer devices for assessing vascular age can be realised. Firstly, measurements should be contextualised according to the user's activity: for example, an elevated vascular age measured shortly after exercise would be interpreted differently to a similar assessment during sleep. Algorithms are being developed to detect when a user is sleeping from wearable signals, which could be used

to contextualise vascular ageing assessments<sup>70</sup>. Secondly, measurements may not be solely indicative of large artery stiffness due to extended PWV path lengths, such as heart-foot PWVs provided by bathroom scales, and the source of pulse wave measurements, such as PPG-derived pulse waves being influenced by the microvasculature. Thirdly, measurements acquired from consumer devices in daily life are more likely to be of low quality due to motion artifacts and poor sensor contact. Consequently, algorithms are required to reject low quality data, and prompt the user to reposition the sensor and retake the recording when necessary. Finally, algorithms are required to post-process the repeated measurements provided by consumer devices in order to condense the data into a manageable summary statistic for clinical use and minimise false alerts.

## 5.4 A gold standard for vascular age

A reference vascular age is a necessary prerequisite to using supervised ML to develop new models with which to assess vascular age. There are broadly two approaches to defining vascular age: (i) the age of an individual with the same absolute cardiovascular risk but controlled risk factors<sup>71</sup>; or (ii) the age of an individual with the same cardiovascular state, such as arterial stiffness assessed through PWV, but controlled risk factors. However, there is not yet consensus over which approach should be used to calculate a reference vascular age. A widely accepted approach to calculating vascular age supported by strong evidence for its clinical utility would provide a reference with which to train ML models, and justification for using ML models to assess vascular age in clinical practice. A more elaborate method has been recently proposed with the introduction of EVA and the use of an estimation of vascular age based on PWV and its comparison to the true age of each participant<sup>72</sup>. This approach has the benefit of incorporating age, BP, and treatment in the identification of patients at high CVD

- 1 risk. A cut-off based on the PWV reference values for certain age, BP and treatment might be
- 2 a superior solution, but this remains to be proven in future studies.

3 Conclusion

Vascular ageing biomarkers have been found to be predictive of CV risk independently of classical risk factors, and yet are not widely used in clinical practice. This review highlights the utility of ML for developing new techniques to assess vascular ageing biomarkers quickly and accurately. When coupled with effective interventions these new techniques could help reduce cardiovascular morbidity and mortality. The plethora of data now routinely collected in healthcare settings and in daily life provides opportunity to identify at-risk individuals, to monitor their CV health in daily life, and as therapeutic targets. Much further work is required to develop ML-based biomarkers to the required standard for them to be considered as surrogate endpoints of CV events<sup>73</sup>, and to identify clinical scenarios in which their use is cost-effective.

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## 1 Figure Legends

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- 2 Figure 1: CENTRAL ILLUSTRATION: Using machine learning to assess vascular ageing
- 3 biomarkers from more easily obtained measurements.
- 4 CV: cardiovascular; : presence of CV event; : absence of CV event.
- 5 Adapted from: 'Adult male with organs', under CC0 1.0.
- 7 Figure 2: Schematic representation of a random forest regression prediction.
- 9 Figure 3: A case study of estimating central systolic blood pressure (CSBP) and central
- 10 diastolic blood pressure (CDBP) from age, brachial systolic (BSBP) and diastolic blood
- pressures (BDBP), and heart rate using a random forest regressor.
- Figure 4: Pulse wave analysis of exemplary photoplethysmography (PPG) and radial blood
- pressure (BP) waveforms. Adapted from: 'Photoplethysmogram pulse wave composition',
- 15 under CC BY 4.0.

## 1 Tables

2 Table 1: Applications of statistical modelling and machine learning in vascular age assessment.

Type of model	<b>Machine Learning Techniques</b>	Applications
Parameter	Simple linear regression	Estimating carotid augmentation index (AI) from radial AI <sup>74</sup>
estimation	Transfer function	Estimate CBP from a cuff BP and peripheral pressure pulse waves <sup>15</sup>
	Multiple linear regression	Estimating PWV from age and BP (developed in <sup>17</sup> , and applied in <sup>13</sup> ).
		Estimating age from non-invasive CV parameters <sup>75</sup> .
	Gaussian process regression	Estimating PWV and BP from PTT and features derived from non-invasive pulse waves <sup>76</sup> .
	Neural network	Estimating systolic CBP from radial systolic and diastolic BPs <sup>25</sup> .
		Estimating ankle-brachial index from a PPG pulse wave <sup>77</sup> .
		Estimating BP and PWV from either PPG pulse waves, or features derived from PPG
		pulse waves <sup>78</sup> .
	Ensemble of neural networks	Estimating age from blood test results <sup>79</sup> .
		Estimating PWV from routine clinical variables and an uncalibrated carotid tonometry
		waveform <sup>41</sup> .

Risk	Decision tree	Predicting who would suffer a CV event by combining routinely measured and blood test					
classification		data, and non-invasive CV parameters <sup>80</sup> .					
		Classifying subjects as high or low risk for CV events using risk factors and parameters					
		derived from carotid ultrasound images <sup>49</sup> .					
		Predicting the presence of obstructive coronary artery disease from clinical data and the					
		coronary artery calcium score <sup>53</sup> .					
		Predicting the presence of coronary heart disease from PWV and clinical and laborat					
		parameters <sup>81</sup> .					
	Support vector machine	Predicting who would suffer a CV event from risk factors <sup>45</sup> .					
		Classifying a set of pulse wave features as 'young' or 'old' <sup>82</sup> , or 'high' or 'low' PWV <sup>83</sup> .					
	Neural network	Predicting coronary heart disease from clinical data, haemodynamic data, and PWV <sup>52</sup> .					
	Ensemble of ML piplelines	Predicting CV events from biobank variables (including many which are not routinely					
		recorded) <sup>84</sup> .					

Table 2: The capabilities of selected statistical modelling and supervised machine learning techniques.

Capabilities							
Output Type		Input Type					
Parameter Estimation	Risk Classification	Single input	Multiple inputs	Waveform input			
<b>√</b>	X	<b>√</b>	X	X			
<b>√</b>	X	<b>√</b>	X	<b>✓</b>			
<b>✓</b>	X	X	<b>✓</b>	X			
<b>✓</b>	X	X	<b>✓</b>	X			
<b>✓</b>	<b>✓</b>	X	<b>✓</b>	<b>✓</b>			
	Output Type  Parameter Estimation	Output Type  Parameter Estimation Risk Classification  X  X  X  X  X  X	Output Type  Parameter Estimation Risk Classification Single input  X  X  X  X  X  X  X  X  X  X  X  X  X	Output Type   Parameter Estimation Risk Classification Single input Multiple inputs   V X V X      V X V X      V X X V      V X X V      V X X V			

Decision tree	<b>√</b>	V	X	V	X
Support vector machine	X	V	X	V	X

Model types: (i) Parameter Estimation - estimating a vascular ageing parameter (such as central blood pressure) from more easily obtained

3 measurements; (ii) Risk Classification - categorising patients according to whether or not they are likely to experience an event, or the presence

or absence of a diagnosis.

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5 Input types: (i) single input - a single numerical value (e.g. age); (ii) multiple inputs; (iii) waveform input - whether or not the ML technique can

accept a waveform as one of the inputs (e.g. a pulse wave).

Table 3: List of selected validation studies of ML techniques compared to reference methods for vascular parameters estimation.

Publication	Target	Inputs	Machine Learning	Sample size	Age (years)	$\mathbb{R}^2$	Mean error
	parameter		technique				
					56±13		
Greve et al.	cfPWV	Age, brachial BP	Multiple linear	1.045	(CV event),		-0.3%
$(2016)^{13}$	(Complior)	(Cuff)	regression	1,045	50±12	_	[-15%, +17%]
					(no CV event)		
Huttunen et	aPWV *	PPG wave *	Gaussian process	943		0.88	_
al. (2019) <sup>76</sup>	ai w v	FFG wave *	regression	943	-	0.88	-
Huttunen et	DYVIV	DDC #	Neural network			0.02	
al. (2020) <sup>78</sup>	aPWV *	PPG wave *		943	-	0.93	-
Tavallali <i>et</i>	cfPWV	Carotid BP wave	Ensemble of neural		45 11	0.72	0.00.2.07
al. (2018) <sup>41</sup>	(Tonometry)	(Tonometry)	networks	5,020	45±11	0.72	0.00±2.07 m/s

Bikia et al.	CSBP	Brachial BP	Supports vector				0.43 mmHg
$(2020)^{16}$	(SphygmoCor)	(Cuff), cfPWV	regressor	783	61±11	0.94	[-7.88 mmHg,
		(Tonometry)					8.73 mmHg]
Huttunen et	CSBP, CDBP	PPG wave *	Gaussian process			0.56,	
al. (2019) <sup>76</sup>	*		regression	943	-	0.87	-
Huttunen et	CSBP, CDBP	PPG wave *	Neural network			0.80,	
al. (2020) <sup>78</sup>	*			943	-	0.92	-
Xiao et al.	CSBP	Radial BP	Neural network	62	61±11	0.94	-0.1±3.9 mmHg
$(2017)^{25}$	(Invasive)	(Invasive)			01511	0.54	-0.1±3.9 mm11g

R<sup>2</sup>: Coefficient of determination; SD: standard deviation; cfPWV: carotid-femoral pulse wave velocity; aPWV: aortic pulse wave velocity; CSBP: central systolic blood

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pressure, CI: confidence intervals.

<sup>3 \*</sup>The study population used for the training/testing scheme was generated from a computer simulator. Local aPWV was calculated analytically using the Bramwell-Hill

 $<sup>4 \</sup>qquad \text{ formula}^{85}.$ 

Table 4: List of selected validation studies of ML techniques compared to reference methods for vascular risk classification.

Publication	Outcome	Method to assess the	Machine Learning	Sample	Age (years)	Sensitivity/	AUROC
		outcome	technique	size		Specificity	
Alaa <i>et al</i> . (2019) <sup>84</sup>	CV event	Blood tests, risk factors	Ensemble of ML pipelines	423,60	56±8	69.9% / -	0.77
Al'Aref <i>et al</i> . (2020) <sup>53</sup>	Coronary artery disease	Coronary computed tomography angiography, risk factors	Decision tree	13,054	58±11	78% / 62.8% & 80% / 81.5%	0.77 & 0.88
Alty et al. (2003) <sup>83</sup>	PWV classification	Photoplethysmogram pulse wave sensor	Support vector machine	5,573	-	93% / 78%	-
Garcia- Carretero <i>et</i> al. (2019) <sup>80</sup>	CV event	Tonometry- based PWV, risk factors, laboratory data	Decision tree	88	54±16	98% / 95%	-
Jamthikar <i>et al.</i> (2019) <sup>86</sup>	CV event	Carotid ultrasound, risk factors	Decision tree	202	69±11	9.5% / 96.5% & 5.5% / 99%	0.80 & 0.68

Kakadiaris <i>et al.</i> (2018) <sup>45</sup>	CV event	Risk factors	Support vector machine	6,459	45-84	86% / 95%	0.92
Sorelli <i>et al</i> . (2018) <sup>82</sup>	PW classification	Laser Doppler flowmetry	Support vector machine	54	0-90	65% / 90%	0.95
Vallee <i>et al</i> . (2019) <sup>52</sup>	Coronary heart disease	Tonometry- based PWV, risk factors	Neural network	437	60±11	80% / 92% *	-
Vallee <i>et al</i> . (2019) <sup>81</sup>	Coronary heart disease	Tonometry- based PWV, risk factors	Decision tree	530	62 ±11	82% / 85% *	0.89

AUC: area under the curve; CV: cardiovascular; PW: pulse wave; PWV: pulse wave velocity; ML: machine learning.

<sup>2 \*</sup>In the case that more than two classifiers are tested, we report only the results of the best performing classifier.

## 1 Figures

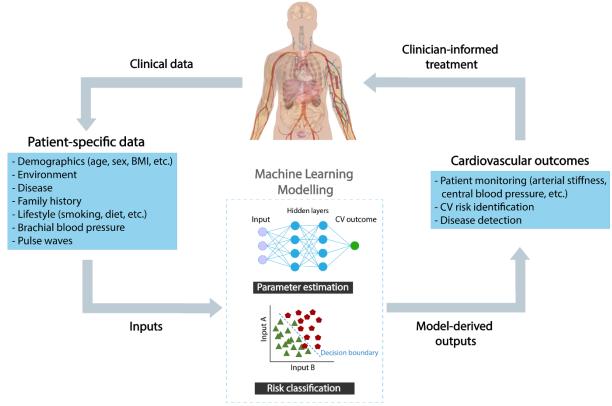


Figure 1.

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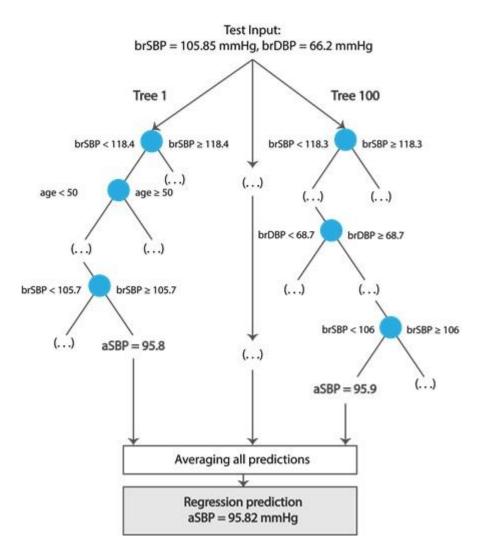


Figure 2.

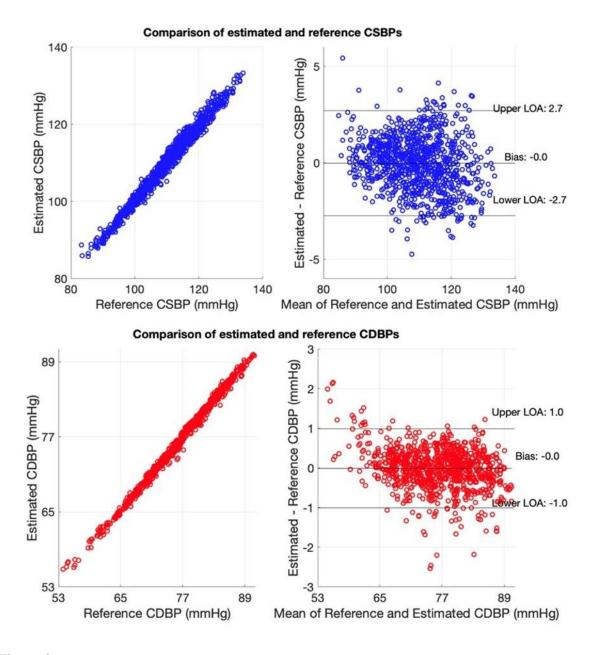


Figure 3.

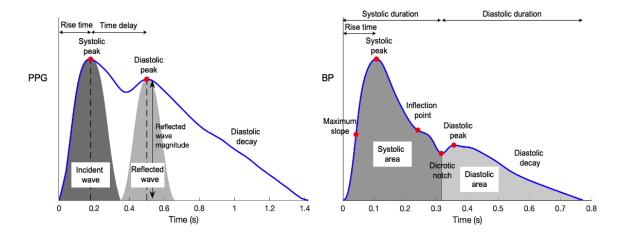


Figure 4.